

# Extensions of Homeomorphisms between Limbs of the Mandelbrot Set

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## Abstract

Using holomorphic surgery techniques, we construct a homeomorphism between a neighborhood of any limb of the Mandelbrot set and a neighborhood of any other of equal denominator, in such a way that the limbs are mapped among each other. On the limbs, the homeomorphism coincides with that constructed in [BF], which proves – without assuming local connectivity of the Mandelbrot set – that these maps are compatible with the embedding of the limbs in the plane. Outside the limbs the constructed extension is quasi-conformal.

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## 1 Introduction

Given the family of quadratic polynomials  $Q_c(z) = z^2 + c$ , we define the *filled Julia set* of  $Q_c$  as the set

$$K_c = \{z \in \mathbb{C} \mid \{Q_c^n(z)\}_{n \geq 0} \text{ is bounded}\}.$$

and the *Julia set*  $J_c$  as the boundary of  $K_c$ . Both sets are bounded and completely invariant under  $Q_c$ . The complement of the filled Julia set is the basin of attraction of the superattracting point at infinity, which is always connected.

The polynomials  $Q_c$  have one single critical point in  $\mathbb{C}$  which is  $\omega = 0$ . The behavior of this point plays a crucial role in determining the dynamics of  $Q_c$  and the topology of  $K_c$ . Indeed, the filled Julia set is connected if and only if it contains the critical point 0. If not, it is a Cantor set.

This dichotomy is reflected in the definition of the Mandelbrot set which is defined as follows (see Figure 1).

$$M = \{c \in \mathbb{C} \mid 0 \in K_c\}.$$

The Mandelbrot set is compact, full and connected and it is conjectured to be locally connected.

The interior of  $M$  contains infinitely many connected components for which  $Q_c$  has an attracting periodic orbit. These are called *hyperbolic components* and it is conjectured that their union equals the interior of  $M$ . The boundary of each hyperbolic component  $\Omega$  can be parametrized by a map  $\gamma_\Omega : [0, 1) \rightarrow \partial\Omega$  so that, at  $c = \gamma_\Omega(t)$ , the indifferent periodic orbit has multiplier  $e^{2\pi it}$ . The point  $c = \gamma_\Omega(0)$  is called the *root* of the hyperbolic component  $\Omega$ .

The largest hyperbolic component consists of all parameter values  $c$  for which  $Q_c$  has an attracting fixed point, and we shall denote it by  $\Omega_0$ . Its boundary is referred to as the main cardioid. At each boundary point  $\gamma_{\Omega_0}(p/q)$ , for any  $p/q \in (0, 1) \cap \mathbb{Q}$ , there is attached a hyperbolic component  $\Omega_{p/q}$  of period  $q$ .

We define the  *$p/q$ -limb* of  $M$ ,  $M_{p/q}$ , to be the connected component of  $M \setminus \overline{\Omega_0}$  attached to the main cardioid at the point  $c = \gamma_{\Omega_0}(p/q)$  union this point (see Figure 1).



**Main Theorem.** For any  $p/q \in (0, 1) \cap \mathbb{Q}$  there exist open sets  $V_{p/q}$  and  $V_{1/q}$  intersecting  $M$  in  $M_{p/q}$  and  $M_{1/q}$  respectively, and a homeomorphism

$$\Lambda_{p/q} : V_{p/q} \longrightarrow V_{1/q}$$

extending the homeomorphism  $\Phi_{p1}^q : M_{p/q} \rightarrow M_{1/q}$ , which is orientation preserving and quasi-conformal in  $V_{p/q} \setminus M_{p/q}$ .

See Figure 2.

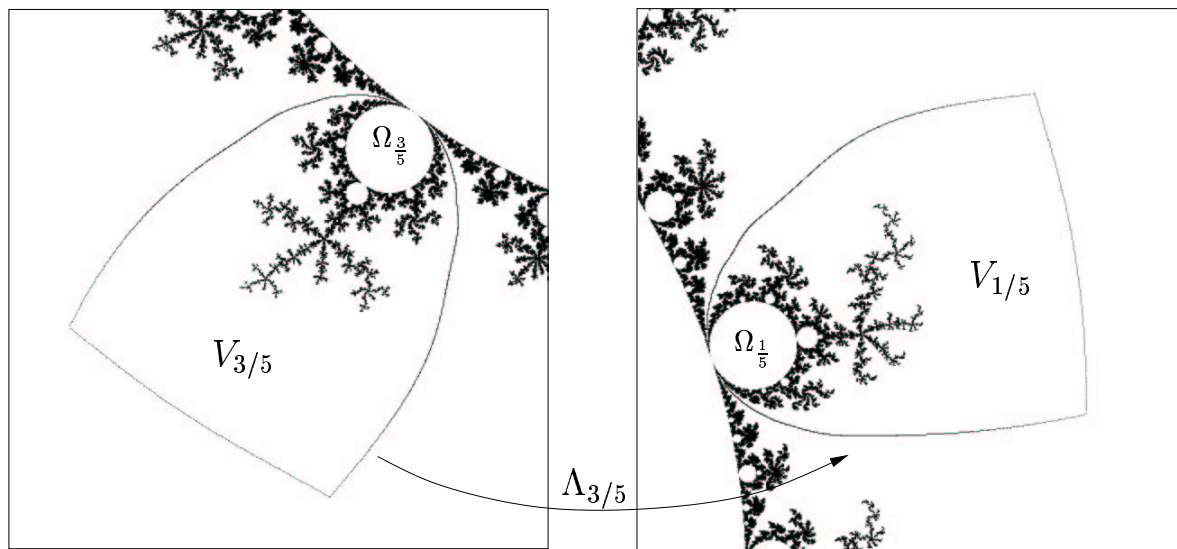


Figure 2: The map  $\Lambda_{3/5}$ .

**Remark 1.1.** Trivially, we can first restrict the domain of  $\Lambda_{p/q}$  to obtain a map from  $\tilde{V}_{p/q} \subset W_{p/q}$  to  $\tilde{V}_{1/q} \subset W_{1/q}$ , and then extend it quasiconformally to the wake, thus obtaining a homeomorphism  $\tilde{\Lambda}_{p/q} : W_{p/q} \rightarrow W_{1/q}$  which is quasi-conformal from  $W_{p/q} \setminus M_{p/q}$  to  $W_{1/q} \setminus M_{1/q}$ . Moreover, Branner and Lyubich have recently announced that the homeomorphisms in [BF] between limbs are quasi-conformal, hence the maps above are quasi-conformal from  $W_{p/q}$  to  $W_{1/q}$ .

We will construct the extension using holomorphic surgery but, this time, we will have to deal also with polynomials with a disconnected Julia set.

An essential step in proving the bijectivity of  $\Phi_{pp'}^q$  was that two polynomials that are hybrid equivalent and have a connected Julia set must also be affine conjugate. Since this is false if the Julia set is disconnected, the proof of injectivity will be completely different.

The paper is organized as follows. Section 2 contains some general preliminaries about dynamics of polynomials (which the expert reader may skip). In Section 3 we build up the necessary setup and notation to be able to give a precise statement of the main Theorem. Section 4 is dedicated to the proof and divided in three main parts: the definition of the map in Section 4.2, the proof of continuity in Section 4.3 and the proof of injectivity in Section 4.4.

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**Notation.** We shall denote the interior of a set  $A$  by  $\text{int}(A)$  and uniform convergence on compact subsets by the symbol  $\rightrightarrows$ .

## 2 Preliminaries

### 2.1 Dynamics of quadratic polynomials

An essential tool to study the dynamics of complex polynomials is the map known as the Böttcher map or Böttcher parametrization. For any  $c \in \mathbb{C}$  there exists a real number  $\nu \geq 0$ , a neighborhood  $\mathcal{U}_c$  of infinity and a unique holomorphic isomorphism tangent to the identity at infinity

$$\psi_c : \mathbb{C} \setminus \overline{\mathbb{D}}_{e^\nu} \rightarrow \mathcal{U}_c$$

which conjugates  $Q_0(z) = z^2$  to the map  $Q_c$ . The map  $\psi_c$  is called the Böttcher parametrization of  $f$  around infinity. Its inverse is called the Böttcher coordinate.

If the critical point,  $\omega = 0$ , does not belong to the basin of infinity, and hence  $K_c$  is connected, the set  $\mathcal{U}_c$  is in fact the complement of the filled Julia set and  $\nu = 0$ . In the case where  $K_c$  is disconnected,  $\nu > 0$  can be chosen so that the critical point belongs to the boundary of  $\mathcal{U}_c$ . See Figure 3. The Böttcher coordinates can be defined holomorphically past the set  $\mathbb{C} \setminus \overline{\mathbb{D}}_{e^\nu}$  (see Proposition 3.2) but not globally.

We can also lift  $Q_0$  to the map  $\mathcal{M}_2(z) := 2z$  in the right half plane  $\mathbb{H}$ , the universal covering space.

In summary, the following diagram commutes

$$\begin{array}{ccc} \mathbb{H}_\nu & \xrightarrow{\mathcal{M}_2} & \mathbb{H}_\nu \\ \exp \downarrow & & \downarrow \exp \\ \mathbb{C} \setminus \overline{\mathbb{D}}_{e^\nu} & \xrightarrow{Q_0} & \mathbb{C} \setminus \overline{\mathbb{D}}_{e^\nu} \\ \psi_c \downarrow & & \downarrow \psi_c \\ \mathbb{C} \setminus \mathcal{U}_c & \xrightarrow{Q_c} & \mathbb{C} \setminus \mathcal{U}_c \end{array}$$

where  $\mathbb{H}_\nu = \{\rho + 2\pi it \in \mathbb{H} \mid \rho > \nu\}$ , and keeping in mind that  $\nu = 0$  when  $K_c$  is connected.

We remark that in the case of  $K_c$  being connected and locally connected,  $\psi_c$  extends continuously to the boundary of  $\mathbb{D}$ , so that  $\psi_c$  is defined on  $\mathbb{C} \setminus \mathbb{D}$ . Even in the case when  $K_c$  is not locally connected, there is a set of points of full measure on  $\partial\mathbb{D}$  where the radial extension of  $\psi_c$  is well defined. This set always includes the points with rational arguments.

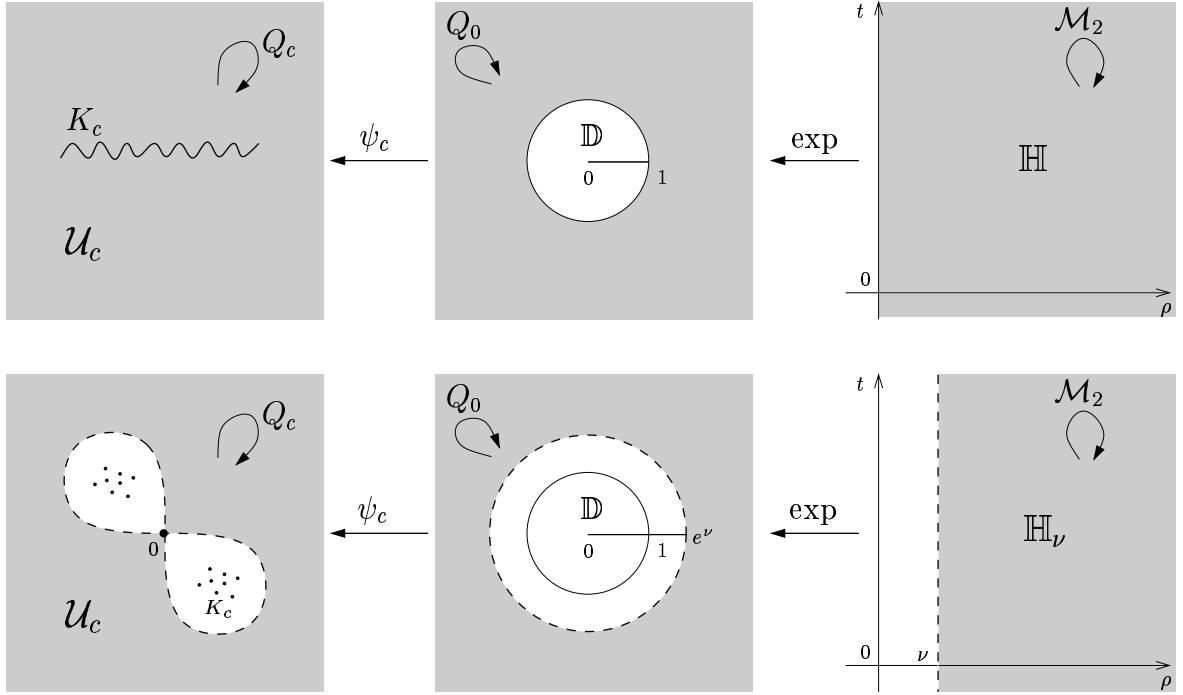


Figure 3: The Böttcher parametrization for both the connected and the disconnected case.

The potential  $G_c : \mathbb{C} \setminus K_c \rightarrow \mathbb{R}_+$  (Green's function) of  $K_c$  satisfies

$$\begin{cases} G_c(z) = \log(|\psi_c^{-1}(z)|) & \text{if } z \in \mathcal{U}_c \\ G_c(z) = \frac{1}{2^n} G_c(Q_c^n(z)) & \text{if } Q_c^n(z) \in \mathcal{U}_c. \end{cases}$$

and hence  $G_c(Q_c(z)) = 2G_c(z)$  for all  $z \in \mathbb{C} \setminus K_c$ . The potential measures the rate of escape of points under iteration of  $Q_c$ . The level sets of the potential function are called *equipotentials*. See Figure 4. Equipotentials in  $\mathcal{U}_c$  are simple closed curves which correspond in the complement of  $\overline{\mathbb{D}_{e^\nu}}$  to circles around the origin and on  $\mathbb{H}_\nu$  to vertical lines. If  $K_c$  is connected then all equipotentials are simple closed curves. If  $K_c$  is a Cantor set then  $\nu = G_c(0)$  and the equipotential of potential  $\nu$  is a figure eight, the boundary of  $\mathcal{U}_c$ .

Given  $t \in \mathbb{R}$  we denote by  $R(t)$  the horizontal line in  $\mathbb{H}$  with imaginary part equal to  $2\pi t$ , i.e.,

$$R(t) := \{\rho + 2\pi it \in \mathbb{H} \mid \rho > 0\}.$$

If  $K_c$  is connected, we may transport  $R(t)$  to the dynamical plane all the way. In that case, we define the *external ray of argument  $t$*  to be

$$R_c(t) = \psi_c(\exp(R(t)))$$

Note that  $R_c(t)$  is an orthogonal trajectory to equipotentials.

If  $R_c(t)$  has a limit when  $\rho \rightarrow 0$ , then it tends to a point of the Julia set which we denote by  $R_c^*(t)$ . We say that the ray *lands* at this point and we have

$$Q_c(R_c^*(t)) = R_c^*(2t).$$

All external rays with rational arguments land and if  $K_c$  is locally connected all external rays land.

If  $K_c$  is a Cantor set, we may transport  $R(t)$  under  $\psi_c \circ \exp$  on the part that intersects  $\mathbb{H}_\nu$ , obtaining a ray in  $\mathcal{U}_c$ . For a given  $t \in \mathbb{R}$  the ray segment extends unbroken as an orthogonal trajectory to equipotentials of decreasing potential, either all the way to 0, or down to a level where it branches at the critical point 0 or an iterated preimage of 0.

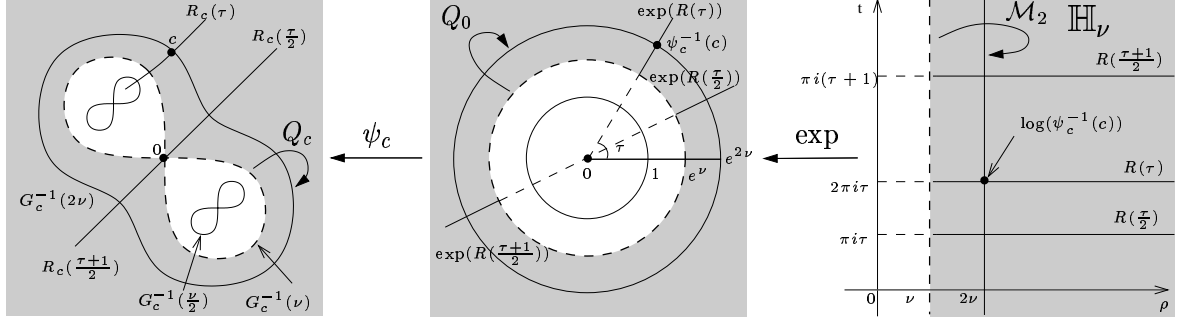


Figure 4: Equipotentials and external rays in a disconnected case.

## 2.2 The parameter plane of quadratic polynomials

Let  $M$  denote the Mandelbrot set as defined in the introduction. The results in this section can be found in [DH1] or [Br].

The map  $\phi_M : \mathbb{C} \setminus M \rightarrow \mathbb{C} \setminus \overline{\mathbb{D}}$  defined as  $\psi_c^{-1}(c)$  is a conformal isomorphism. We define an *external ray of external argument*  $\theta$  as

$$R_M(\theta) = \phi_M^{-1}(\exp(R(\theta))) = \phi_M^{-1}\left(\{e^{\rho+2\pi i\theta}\}_{0 < \rho < \infty}\right).$$

If  $R_M(\theta)$  has a limit  $c \in \partial M$  when  $\rho \rightarrow 0$  we say that  $R_M(\theta)$  *lands* at  $c$ . It is known that all external rays with rational arguments land at either a root of a hyperbolic component or at a *Misiurewicz point*, i.e., a parameter value  $c \in \partial M$  for which  $\omega = 0$  is strictly preperiodic under  $Q_c$ .

There are exactly two external rays landing at each root point in  $M$  (except at  $c = 1/4$ ). Given  $p/q \in (0, 1) \cap \mathbb{Q}$ , we denote by  $\theta_{p/q}^-$  and  $\theta_{p/q}^+$  the arguments of the two external rays landing at the root point of  $\Omega_{p/q}$ , i.e., at  $\gamma_{\Omega_0}(p/q) \in \partial\Omega_0$ . Then, we define the  $p/q$ -wake of  $M$ ,  $W_{p/q}$ , as the open subset of  $\mathbb{C}$  that contains the  $p/q$ -limb of  $M$  and is bounded by these two rays and  $\gamma_{\Omega_0}(p/q)$  (see Figure 1).

The characterization of polynomials  $Q_c$  for which  $c \in W_{p/q}$  is as follows. Consider the dynamical plane of  $Q_c$ . The polynomial has exactly two fixed points, both repelling, denoted

by  $\alpha_c$  and  $\beta_c$ . The fixed point  $\beta_c$  is the landing point of the ray  $R_c(0)$ . The fixed point  $\alpha_c$  is the landing point of a periodic cycle of  $q$  rays, with combinatorial rotation number  $p/q$ . The arguments of these rays depend only on  $p/q$  and include  $\theta_{p/q}^+$  and  $\theta_{p/q}^-$ . Moreover, these rays are unbranched, since the critical point and no preimages of it ever fall on them. It follows that all the preimages of these rays are also unbranched.

### 2.3 Tools

In the surgery construction we shall use the theory of quasi-conformal mappings, the Measurable Riemann Mapping Theorem, and what essentially is the theory of Polynomial-like mappings of Douady and Hubbard. For the main definitions and statements we refer to the Tools section in [BF], or to any of the original sources like [A, AB, DH2].

In this section, we point out a few important facts that we shall use when dealing with quadratic polynomials whose Julia set is disconnected.

Recall that two polynomials  $f$  and  $g$  are said to be *topologically equivalent* (or *locally topologically conjugate*) ( $f \sim_{\text{top}} g$ ) if there exists a homeomorphism between a neighborhood of  $K_f$  and a neighborhood of  $K_g$  such that  $g \circ h = h \circ f$ . If the homeomorphism  $h$  can be chosen to be quasi-conformal we say that  $f$  and  $g$  are *quasi-conformally equivalent* and denote it by  $f \sim_{\text{qc}} g$ . If moreover,  $\bar{\partial}h = 0$  a. e. on  $K_f$ , then we say that  $f$  and  $g$  are *hybrid equivalent* and we denote it by  $f \sim_{\text{hb}} g$ . Finally,  $f$  and  $g$  are *holomorphically equivalent* if  $h$  is holomorphic. The strongest type of conjugacy is a (*global*) *holomorphic conjugacy* or *affine conjugacy* which is given by  $h$  being holomorphic and defined on all of  $\mathbb{C}$  or, equivalently, affine.

Recall that the quadratic family is usually written in the form  $Q_c(z) = z^2 + c$  because in this way, there is a unique representative of each affine conjugacy class. That is to say, if  $Q_c$  and  $Q_{c'}$  are affine conjugate, then  $c = c'$ .

When dealing with polynomials  $Q_c$  with  $c$  in the Mandelbrot set, the same is true for the classes of hybrid equivalence because of the following fact.

**Proposition 2.1 ([DH2]).** *Let  $f$  and  $g$  be polynomials of degree  $d > 1$  with  $K_f$  and  $K_g$  connected. If  $f$  and  $g$  are hybrid equivalent, then they are affine conjugate.*

But this is not true for polynomials with a disconnected Julia set. For quadratic polynomials  $Q_c$  with  $c$  outside of  $M$  we have the following.

**Proposition 2.2.** *All polynomials  $Q_c$  with  $c \notin M$  are hybrid equivalent to each other.*

## 3 The Main Theorem

The goal of this section is to build up the necessary setup and notations to give a more precise statement of the main theorem. This setup will also be used in the proof. Throughout the section we fix  $p/q \in (0, 1) \cap \mathbb{Q}$  and consider polynomials  $Q_c$  with  $c \in W_{p/q}$ .



### 3.1 Dynamical Plane

Recall that for each  $c \in \mathbb{W}_{p/q}$ , there are  $q$  rays landing at  $\alpha_c$ .

The other preimage of  $\alpha_c$  under  $Q_c$  is the point  $\tilde{\alpha}_c = -\alpha_c$ . There are  $q$  additional rays landing at  $\tilde{\alpha}_c$ , and their arguments are preimages under doubling of the arguments of the rays landing at  $\alpha_c$ . Figure 5 shows an example of a Julia set in the 3/5-limb, together with the rays described above.

The rays landing at  $\alpha_c$  and  $\tilde{\alpha}_c$  partition the dynamical plane into  $2q - 1$  closed subsets. We denote the subset containing the critical point by  $V_c^0$ , and the others by  $V_c^i$  or  $\tilde{V}_c^i = -V_c^i$  for  $i = 1, 2, \dots, q - 1$  as shown in Figure 5. Note that these subsets have their counterparts in the right half plane, the same for all  $c \in \mathbb{W}_{p/q}$ , hence we shall use the same notation but without the subscript  $c$ . For  $1 \leq i \leq q$  we let  $\theta^i \in (0, 1)$  be the argument of the ray on the common boundary of  $V_c^{i-1}$  and  $V_c^i$ . In the same fashion,  $\tilde{\theta}^i$  denotes the argument of the ray  $R_c(\tilde{\theta}^i) = -R_c(\theta^i)$ . Note that  $R_c(\tilde{\theta}^i) = R_c(\tilde{\theta}^i + 1/2)$ .

Then,  $Q_c$  acts on these sets as follows:

$$\begin{array}{lcl} V_c^0 & \xrightarrow{2-1} & V_c^p \\ V_c^i, \tilde{V}_c^i & \xrightarrow{1-1} & V_c^{[i+p \pmod{q}]} \quad \text{for } 0 < i \leq q-1, i \neq q-p \\ V_c^{q-p}, \tilde{V}_c^{q-p} & \xrightarrow{1-1} & V_c^0 \cup \bigcup_{i=1}^{q-1} \tilde{V}_c^i \end{array} \quad (1)$$

We establish the following conventions: in the dynamical plane and in expressions with integer indices like  $[i + p \pmod{q}]$  we will omit  $\pmod{q}$ , while in expressions with arguments, we will omit  $\pmod{1}$ . In both cases, it should be understood that expressions should be taken  $\pmod{q}$  and  $\pmod{1}$  respectively.

#### 3.1.1 Sectors

For later purposes, we need to define some subsets which we call *sectors*. They should be viewed as neighborhoods of rays  $R_c(\theta)$  that land.

Instead of viewing the sectors in the dynamical plane, it is better to think about them in the exterior of the unit disk or, even better, in the right half plane (see Figure 6).

**Definition.** For a fixed slope  $s > 0$  we define the sector centered at  $R(\theta)$  with slope  $s$  as

$$S(\theta) = S^s(\theta) = \{\rho + 2\pi i t \in \overline{\mathbb{H}} \mid |t - \theta| \leq s\rho\}.$$

The boundary of the sector is the two half lines of slope  $\pm 2\pi s$  which cross exactly at the root point of the sector  $2\pi i\theta$  (see Figure 6). For any positive real  $\lambda \in \mathbb{R}$ , the map  $\mathcal{M}_\lambda(z) = \lambda z$  maps the sector  $S(\theta)$  homeomorphically and holomorphically onto the sector  $S(\lambda\theta)$ , sending a point of potential (i.e. real part)  $\rho$  to a point of potential  $\lambda\rho$ . Therefore, for all  $\lambda \in \mathbb{R}$ , the map

$$\mathcal{H}_\lambda(z) = \mathcal{H}_{\lambda,\theta}(z) = \lambda z - 2\pi i\theta(\lambda - 1)$$

is a homeomorphism from any sector  $S(\theta)$  onto itself, mapping points of potential  $\rho$  to points of potential  $\lambda\rho$ . The map  $\mathcal{H}_\lambda$  is multiplication by  $\lambda$  with respect to the root point of the sector.

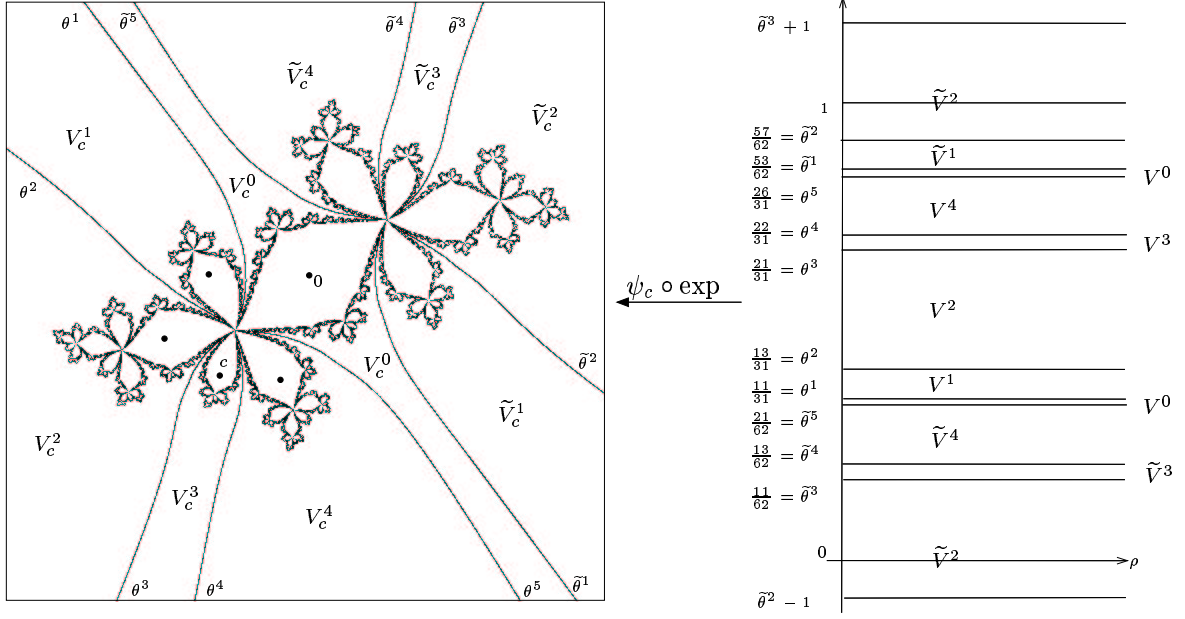


Figure 5: Left: The Julia set for the center of the main hyperbolic component  $\Omega_{3/5}$  in  $M_{3/5}$ , the relevant rays and the nine subsets in the plane. Right: the partition in  $\mathbb{H}$  for all  $c \in W_{3/5}$ .

Note that, as they are defined, any two sectors in  $\mathbb{H}$  overlap. To avoid overlapping of relevant sectors, we choose an arbitrary but fixed value  $\eta > 0$  and set

$$S_n(\theta) = S_n^{\eta, s}(\theta) = \{\rho + 2\pi it \in S^s(\theta) \mid \rho \leq \frac{\eta}{2n}\},$$

where  $n \in \mathbb{N} \cup \{0\}$ . We are interested in the sectors around the rays that land at the fixed point  $\alpha_c$  and its symmetrical point  $\tilde{\alpha}_c$ , and iterated preimages of these (see Figure 7 for an example). We set

$$S = S(\theta^1) \cup \dots \cup S(\theta^q)$$

$$\tilde{S} = S(\tilde{\theta}^1) \cup \dots \cup S(\tilde{\theta}^q)$$

The following proposition assures that the restricted sectors do not overlap, if the slope  $s$  is chosen sufficiently small (see Fig. 7). We refer to [BF] for the proof.

**Proposition 3.1.** Fix  $\eta > 0$  and  $0 < s < \frac{\pi}{\eta(2^q - 1)}$ . The sectors

$$S_0^s(\theta^i), 1 \leq i \leq q \quad \text{and} \quad S_n^s(\theta), \quad n \in \mathbb{N}$$

are all disjoint, where  $2^n \theta = \theta^j$  for some  $1 \leq j \leq q$ .

A sector, as defined in the right half plane, can be transported to a sector in dynamical plane if the map  $\psi_c$  is well defined on  $\exp(S^s(\theta))$ . In that case we define

$$S_c(\theta) = S_c^s(\theta) = \psi_c(\exp(S_0^s(\theta))),$$

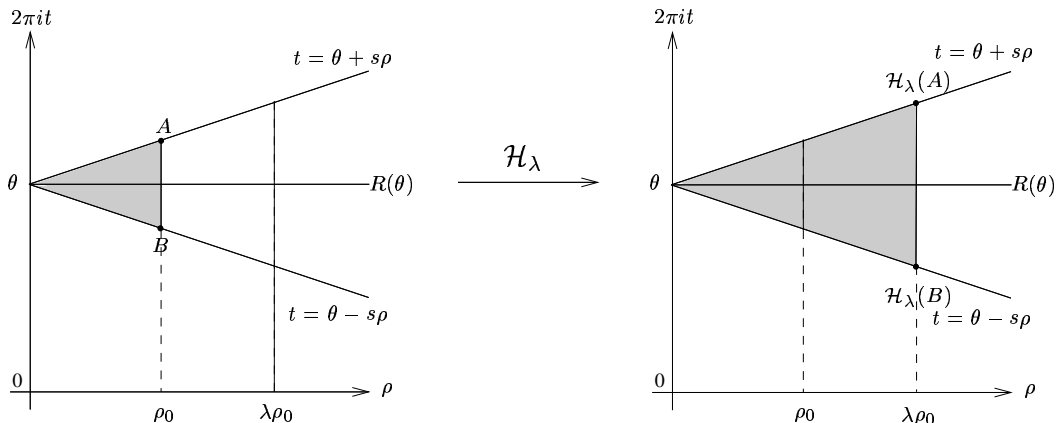


Figure 6: A sector and the homeomorphisms  $\mathcal{H}_\lambda$

which is a neighborhood of the ray  $R_c(\theta)$  in the dynamical plane.

In order to have sectors around the rays landing at  $\alpha_c$  always well defined in dynamical plane for  $c \in W_{p/q}$ , no matter if the Julia set is connected or not, we shall restrict  $c$ -values to a neighborhood of the  $p/q$ -limb. To find out what the appropriate restriction is, we need to study the Böttcher coordinates further.

### 3.1.2 Slits

In this section we want to make precise what the maximal domain of the Böttcher coordinates is.

**Definition.** Let  $\tau$  and  $\nu$  be such that  $\nu = G_c(0)$  and  $\log(\psi_c^{-1}(c)) = 2\nu + i2\pi\tau$ , where we have chosen the branch of the logarithm for which  $0 \leq \tau < 1$ . A *critical slit in  $\mathbb{H}$*  is any iterated preimage under doubling of the horizontal segments  $\{\rho + 2\pi i(\tau + m) \mid 0 < \rho \leq 2\nu, m \in \mathbb{Z}\}$ . More precisely, the critical slits are the horizontal segments (see Figure 8) of the form

$$\left\{ \rho + 2\pi \left( \frac{\tau + m}{2^n} + k \right) i \mid 0 < \rho \leq \frac{2\nu}{2^n}, 0 \leq m < 2^n, n \in \mathbb{N}, k \in \mathbb{Z} \right\}.$$

The *critical slits* in  $\mathbb{C} \setminus K_c$  are the union of the singular points of the vector-field  $\text{grad}G_c$  and their stable manifolds. Equivalently, these correspond to the preimages under the polynomial  $Q_c$  of the ray segment of argument  $\tau$  and potential less than  $2\nu$ ; if  $\tau$  is periodic of period  $k$  under doubling, then take iterated preimages of the ray segment of argument  $\tau$  and potential between  $2\nu/2^k$  and  $2\nu$ . Critical slits in the dynamical plane correspond to critical slits in the right half plane.

**Proposition 3.2.** Let  $\mathbb{C}_c^*$  denote the plane minus the closed unit disk after removing all the critical slits according to the chosen  $c$ -value. Likewise, let  $\mathbb{H}_c^*$  (respectively  $(\mathbb{C} \setminus K_c)^*$ ) be the right half plane  $\mathbb{H}$  (resp.  $(\mathbb{C} \setminus K_c)$ ) after removing all the critical slits and their translates by  $\mathbb{Z}2\pi i$ . Then, the map  $\psi_c : \mathbb{C} \setminus \overline{\mathbb{D}}_{e^\nu} \rightarrow \mathcal{U}_c$  extends to a conformal isomorphism

$$\psi_c : \mathbb{C}_c^* \longrightarrow (\mathbb{C} \setminus K_c)^*$$

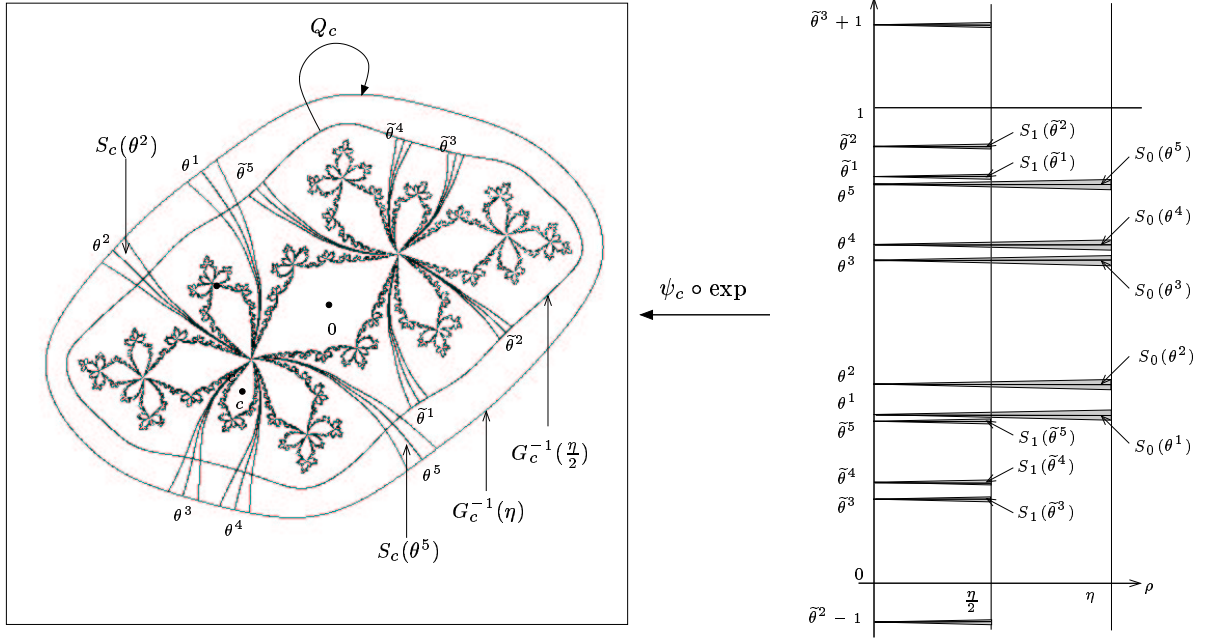


Figure 7: Examples of relevant sectors in the right half plane for  $c \in M_{3/5}$  and their correspondents in the dynamical plane. 0-sectors and 1-sectors have been drawn, with slope  $s < \frac{\pi}{\eta(2^q-1)}$  (with  $q = 5$ ).

conjugating  $Q_0$  to  $Q_c$ . Hence, the map  $\psi_c \circ \exp : \mathbb{H}_\nu \rightarrow \mathcal{U}_c$  extends to a conformal map

$$\psi_c \circ \exp : \mathbb{H}_c^* \longrightarrow (\mathbb{C} \setminus K_c)^*$$

conjugating the doubling map to  $Q_c$ .

*Proof. (Idea)* The extension of  $\psi_c$  is obtained inductively through successive lifts. The construction is similar to the extension of the Böttcher map in a neighborhood of infinity to the set  $\mathcal{U}_c$ . Let  $k \in \mathbb{N} \cup \{0\}$  be given and assume that

$$\psi_c : \mathbb{C}_c^* \cap (\mathbb{C} \setminus \overline{\mathbb{D}}_{e^{\nu/2^k}}) \rightarrow \{z \in (\mathbb{C} \setminus K_c)^* \mid G_c(z) > \nu/2^k\}$$

is a conformal isomorphism conjugating  $Q_0$  to  $Q_c$ . Then we obtain the extension to

$$\psi_c : \mathbb{C}_c^* \cap (\mathbb{C} \setminus \overline{\mathbb{D}}_{e^{\nu/2^{k+1}}}) \rightarrow \{z \in (\mathbb{C} \setminus K_c)^* \mid G_c(z) > \nu/2^{k+1}\}$$

as the lift of  $\psi_c \circ Q_0$  which extends  $\psi_c$ . □

### 3.2 Parameter plane

Our goal in this section is to make sure that, by restricting the  $c$ -values of  $W_{p/q}$  appropriately, we can have the Böttcher coordinates always well defined on the relevant sectors. In this way, we shall be able to work with the sectors on the right half plane, independently of the value of  $c$  in the (restricted) domain.

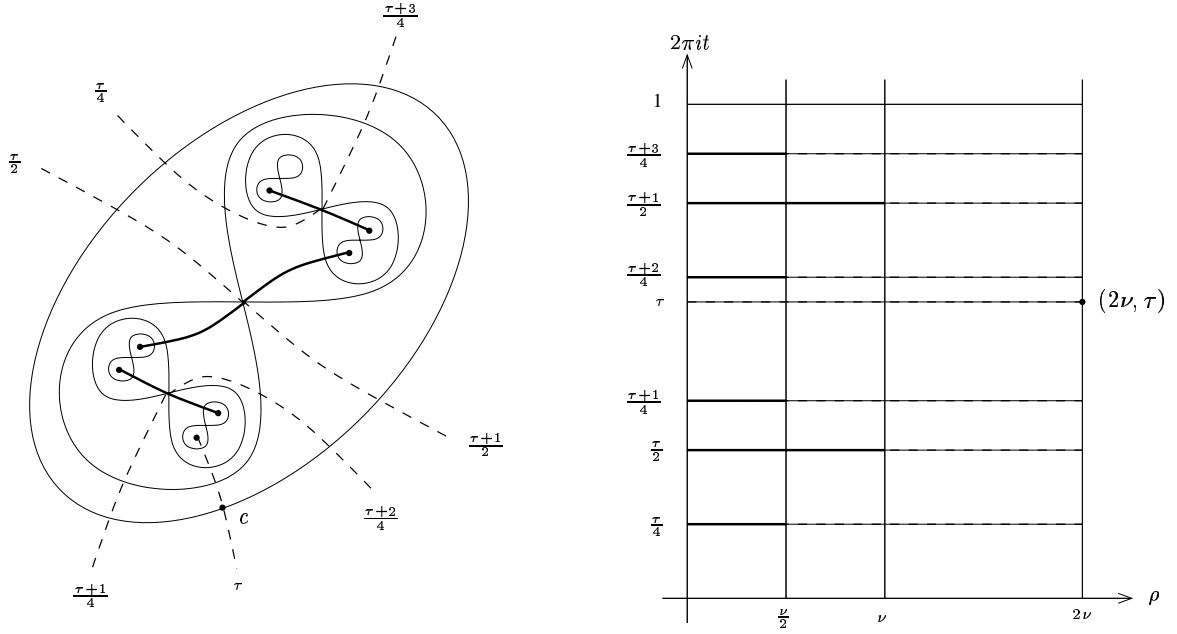


Figure 8: Critical slits in the dynamical plane and in the right half plane in a case where  $\tau$  is not periodic under doubling.

We define

$$S_M(\theta) = S_M^s(\theta) = \phi_M^{-1}(\exp(S(\theta))),$$

which is a neighborhood of the ray  $R_M(\theta)$  in the parameter plane. Let  $\theta_{p/q}^\pm$  be the arguments of the two rays landing at the root point of the limb  $M_{p/q}$  (observe that  $\theta_{p/q}^- = \theta^p$  and  $\theta_{p/q}^+ = \theta^{p+1}$ ).

**Definition.** Given  $\eta > 0$  and  $s < \frac{\pi}{\eta(2^q-1)}$  we define the set (See figure 9)

$$W_{p/q}^{\eta,s} = \{c \in W_{p/q} \mid c \notin S_M^s(\theta_{p/q}^\pm) \text{ and } G_M(c) < \eta\}.$$

The main proposition is as follows.

**Proposition 3.3.** *If  $c \in W_{p/q}^{\eta,s}$ , then sectors in  $S$  and  $\tilde{S}$  are contained in  $\mathbb{H}^*$ . Hence, they project to sets  $S_c$  and  $\tilde{S}_c$  under  $(\psi_c \circ \exp)$  so that sectors around the rays landing at  $\alpha_c$  and  $\tilde{\alpha}_c$  are well defined.*

*Proof.* There is nothing to prove if  $c \in M_{p/q}$ . Hence assume  $c \in W_{p/q}^{\eta,s} \setminus M_{p/q}$ . From the hypothesis  $c \in W_{p/q}^{\eta,s}$ , it follows directly that  $\log(\phi_M(c)) \notin S^s(\theta_{p/q}^\pm)$  and hence,  $\log(\phi_M(c))$  cannot belong to any sector in  $S$  or  $\tilde{S}$ . Therefore no preimage under doubling of  $\log(\phi_M(c))$  can belong to any of these sets, since  $\mathcal{M}_2$  maps  $\tilde{S}$  to  $S$ , and  $S$  to itself (up to vertical translation). It then follows that no critical slit can intersect  $S$  or  $\tilde{S}$ .  $\square$

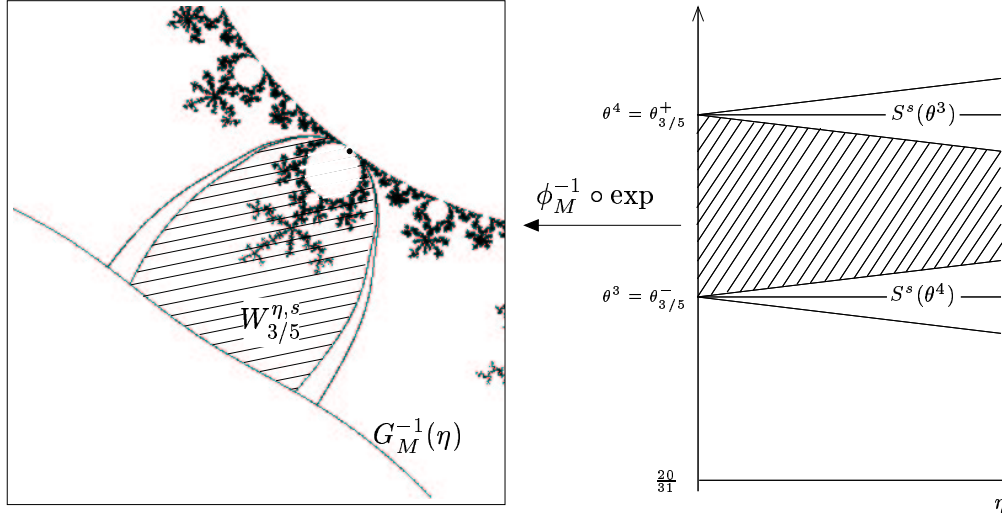


Figure 9: Sketch of the neighborhood  $W_{3/5}^{\eta, s}$  of the limb  $M_{3/5}$  and the correspondant of  $W_{3/5}^{\eta, s} \setminus M_{3/5}$  in the right half plane.

### 3.3 Statement

We are now ready to give a precise statement of the Main Theorem. For technical reasons (to be explained later in Section 4.2.1) we set  $\eta' = 2^{(q-1)(1-1/p)}\eta$  and  $s(\eta) = \frac{\pi}{\eta'(2^q-1)}$ . Since  $\eta' > \eta$ , we have  $s(\eta) < \frac{\pi}{\eta(2^q-1)}$ .

**Main Theorem.** *Let  $p/q \in (0, 1) \cap \mathbb{Q}$ . Then, for any  $\eta > 0$  and any slope  $s < s(\eta)$ , there exists an injective map*

$$\Lambda_{p/q} : W_{p/q}^{\eta, s} \longrightarrow \mathbb{C}$$

such that,

- it is a homeomorphism onto its image;
- $\Lambda_{p/q} \mid_{M_{p/q}} \equiv \Phi_{p,1}^q$ ; hence it is a homeomorphism between both limbs, holomorphic in the interior, and
- the map is quasi-conformal on  $W_{p/q}^{\eta, s} \setminus M_{p/q}$ .

Therefore, this map is an extension of the homeomorphisms in [BF]. It follows without assuming local connectivity of  $M$ , that the homeomorphism  $\Phi_{p,1}^q : M_{p/q} \rightarrow M_{1/q}$  is compatible with the embedding of the limbs in the plane.

## 4 Proof of the Main Theorem

### 4.1 Idea of the proof

We start with a quadratic polynomial  $Q_c$  with  $c$  in the  $p/q$ -wake. Without leaving the plane and using this polynomial, we define a new map  $g_c$  which presents the combinatorial properties

of a quadratic polynomial in the  $1/q$ -wake. This new map is holomorphic everywhere except on the rays landing at  $\alpha_c$  and  $\widetilde{\alpha}_c$ , where it is not even continuous.

To fix this problem, we chose some sectors around these rays (in the complement of the filled Julia set) and we define a new map  $f_c$  which is quasi-regular and equals  $g_c$  everywhere outside the sectors. This construction is done (up to where it is possible) on the right half plane (conveniently restricted), and brought back to dynamical plane by means of the Böttcher parametrization. Hence, the necessary choices are made, once and for all, for all values of  $c$ . These choices are made in a very special way to obtain the following crucial fact: *although  $f_c$  is only quasi-regular, its  $q^{\text{th}}$  iterate  $f_c^q$  is holomorphic on the sectors.*

Up to this point,  $f_c$  is only defined on a topological disk  $X'_c$  which contains the Julia set. Moreover  $f_c$  maps  $X'_c$  to another topological disk  $X_c$  which contains  $X'_c$  compactly.

In other instances of surgery (for example in [BD] or [BF]), at this point one would construct an invariant almost-complex structure and integrate it to obtain a polynomial-like mapping conjugate to  $f_c$ . After that, the Straightening Theorem would be applied. In this proof, we shall do both steps at once.

Hence, next step consists, as in the proof of the Straightening Theorem, of extending  $f_c$  to a globally defined map  $F_c$  which is quasi-regular and conjugate to  $z \mapsto z^2$  on a neighborhood of infinity, (precisely on  $\mathbb{C} \setminus X_c$ ). We then construct an almost-complex structure  $\sigma_c$  on  $\widehat{\mathbb{C}}$  that is invariant under  $F_c$ . It is at this point where a difficulty arises: we cannot apply the Shishikura Principle which requires the map to be holomorphic everywhere except on regions where the orbits pass at most once. Indeed, orbits pass an unbounded number of times through the sectors where the map  $F_c$  is not holomorphic. Hence, it seems a priori that any invariant complex structure would have an unbounded dilatation ratio on these sectors. However, this problem is eliminated by using the crucial fact mentioned above: the  $q^{\text{th}}$  iterate  $F_c^q$  is holomorphic on the sectors. Therefore, the principle can be applied to  $F_c^q$ .

We finally apply the Measurable Riemann Mapping Theorem to integrate  $\sigma_c$  and obtain a quadratic polynomial  $Q_{\Lambda(c)}$  conjugate to  $F_c$ .

This process provides the definition of the map  $\Lambda_{p/q} : W_{p/q}^{\eta,s} \rightarrow \mathbb{C}$  as  $\Lambda_{p/q}(c) = \Lambda(c)$ . In Section 4.3 we prove that this map is continuous and that it is an extension of the map  $\Phi_{p,1}^q$  in [BF]. In Section 4.4 we show that it is injective and quasi-conformal outside the limb.

## 4.2 Definition of $\Lambda_{p/q}$

### 4.2.1 The combinatorial construction

In this section, we start with a quadratic polynomial in the  $p/q$ -wake, and we construct a new map  $g_c$  which exhibits the combinatorial properties of a quadratic polynomial in the  $1/q$ -wake. This new map is holomorphic everywhere, except on the rays landing at  $\alpha_c$  and  $\widetilde{\alpha}_c$ , where it has a shift discontinuity. We also define a topological disk, whose boundary is made of pieces of equipotential curves joined along these rays, such that  $g_c$  maps this disk outside itself (except for some pieces on these external rays).

Let  $p/q$ ,  $\theta^i$ ,  $\widetilde{\theta}^i$  for  $i = 1, \dots, q$ ,  $V^i$  and  $\widetilde{V}^i$  for  $i = 0, 1, \dots, q - 1$  be as in Section 3.1. We first establish some combinatorial facts and then proceed to define the new map.

**Definition of  $n[i]$ .** For  $1 \leq i \leq q-1$ , we define  $n[i]$  to be the smallest positive integer such that

$$n[i]p \equiv i \pmod{q}.$$

We set also  $n[0] = 0$  and  $n[q] = q$ .

Dynamically,  $n[i]$  is the number of iterates of the quadratic polynomial  $Q_c$  that are necessary to map  $V_c^0$  to  $V_c^i$ , for  $1 \leq i \leq q-1$ . Observe that  $1 \leq n[i] \leq q-1$  and that  $n[i]$  only depends on  $p/q$ . The set  $\{n[0], n[1], \dots, n[q]\}$  is a permutation of the set  $\{0, 1, \dots, q\}$ .

**Definition of  $k[i]$ .** For  $0 \leq i \leq q-1$ , we define

$$k[i] = n[i+1] - n[i].$$

Note that  $\sum_{i=0}^{q-1} k[i] = n[q] - n[0] = q$ . Suppose  $0 < i \leq q-2$ . Dynamically, if  $k[i]$  is strictly positive it coincides with the number of iterates of  $Q_c$  needed to map  $V_c^i$  to  $V_c^{i+1}$  injectively. If  $k[i]$  is negative we need  $|k[i]|$  iterates of  $Q_c$  to map  $V_c^{i+1}$  onto  $V_c^i$  injectively. Hence, for  $0 \leq i \leq q-2$  we have

$$\begin{aligned} Q_c^{k[0]} &: V_c^0 \xrightarrow{2-1} V_c^1 \\ Q_c^{k[i]} &: V_c^i \xrightarrow{1-1} V_c^{i+1} && \text{if } 1 \leq i \leq q-2 \text{ and } k[i] > 0 \\ (Q_c^{-k[i]}|_{V_c^{i+1}})^{-1} &: V_c^i \xrightarrow{1-1} V_c^{i+1} && \text{if } 1 \leq i \leq q-2 \text{ and } k[i] < 0 \\ Q_c^{k[q-1]} &: V_c^{q-1} \xrightarrow{1-1} \bigcup_{i=1}^{q-1} \tilde{V}_c^i \cup V_c^0 \end{aligned}$$

**Lemma 4.1.** For all  $0 \leq i \leq q-1$ ,

$$k[i] = \begin{cases} n[1] & \text{if } k[i] > 0 \\ n[1] - q & \text{if } k[i] < 0 \end{cases}$$

*Proof.* The set  $\{n[0], n[1], \dots, n[q]\}$  is a permutation of  $\{0, 1, \dots, q\}$ , hence there is a unique element in  $\{0, p, 2p, \dots, (q-1)p\}$  which is congruent to each  $0 \leq i \leq q-1$ . The same is true for  $\{-(q-1)p, \dots, -2p, -p, 0\}$  since, for each  $0 \leq i \leq q-1$ , we have  $-(q-n[i])p \equiv i \pmod{q}$ .

We now subtract the equalities

$$\begin{aligned} n[i+1]p &= i+1 + nq \\ n[i]p &= i + mq \end{aligned}$$

obtaining  $(n[i+1] - n[i])p = 1 + (n-m)q$ . Hence,  $k[i]p \equiv 1 \pmod{q}$ . But  $-(q+1) \leq k[i] \leq q-1$ . Therefore  $k[i]$  equals  $n[1]$  or  $-(q-n[1]) = n[1] - q$ .  $\square$

We observe that we have the symmetry  $n[j] + n[q-j] = q$  for all  $j = 0, \dots, q$ . Hence,  $k[j-1] = k[q-j]$  and, in particular

$$k[q-1] = k[0] = n[1].$$

It will be useful also to observe the following property.



**Lemma 4.2.** For all  $0 \leq i \leq q$ ,

$$n[i]p \leq i + (p-1)q.$$

*Proof.* We know that  $n[i]p \equiv i \pmod{q}$ . Hence  $n[i]p = i + nq$  for some  $n \in \mathbb{Z}$ . Assume the lemma is false, i.e.,  $n > p-1$ . Then,  $n \geq p$  and  $n[i]p \geq i + pq$ . But this is a contradiction since  $n[i]p \in \{0, p, 2p, \dots, (q-1)p\}$ .  $\square$

We now proceed to define the map  $g_c$ . Essentially,  $g_c := Q_c^{k[i]}$  on  $V_c^i$ . More precisely,

**Definition.** On the complement of the set of rays that land at  $\alpha_c$  and  $\tilde{\alpha}_c$  we define the map  $g_c$  to be

$$g_c(z) = \begin{cases} Q_c^{n[1]}(z) & \text{if } z \in V_c^i \text{ and } k[i] > 0, i = 0, \dots, q-1 \\ (Q_c^{q-n[1]}|_{V_c^{i+1}})^{-1}(z) & \text{if } z \in V_c^i \text{ and } k[i] < 0, i = 1, \dots, q-2 \\ g_c(-z) & \text{if } z \in \tilde{V}_c^i, i = 1, \dots, q-1 \end{cases}$$

By the remarks above, it follows that

$$\begin{aligned} g_c(V_c^i) &= g_c(\tilde{V}_c^i) = V_c^{i+1} & \text{for } 1 \leq i \leq q-2 \\ g_c(V_c^{q-1}) &= g_c(\tilde{V}_c^{q-1}) = \bigcup_{i=1}^{q-1} \tilde{V}_c^i \cup V_c^0 \end{aligned}$$

Hence we observe that, combinatorially, the dynamics of  $g_c$  are those of a quadratic polynomial in the  $1/q$ -wake. Moreover,  $g_c$  is continuous in  $K_c$  and holomorphic in the interior of  $K_c$ .

**Remark 4.3.** Observe that points with a finite orbit (periodic or preperiodic) under  $Q_c$  are still points with a finite orbit under  $g_c$ . If  $Q_c$  has an attracting cycle then  $g_c$  must also have an attracting cycle. Moreover, one can check that  $g_c^q = Q_c^q$ .

Clearly, this map needs to be modified since it is not continuous on the set of rays that land either at  $\alpha_c$  or  $\tilde{\alpha}_c$  (although it is holomorphic everywhere else). We will now study these shift discontinuities in more detail.

Given any Jordan curve  $\gamma$  we denote by  $B(\gamma)$  the bounded connected component of  $\mathbb{C} \setminus \gamma$ .

Keeping in mind that our goal is to obtain a polynomial-like mapping, we want to start by defining, for a given  $\sigma > 0$ , two simple closed curves  $\hat{\gamma}'_c = \hat{\gamma}'_{\sigma,c}$  and  $\hat{\gamma}_c = \hat{\gamma}_{\sigma,c}$ , made out of pieces of equipotentials joined along rays, such that

- 1)  $g_c(\hat{\gamma}'_c) = \hat{\gamma}_c$ , and
- 2)  $B(\hat{\gamma}'_c) \subset B(\hat{\gamma}_c)$

We call  $\sigma_0, \dots, \sigma_{q-1}$  (resp.  $\sigma'_0, \dots, \sigma'_{q-1}$ ) the potential of  $\hat{\gamma}_c$  (resp.  $\hat{\gamma}'_c$ ) on  $V_c^0, \dots, V_c^{q-1}$ .

These potentials are not easy to find since the map  $g_c$  is a forward iterate of the polynomial on some regions while in others is a backward one. As a consequence, we cannot take  $\hat{\gamma}_c$  to be an equipotential curve and obtain that its preimage under  $g_c$  will be contained inside  $B(\hat{\gamma}_c)$ . Neither is it possible to construct these curves out of pieces of equipotentials of potential  $2^n \sigma$

for  $n \in \mathbb{Z}$ . In between two equipotential curves of potential  $\sigma$  and  $2\sigma$  respectively, we will consider others of potential

$$2^{\frac{1}{p}}\sigma, 2^{\frac{2}{p}}\sigma, \dots, 2^{\frac{p-1}{p}}\sigma$$

and also these ones multiplied by 2,  $2^2$ , etc, up to a maximum of  $\sigma_0 = 2^{q-\frac{q-1}{p}}\sigma$ . The idea for choosing the numbers  $\sigma_i$  and  $\sigma'_i$  is as follows. Set  $\sigma'_0 = \sigma$ . We know that, to map  $V_c^i$  to  $V_c^{i+1}$  we move  $k[i]$  (whole) potential levels up or down, depending on  $k[i]$  being positive or negative. This forces  $\sigma_1 = 2^{k[0]}\sigma$ . We take, by choice,  $\sigma'_1 = 2^{-1/p}\sigma_1 = 2^{k[0]-1/p}\sigma$  and this again forces  $\sigma_2 = 2^{k[0]+k[1]-1/p}\sigma$ . As before we take by choice  $\sigma'_2 = 2^{-1/p}\sigma_2$  and continue this procedure until we arrive at

$$\sigma'_{q-1} = 2^{k[0]+\dots+k[q-2]-\frac{q-1}{p}}\sigma = 2^{n[q-1]-\frac{q-1}{p}}\sigma$$

and hence

$$\sigma_0 = 2^{k[q-1]}\sigma'_{q-1} = 2^{k[0]+\dots+k[q-1]-\frac{q-1}{p}}\sigma = 2^{q-\frac{q-1}{p}}\sigma,$$

where we have used that  $k[0] + \dots + k[q-1] = q$ .

We summarize this process in the following proposition (see Figure 10).

**Proposition 4.4.** *Given  $\sigma > 0$ , let  $\hat{\gamma}'_c$  be the curve made of pieces of equipotential curves (joined along rays) of potential*

$$\sigma'_i = 2^{n[i]-\frac{i}{p}}\sigma, \quad \text{on } V_c^i \cup \tilde{V}_c^i, \text{ for } 0 \leq i \leq q-1.$$

Let  $\hat{\gamma}_c$  be the curve made of pieces of equipotential curves (joined along rays) of potential

$$\begin{aligned} \sigma_0 &= 2^{q-\frac{q-1}{p}}\sigma && \text{on } V_c^0 \cup \bigcup_{i=1}^{q-1} \tilde{V}_c^i \\ \sigma_i &= 2^{k[i-1]}\sigma'_{i-1} \\ &= 2^{n[i]-\frac{i-1}{p}}\sigma && \text{on } V_c^i, \text{ for } 1 \leq i \leq q-1 \end{aligned}$$

Then,

- a)  $g_c(\hat{\gamma}'_c) = \hat{\gamma}_c$ , and
- b)  $B(\hat{\gamma}'_c) \subset B(\hat{\gamma}_c)$

*Proof.* Statement (a) is clear by construction.

For the sets  $V_c^1, \dots, V_c^{q-1}$ , statement b) is clear from the definition. To prove it for  $V_c^0 \cup \bigcup_{i=1}^{q-1} \tilde{V}_c^i$ , we need to show that  $\sigma'_i < \sigma_0$  for any  $0 \leq i \leq q-1$ , i.e.,

$$\sigma'_i < 2^{q-\frac{q-1}{p}}\sigma, \quad \text{for all } 0 \leq i \leq q-1.$$

We start with  $i = 0$ . Since  $\sigma'_0 = \sigma$ , we only need to show that  $q - \frac{q-1}{p} > 0$ , or equivalently  $\frac{1}{p}(q(p-1) + 1) > 0$  which is clear since  $p \geq 1$ .

For  $1 \leq i \leq q-1$  we must show

$$n[i] - \frac{i}{p} < q - \frac{q-1}{p},$$

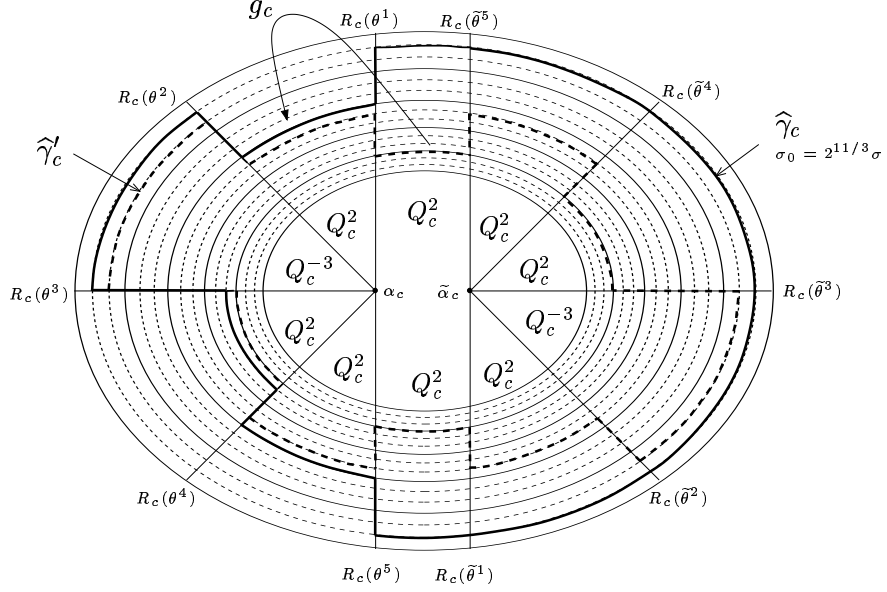


Figure 10: Sketch of the curves  $\hat{\gamma}_c$  (full-drawn) and  $\hat{\gamma}'_c$  (dotted) and the map  $g_c$  for a  $c \in W_{3/5}$ . The equipotentials drawn are of level  $2^{k/3}\sigma$  where  $-3 \leq k \leq 12$ .

or equivalently rearranging terms,

$$n[i]p - i - pq + q - 1 < 0.$$

From  $n[i]p \leq i + (p-1)q$  (Lemma 4.2) it follows that

$$n[i]p - i - pq + q - 1 \leq i + (p-1)q - i - pq + q - 1 = -1 < 0$$

and we are done.  $\square$

In Proposition 4.4 we refer to an arbitrary  $\sigma > 0$  and in Propositions 3.1 and 3.3 to an arbitrary  $\eta > 0$  and a slope  $s$  bounded in terms of  $\eta$ . In order to have the equipotential of the critical point (the figure eight) completely contained in  $B(\hat{\gamma}'_c)$  and, at the same time, ensure that a slope is chosen so that sectors do not overlap within  $B(\hat{\gamma}_c)$ , we set  $\eta' = \sigma_0 = 2^{q - \frac{q-1}{p}} \sigma$  and  $\eta = 2\sigma$ , i.e.,  $\eta' = 2^{(q-1)(1-\frac{1}{p})} \eta$ , and choose a slope  $s < \frac{1}{\eta'(2^q-1)} = s(\eta)$ .

#### 4.2.2 Smoothing on the right half plane

In this section we modify the map  $g_c$  to construct a new map  $f_c$  which will be quasi-regular. The modification will be done only on the sectors around the rays where the discontinuities occur, i.e., in the sets  $S_c$  and  $\tilde{S}_c$  as defined in Section 3.1.1. Recall that, by Proposition 3.3, these are well defined sectors in the complement of the filled Julia set for all  $c \in W_{p/q}^{\eta, s}$ .

Since we want the entire process to vary continuously with the parameter  $c$ , we make the construction (up to where it is possible) once and for all on the right half plane  $\mathbb{H}$ , or rather on the cylinder  $\mathbb{H}/2\pi i\mathbb{Z}$ , unfolded as the infinite strip  $(0, \infty) \times [0, 2\pi i)$ , and hence, once and

for all values of  $c$ . Let us first redo or translate what we have done in the dynamical plane up to now, to the cylinder  $\mathbb{H}/2\pi i\mathbb{Z}$ . (See Figure 10).

Let  $V^0$ ,  $V^i$  and  $\tilde{V}^i$  for  $i = 1, \dots, q-1$ , denote the sets in  $\mathbb{H}/2\pi i\mathbb{Z}$  corresponding to  $V_c^0$ ,  $V_c^i$  and  $\tilde{V}_c^i$  respectively.

We define the map  $g$  to be as follows.

**Definition.** Let  $(\rho, 2\pi i\theta) \in \mathbb{H}/2\pi i\mathbb{Z}$  such that  $0 \leq \theta < 1$ . Then,

$$g(\rho, 2\pi\theta) = \begin{cases} (2^{k[i]}\rho, 2\pi(2^{k[i]}(\theta - \theta^i) + \theta^{i+1})) & \text{if } (\rho, 2\pi i\theta) \in V^i \text{ for } i = 0, \dots, q-1 \\ g(\rho, 2\pi(\theta + \frac{1}{2} \pmod{1})) & \text{if } (\rho, 2\pi i\theta) \in \tilde{V}^i \text{ for } i = 1, \dots, q-1 \end{cases}$$

It is easy to check that, if  $K_c$  is connected, the following diagram commutes:

$$\begin{array}{ccc} \mathbb{H}/2\pi i\mathbb{Z} & \xrightarrow{g} & \mathbb{H}/2\pi i\mathbb{Z} \\ \psi_c \circ \exp \downarrow & & \downarrow \psi_c \circ \exp \\ \mathbb{C}_c \setminus K_c & \xrightarrow{g_c} & \mathbb{C}_c \setminus K_c \end{array}$$

If  $K_c$  is not connected, the same is true for at least all points with potential greater than the potential of  $\omega = 0$ . Observe that  $g$  is independent of  $c \in M_{p/q}$  and it is holomorphic everywhere except along those rays  $R(\theta^i) \cup R(\tilde{\theta}^i)$  for  $i = 1, \dots, q$  for which  $k[i-1] \neq k[i]$ .

In dynamical plane, we constructed two curves  $\hat{\gamma}_c$  and  $\hat{\gamma}'_c$  made of pieces of equipotentials joined along rays, such that  $B(\hat{\gamma}'_c) \subset B(\hat{\gamma}_c)$  and  $g_c(\hat{\gamma}'_c) = \hat{\gamma}_c$ . Following the usual notation, we denote by  $\hat{\gamma}$  and  $\hat{\gamma}'$  the corresponding curves in the cylinder. Then,  $\hat{\gamma}$  and  $\hat{\gamma}'$  are made of pieces of equipotential (vertical lines) of potentials  $\sigma_i$  and  $\sigma'_i$  as defined in Proposition 4.4. (See Figure 11 and compare with Figure 10).

We now proceed to restrict the domain of definition of  $g$ . To that end, we shall consider sectors around the rays  $\theta^i$  and  $\tilde{\theta}^i$  for  $i = 1, \dots, q$  and define two  $C^\infty$  curves  $\gamma$  and  $\gamma'$ , which equal  $\hat{\gamma}$  and  $\hat{\gamma}'$  respectively, outside the sectors. That is, we will use the sectors to fix the jump discontinuities of the curves  $\hat{\gamma}$  and  $\hat{\gamma}'$ . We first observe that these jump discontinuities can only be of three types. After a simple computation, one obtains the following lemma.

**Lemma 4.5.** *Let  $\sigma_i$  and  $\sigma'_i$  be as in Proposition 4.4. Then, for  $i = 1, \dots, q-1$ ,*

$$\begin{aligned} \frac{\sigma'_i}{\sigma'_{i-1}} &= 2^{k[i-1] - \frac{1}{p}} = \begin{cases} 2^{n[1] - \frac{1}{p}} := 2^{J_1} & \text{if } k[i-1] > 0 \\ 2^{n[1] - q - \frac{1}{p}} := 2^{J_2} & \text{if } k[i-1] < 0 \end{cases} \\ \frac{\sigma_{i+1}}{\sigma_i} &= 2^{k[i] - \frac{1}{p}} = \begin{cases} 2^{n[1] - \frac{1}{p}} = 2^{J_1} & \text{if } k[i] > 0 \\ 2^{n[1] - q - \frac{1}{p}} = 2^{J_2} & \text{if } k[i] < 0 \end{cases} \\ \frac{\sigma'_q}{\sigma'_{q-1}} &= \frac{\sigma_1}{\sigma_0} = 2^{n[1] - q + \frac{q-1}{p}} := 2^{J_3}. \end{aligned}$$

where we have set  $\sigma_q = \sigma_0$ .

Therefore, to join the curve discontinuities we basically need three types of curves. To be more precise, let  $\Sigma = \Sigma^s$  denote a *standard sector*, i.e., a sector of slope  $s$  centered at

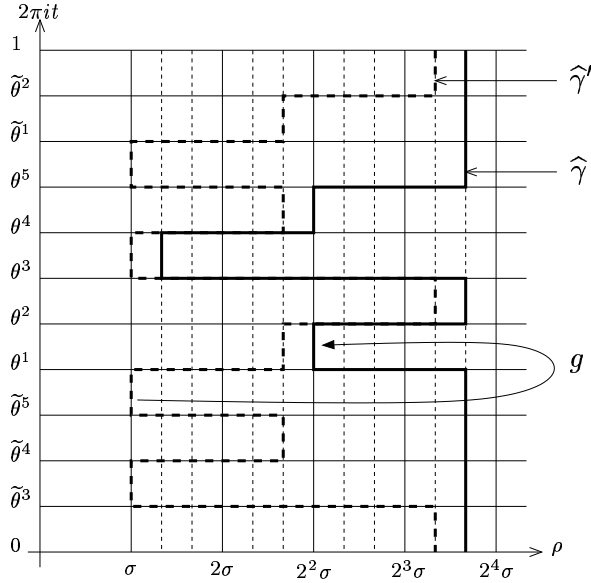


Figure 11: Sketch of the curves  $\hat{\gamma}$  (full-drawn) and  $\hat{\gamma}'$  (dotted) for all  $c \in W_{3/5}$ . The figure is drawn out of scale for clarity purposes.

the real axis (see Figure 12). Let us choose a  $\mathcal{C}^\infty$  curve,  $\Gamma_1$ , such that it connects the points  $\sigma(1, -2\pi s)$  and  $2^{J_1}\sigma(1, 2\pi s)$ , and have vertical tangents at these two points. Likewise, choose  $\Gamma_2$  (resp.  $\Gamma_3$ ) joining the points  $\sigma(1, -2\pi s)$  with  $2^{J_2}\sigma(1, 2\pi s)$  (resp.  $2^{J_3}\sigma(1, 2\pi s)$ ), and having vertical tangents at these points. Observe that for any  $n \in \mathbb{Z}$ , the homothecy  $\mathcal{M}_{2^n/p}$  “translates” any of these curves to the right or to the left  $n/p$  potential levels in a holomorphic fashion. Likewise, the vertical translations  $\mathcal{T}_\theta(\rho, 2\pi t) = (\rho, 2\pi(t + \theta))$  move the curves to the sector  $S(\theta)$ .

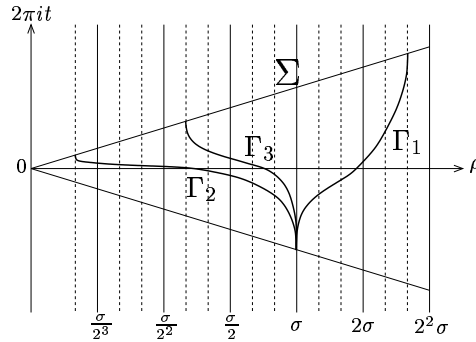


Figure 12: The standard sector and the curves  $\Gamma_1$ ,  $\Gamma_2$  and  $\Gamma_3$ , for  $p/q = 3/5$ . The potential lines are drawn out of scale for clarity purposes. In this case we have  $J_1 = 2 - \frac{1}{3}$ ,  $J_2 = -3 - \frac{1}{3}$  and  $J_3 = -3 + \frac{4}{3} = -2 + \frac{1}{3}$ .

Finally, we define

$$\gamma' = \begin{cases} \widehat{\gamma}' & \text{on } (\mathbb{H}/2\pi i\mathbb{Z}) \setminus (S \cup \widetilde{S}) \\ \mathcal{M}_{\frac{\sigma'_{i-1}}{\sigma}} \Gamma_1 + \mathcal{T}_\theta & \text{on } S(\theta), \theta \in \{\theta^i, \widetilde{\theta}^i\}, \text{ if } k[i] = n[1], i = 1, \dots, q-1. \\ \mathcal{M}_{\frac{\sigma'_{i-1}}{\sigma}} \Gamma_2 + \mathcal{T}_\theta & \text{on } S(\theta), \theta \in \{\theta^i, \widetilde{\theta}^i\}, \text{ if } k[i] = n[1] - q, i = 1, \dots, q-2 \\ \mathcal{M}_{\frac{\sigma'_{q-1}}{\sigma}} \Gamma_3 + \mathcal{T}_\theta & \text{on } S(\theta), \theta \in \{\theta^q, \widetilde{\theta}^q\} \end{cases}$$

and

$$\gamma = \begin{cases} \widehat{\gamma} & \text{on } (\mathbb{H}/2\pi i\mathbb{Z}) \setminus S \\ \mathcal{H}_{2^{\frac{1}{p}}, \theta^i} \gamma' & \text{on } S(\theta^i), i = 2, \dots, q-1 \\ \mathcal{M}_{\frac{\sigma_0}{\sigma}} \Gamma_3 + \mathcal{T}_{\theta^1} & \text{on } S(\theta^1) \\ \mathcal{M}_{\frac{\sigma_{q-1}}{\sigma}} \Gamma_1 + \mathcal{T}_{\theta^q} & \text{on } S(\theta^q) \end{cases}$$

Let  $X$  and  $X'$  denote the subsets of the cylinder  $\mathbb{H}/2\pi i\mathbb{Z}$  to the left of  $\gamma$  and  $\gamma'$  respectively. By construction,  $\gamma$  and  $\gamma'$  project under  $\psi_c \circ \exp$  to  $\mathcal{C}^\infty$  curves  $\gamma_c$  and  $\gamma'_c$  in dynamical plane such that  $\overline{X'_c} \subset X_c$ , where  $X'_c := B(\gamma'_c)$  and  $X_c := B(\gamma_c)$ .

We shall modify the map  $g$  on the sectors around the rays of discontinuity and obtain a new  $\mathcal{C}^1$  map  $f : X' \rightarrow X$ , which induces a quasi-regular map  $f_c : X'_c \rightarrow X_c$ . The procedure to define  $f$  on the sectors works as follows. Let us first define three types of quadrilaterals  $T_i$ ,  $i = 1, 2, 3$ , inside a standard sector  $\Sigma^s$ , as the subsets of the sector bounded by the curves  $\Gamma_i$ ,  $2^{-\frac{1}{p}}\Gamma_i$  and the two line segments of the boundary of  $\Sigma^s$  (see Figure 13).

Set  $T_i^{(0)} = T_i$  and  $T_i^{(n)} = 2^{-\frac{n}{p}}T_i$ . Choose a diffeomorphism from  $\Gamma_1$  to  $\Gamma_2$  and extend it to a diffeomorphism  $\mathcal{D}^{(0)} : T_1 \rightarrow T_2$  such that  $\mathcal{D}^{(0)}$  determines the same tangent map on the boundary of the sectors as the identity on the line segment with negative slope and  $\mathcal{M}_{2^{j_2-j_1}}$  on the line segment with positive slope. Moreover, we also require that

$$\mathcal{D}^{(0)} \circ \mathcal{M}_{2^{\frac{1}{p}}} = \mathcal{M}_{2^{\frac{1}{p}}} \circ \mathcal{D}^{(0)},$$

on  $\mathcal{M}_{2^{-\frac{1}{p}}}(\Gamma_1)$ .

Inductively, define

$$\mathcal{D}^{(n)} : T_1^{(n)} \longrightarrow T_2^{(n)}$$

such that the following diagram commutes.

$$\begin{array}{ccc} T_1^{(n-1)} & \xrightarrow{\mathcal{D}^{(n-1)}} & T_2^{(n-1)} \\ \mathcal{M}_{2^{-\frac{1}{p}}} \downarrow & & \downarrow \mathcal{M}_{2^{-\frac{1}{p}}} \\ T_1^{(n)} & \xrightarrow{\mathcal{D}^{(n)}} & T_2^{(n)} \end{array}$$

Finally, set  $\mathcal{D} : \Sigma^s \rightarrow \Sigma^s$  where  $\mathcal{D}|_{T_1^{(n)}} = \mathcal{D}^{(n)}$ .

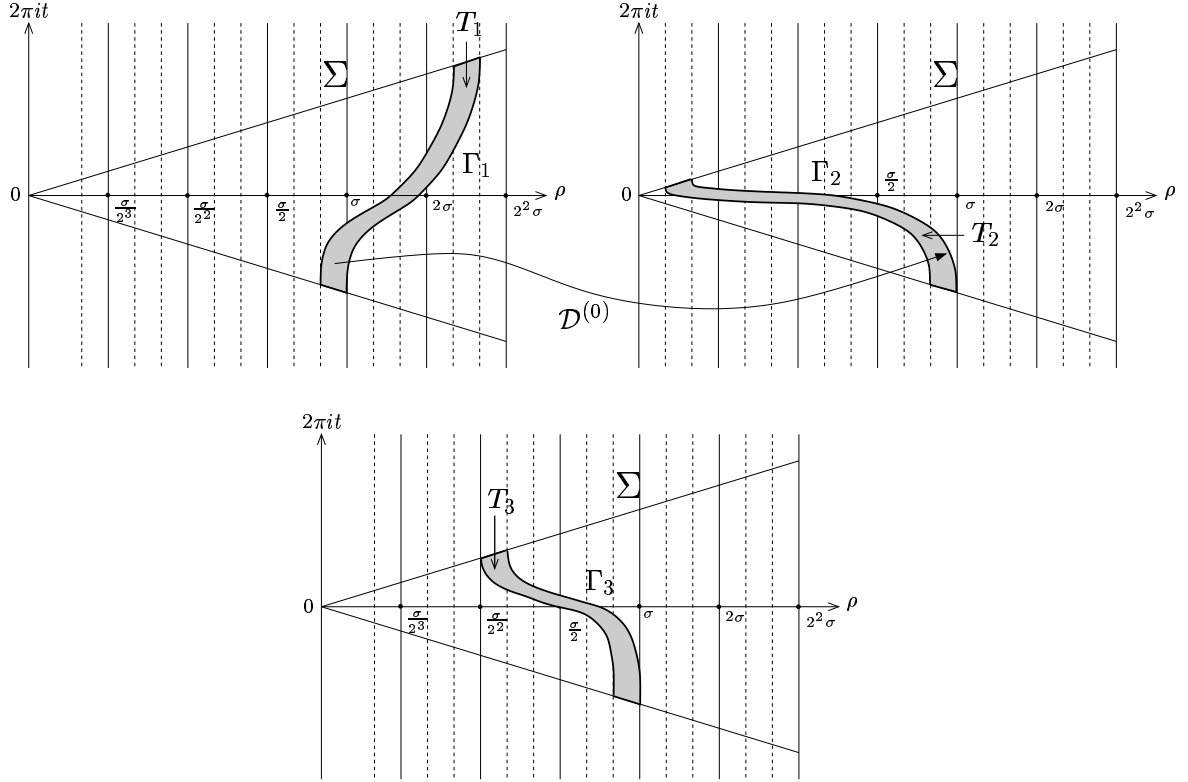


Figure 13: Three types of quadrilaterals and the map  $\mathcal{D}^{(0)}$ .

The map  $\mathcal{D} : \Sigma^s \rightarrow \Sigma^s$  is  $K$ -quasi-conformal for some constant  $K > 1$ . Indeed,  $\mathcal{D}^{(0)}$  is a diffeomorphism on a compact set, and  $\mathcal{D}$  consists of compositions of  $\mathcal{D}^{(0)}$  with holomorphic maps.

**Remark 4.6.** Note that  $\mathcal{D}$  could be defined as follows. Map the standard sector  $\Sigma$  by (the principal branch of) the logarithm onto a strip, symmetric around the real axis, with  $|y| < \kappa$  where  $\tan(\kappa) = 2\pi s$ . We would choose a differentiable map  $d : \log(\Gamma_1) \rightarrow \log(\Gamma_2)$  such that  $d(x_1(y), y) = (x_2(y), y)$  where  $(x_1(y), y) \in \log(\Gamma_1)$  and  $(x_2(y), y) \in \log(\Gamma_2)$ . Then, extend to the left by  $d(x, y) = (x_2(y) + x - x_1(y), y)$ , where  $(x, y)$  satisfies  $x \leq x_1(y)$ . This is a differentiable map which commutes with any horizontal translation, in particular translation by  $\log(2)/p$ . Set  $\mathcal{D} = \exp \circ d \circ \log$ , the  $\mathcal{D}$  commutes with any multiplication by a real positive number, in particular multiplication by  $2^{1/p}$  in  $\Sigma$ .

We proceed now to define  $f$  on the sectors. Abusing notation let  $S(\theta)$  denote the restricted sector  $S(\theta) \cap X$ , and let  $S'(\theta) = S(\theta) \cap X'$ . We shall send each sector to the standard sector  $\Sigma$  by a conformal isomorphism, so that  $\gamma'$  is sent to  $\Gamma^1$ ,  $\Gamma^2$  or  $\Gamma^3$  accordingly. We will apply  $\mathcal{D}$  or  $\mathcal{D}^{-1}$  and then bring the image back to fit correctly with the image sector. More precisely the procedure can be written as follows.

For  $i = 1, \dots, q-1$ , we define  $f : S'(\theta^i) \rightarrow S(\theta^{i+1})$  as one of the following three compositions:

(a) If  $k[i-1] = n[1]$  and  $k[i] = n[1] - q$ , then we let  $f$  be

$$S'(\theta^i) \xrightarrow{\mathcal{M}_{\frac{\sigma}{\sigma_{i-1}}} \circ \mathcal{T}_{-\theta^i}} \Sigma \xrightarrow{\mathcal{D}} \Sigma \xrightarrow{\mathcal{T}_{\theta^{i+1}} \circ \mathcal{M}_{\frac{\sigma_i}{\sigma}}} S(\theta^{i+1})$$

(b) If  $k[i-1] = n[1] - q$  and  $k[i] = n[1]$ , then let  $f$  be

$$S'(\theta^i) \xrightarrow{\mathcal{M}_{\frac{\sigma}{\sigma_{i-1}}} \circ \mathcal{T}_{-\theta^i}} \Sigma \xrightarrow{\mathcal{D}^{-1}} \Sigma \xrightarrow{\mathcal{T}_{\theta^{i+1}} \circ \mathcal{M}_{\frac{\sigma_i}{\sigma}}} S(\theta^{i+1})$$

(c) Finally, if  $k[i-1] = k[i]$ , then we let  $f$  be

$$S'(\theta^i) \xrightarrow{g} S(\theta^{i+1}).$$

For  $i = q$ , we define  $f : S'(\theta^q) \rightarrow S(\theta^1)$  as  $f \equiv g \equiv \mathcal{M}_{2^{n[1]}}$ . For the sectors in  $\tilde{S}$  we define

$$f : S'(\tilde{\theta}^i) \xrightarrow{\mathcal{T}_{\tilde{\theta}^i - \tilde{\theta}^i}} S'(\theta^i) \xrightarrow{f} S(\theta^{i+1}) \quad i = 1, \dots, q-1$$

We end the definition of  $f$  by setting  $f \equiv g$  everywhere outside the sectors.

The following proposition will be essential later.

**Proposition 4.7.** *The  $q$ -th iterate of the map  $f$  is holomorphic (wherever defined) on sectors of  $S' \cup \tilde{S}'$ . In fact,  $f^q = \mathcal{M}_{2^q}$  on these regions.*

*Proof.* For any  $i = 1, \dots, q$ , the sector  $S(\theta^i)$  is mapped onto itself after  $q$  iterations of  $f$  (wherever defined). At each step, the map is either holomorphic (if  $k[i] = k[i-1]$ ), or it is basically  $\mathcal{D}$  or  $\mathcal{D}^{-1}$  (composed with holomorphic maps like translations or special homothecies) depending on  $k[i]$  and  $k[i-1]$ . Since  $\mathcal{D}$  commutes with  $\mathcal{M}_{2^{1/p}}$ , it only remains to prove that the number of times when  $\mathcal{D}$  is applied equals the number of times when  $\mathcal{D}^{-1}$  is applied and that the composition of the homothecies equal  $\mathcal{M}_{2^q}$ . If we set

$$\epsilon[i] = \begin{cases} 0 & \text{if } k[i-1] = k[i] \\ 1 & \text{if } k[i-1] > k[i] \\ -1 & \text{if } k[i-1] < k[i], \end{cases}$$

for  $i = 1, \dots, q$ , this is equivalent to show that  $\sum_{i=1}^{q-1} \epsilon[i] = 0$ . To this end, consider the continuous piecewise linear map  $k : [0, q-1] \rightarrow \mathbb{R}$  which results from joining the points  $(i, k[i])$  for  $i = 0, \dots, q-1$  by a straight segment (see Figure 14). Since  $k[i]$  can only take the values  $n[1]$  or  $n[1] - q$ , every time the graph crosses the real axis with negative slope corresponds to a value  $\epsilon[i] = 1$ , while each time that it is crossed with positive slope, it corresponds to a value  $\epsilon[i] = -1$ . Since  $k[0] = k[q-1] = n[1]$  it is clear that the graph of  $k$  has to cross the real axis the same number of times with positive slope as with negative slope. Hence,  $\sum_{i=1}^{q-1} \epsilon[i] = 0$ .

On any sector  $S(\tilde{\theta}^i)$  the map  $f$  only differs by a vertical translation from that on  $S(\theta^i)$ . Hence the  $q$ -th iterate is also holomorphic.

To see that  $f^q = \mathcal{M}_{2^q}$  on  $S' \cup \tilde{S}'$  we note that  $\prod_{i=1}^q \mathcal{M}_{\frac{\sigma_i}{\sigma_{i-1}}} = \mathcal{M}_{2^q}$ . □



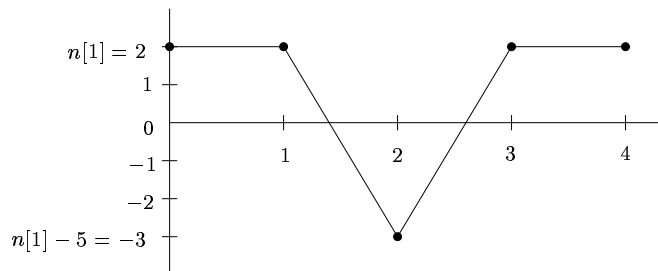


Figure 14: The graph of the piecewise-linear map  $k$  in the proof of Proposition 4.7 for  $p/q = 3/5$ .

### 4.2.3 Back to dynamical plane

We have constructed a smooth map  $f$  on the cylinder which is a modification of  $g$  on the relevant sectors. Since we are considering values of  $c \in W_{p/q}$  for which the filled Julia set might not be connected, we cannot apply the Böttcher map to simply project  $f$  to a map  $f_c$  on the complement of  $K_c$ . However, we showed in Proposition 3.3 that the Böttcher coordinates are well defined on the relevant sectors. Hence, we define  $f_c : S'_c \rightarrow S_c$  as the map for which the following diagram commutes.

$$\begin{array}{ccc} S' & \xrightarrow{f} & S \\ \psi_c \circ \exp \downarrow & & \downarrow \psi_c \circ \exp \\ S'_c & \xrightarrow{f_c} & S_c \end{array}$$

We complete the definition of  $f_c : X'_c \rightarrow X_c$  by setting

$$f_c(z) = \begin{cases} f_c(-z) & \text{if } z \in \tilde{S}'_c \\ g_c(z) & \text{if } z \in X'_c \setminus (S'_c \cup \tilde{S}'_c) \end{cases}$$

**Remark 4.8.** In fact, the diagram commutes as long as the Böttcher coordinates are well defined, in particular, down to the potential level of  $\omega = 0$ . See Figure 15.

**Proposition 4.9.** *The map  $f_c : X'_c \rightarrow X_c$  is quasi-regular.*

*Proof.* By construction,  $f_c$  is holomorphic on  $X'_c \setminus (S'_c \cup \tilde{S}'_c)$ . On the sectors in  $S'_c$ , the map is defined as  $f_c = (\psi \circ \exp) \circ f \circ (\psi_c \circ \exp)^{-1}$ . Since  $f$  is  $K$ -quasi-conformal on sectors, so is  $f_c$ . This implies that  $f_c$  is also quasi-conformal on sectors in  $\tilde{S}'_c$   $\square$

**Remark 4.10.** It follows from Proposition 4.7 and the definition of  $f_c$  that  $f_c^q = Q_c^q$  wherever defined on  $S'_c \cup \tilde{S}'_c$ .

### 4.2.4 Extension of $f_c$ to $\mathbb{C}$

The following step is to extend  $f_c$  to a map  $F_c : \mathbb{C} \rightarrow \mathbb{C}$  which is quasi-regular and conjugate to  $z \mapsto z^2$  in a neighborhood of infinity (precisely in  $\mathbb{C} \setminus X_c$ ).

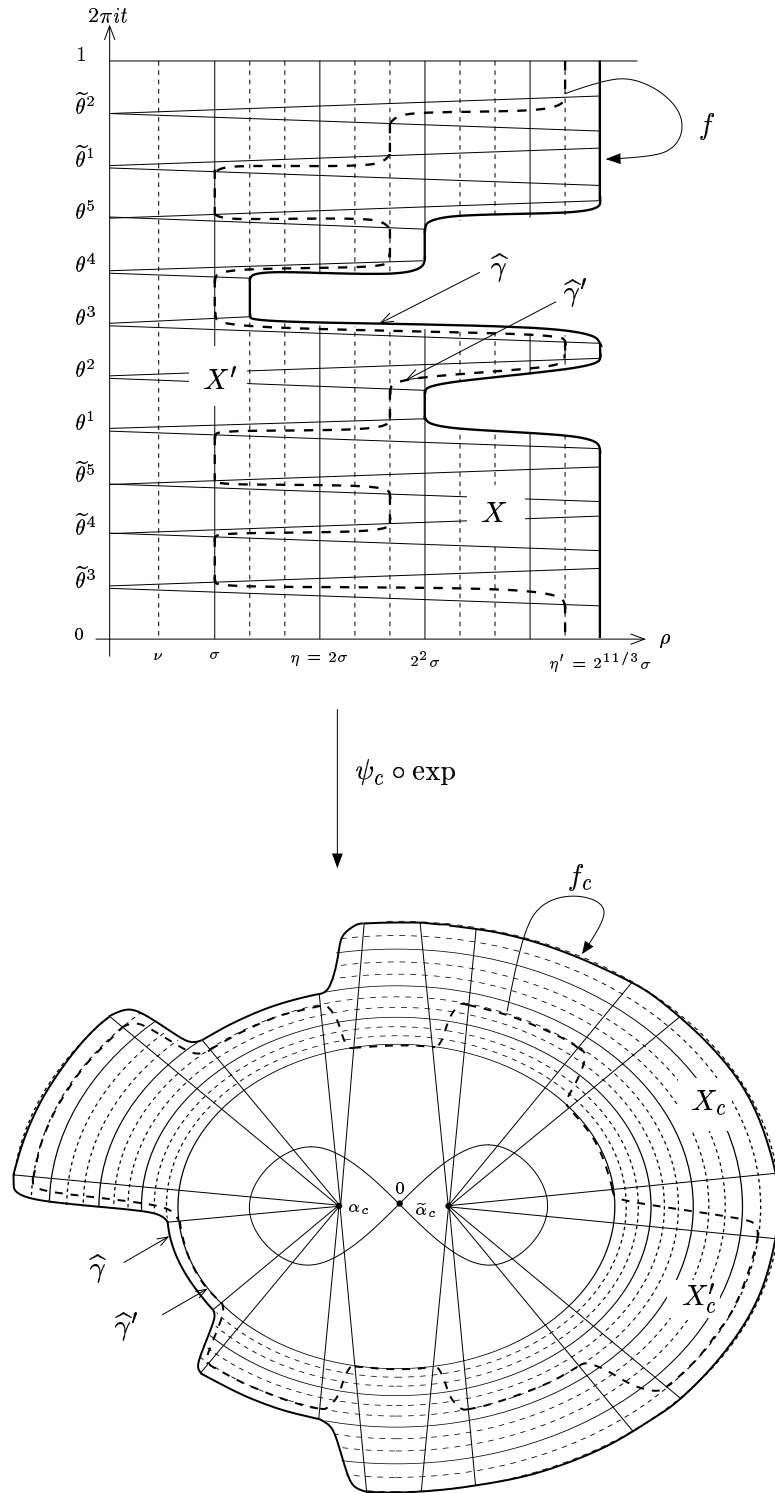


Figure 15: The maps  $f : X' \rightarrow X$  and  $f_c : X'_c \rightarrow X_c$  in a disconnected case. The map  $(\psi_c \circ \exp)$  conjugates these two maps down to the potential level of 0.

For convenience, we shall from now on view the cylinder  $\mathbb{H}/2\pi i\mathbb{Z}$  as the complement of the unit disk. Abusing notation, let  $\gamma, \gamma', X, X'$  and  $f$  denote the analogs to the objects with those names, now viewed on  $\mathbb{C} \setminus \overline{\mathbb{D}}$  (see Figure 16). Note that  $X$  and  $X'$  are annuli with their outer boundaries included. Let  $A$  be the closed annulus bounded by  $\gamma$  and  $\gamma'$  or, equivalently,  $A = X \setminus \text{int}(X' \cup \overline{\mathbb{D}})$ . In this model space we proceed now to extend  $f : X' \rightarrow X$  to  $F : \mathbb{C} \setminus \overline{\mathbb{D}} \rightarrow \mathbb{C} \setminus \overline{\mathbb{D}}$ .

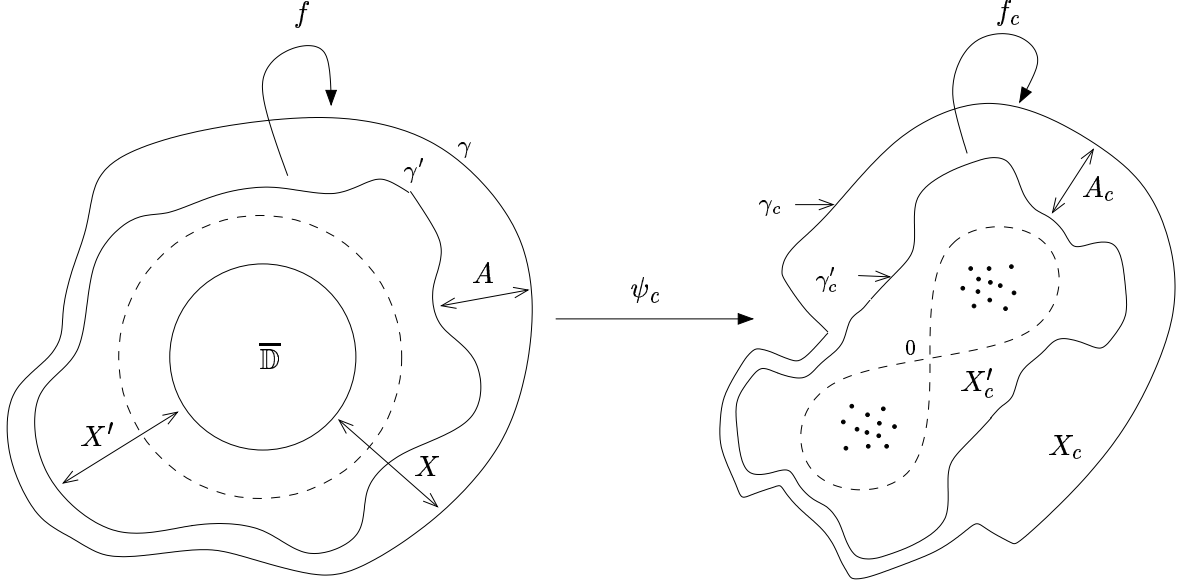


Figure 16: The set up in the complement of the unit disk.

Choose  $r > 1$  arbitrary and a Riemann mapping  $\mathcal{R} : \widehat{\mathbb{C}} \setminus (X \cup \overline{\mathbb{D}}) \rightarrow \widehat{\mathbb{C}} \setminus \overline{\mathbb{D}}_{r,2}$ , mapping  $\infty$  to  $\infty$ . Since  $X$  is locally connected,  $\mathcal{R}$  extends continuously to a map on the closed sets  $\mathcal{R} : \widehat{\mathbb{C}} \setminus \text{int}(X \cup \overline{\mathbb{D}}) \rightarrow \widehat{\mathbb{C}} \setminus \overline{\mathbb{D}}_{r,2}$ . We shall extend  $\mathcal{R}$  to a quasi-conformal map  $\mathcal{R} : \widehat{\mathbb{C}} \setminus \text{int}(X' \cup \overline{\mathbb{D}}) \rightarrow \widehat{\mathbb{C}} \setminus \overline{\mathbb{D}}_r$  in such a way that it conjugates  $f$  to  $Q_0(z) = z^2$  on  $\gamma'$ , the outer boundary of  $X'$ . Start by choosing  $\mathcal{R}$  on  $\gamma'$  with this property, i.e., the following diagram commutes.

$$\begin{array}{ccc} \gamma' & \xrightarrow{f} & \gamma \\ \mathcal{R} \downarrow & & \downarrow \mathcal{R} \\ \partial \overline{\mathbb{D}}_r & \xrightarrow{Q_0} & \partial \overline{\mathbb{D}}_{r,2} \end{array}$$

Since we have  $\mathcal{R}$  defined on the boundaries of the annulus  $A$ , and quasi-symmetric, we can now extend it quasi-conformally to the interior of  $A$ . Therefore we have constructed a quasi-conformal map  $\mathcal{R} : \widehat{\mathbb{C}} \setminus \text{int}(X' \cup \overline{\mathbb{D}}) \rightarrow \widehat{\mathbb{C}} \setminus \overline{\mathbb{D}}_r$  such that it conjugates  $f$  to  $Q_0$  on  $\gamma'$ .

We may now define the extension of  $f$  as  $F : \widehat{\mathbb{C}} \setminus \overline{\mathbb{D}} \rightarrow \widehat{\mathbb{C}} \setminus \overline{\mathbb{D}}$  where,

$$F = \begin{cases} f & \text{on } X' \\ \mathcal{R}^{-1} \circ Q_0 \circ \mathcal{R} & \text{on } \widehat{\mathbb{C}} \setminus (X' \cup \overline{\mathbb{D}}). \end{cases}$$

Observe that, by construction,  $F$  is holomorphic everywhere except on  $A \cup (S \cup \tilde{S})$ , where it is quasi-regular. Hence  $F$  is quasi-regular on all  $\mathbb{C} \setminus \overline{\mathbb{D}}$ .

Back to dynamical plane, we define  $F_c : \widehat{\mathbb{C}} \rightarrow \widehat{\mathbb{C}}$  as

$$F_c = \begin{cases} f_c & \text{on } X'_c \\ \psi_c \circ F \circ \psi_c^{-1} & \text{on } \widehat{\mathbb{C}} \setminus X'_c. \end{cases}$$

**Remark 4.11.** Observe that if  $K_c$  is connected, the equality  $F_c = \psi_c \circ F \circ \psi_c^{-1}$  holds in all of  $\widehat{\mathbb{C}} \setminus K_c$ . If  $K_c$  is not connected, it is true on  $(\widehat{\mathbb{C}} \setminus X'_c) \cup (S_c \cup \tilde{S}_c)$  and, even more, down to wherever the Böttcher coordinates are well defined, in particular, down to the potential level of  $\omega = 0$ .

In any case,  $F_c$  is a quasi-regular map which is holomorphic everywhere except in  $A_c \cup (S_c \cup \tilde{S}_c)$ , where  $A_c = \psi_c(A)$  (see Figure 17). The dilatation ratio is bounded by a uniform constant since all choices were made once and for all on the complement of the unit disk.

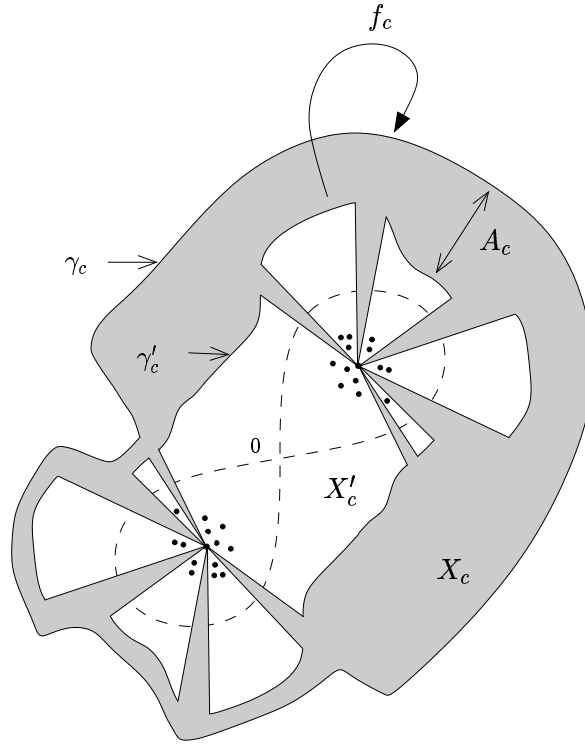


Figure 17: Shaded, the region  $A_c \cup (S_c \cup \tilde{S}_c)$  where  $F_c : \mathbb{C} \rightarrow \mathbb{C}$  is not holomorphic.

#### 4.2.5 Holomorphic smoothing and definition of $\Lambda_{p/q}$

We shall construct an almost complex structure  $\sigma_c$  on  $\widehat{\mathbb{C}}$  which will be invariant under  $F_c$ . As usual, the construction starts in the model space, the complement of the disk. The dependence on the parameter  $c$  occurs mainly through the Böttcher coordinates.

Let  $\sigma_0$  denote the standard complex structure which we put on  $\widehat{\mathbb{C}} \setminus \overline{\mathbb{D}}_r$ . Define  $\sigma$  on  $\widehat{\mathbb{C}} \setminus (X' \cup \overline{\mathbb{D}})$  as the pull back of  $\sigma_0$  by the map  $\mathcal{R}$ , i.e.,  $\sigma = \mathcal{R}^* \sigma_0$ . Observe that, since  $\mathcal{R}$  is holomorphic on  $\widehat{\mathbb{C}} \setminus (X \cup \overline{\mathbb{D}})$  we have that  $\sigma = \sigma_0$  on this set. Likewise,  $\sigma$  has bounded distortion on the annulus  $A$  since  $\mathcal{R}$  is quasi-conformal on  $A$ .

We now use the Böttcher coordinates to transport  $\sigma$  to the dynamical plane. To this end, define  $\sigma_c = (\psi_c^{-1})^* \sigma$  on the set  $\widehat{\mathbb{C}} \setminus X'_c$ . Since  $\psi_c^{-1}$  is holomorphic,  $\sigma_c = \sigma_0$  on  $\widehat{\mathbb{C}} \setminus X_c$  and  $\sigma_c$  has bounded distortion on the annulus  $A_c$ . Next we use the map  $F_c$  to extend  $\sigma_c$  to  $X'_c$  by setting inductively

$$\sigma_c = (F_c^n)^* \sigma_c, \text{ on } F_c^{-n}(A_c), n > 0.$$

Notice that this is well defined since successive preimages of  $A_c$  form a nested sequence of sets with disjoint interiors (which are annuli as long as we are above the potential level of 0) (see Figure 18). Moreover, they cover all the complement of  $K_c$  since the orbit of any point in  $X'_c \setminus K_c$  has one and only one point in the annulus  $A_c$  (after removing one of its boundaries). Finally, define  $\sigma_c = \sigma_0$  on  $K_c$ .

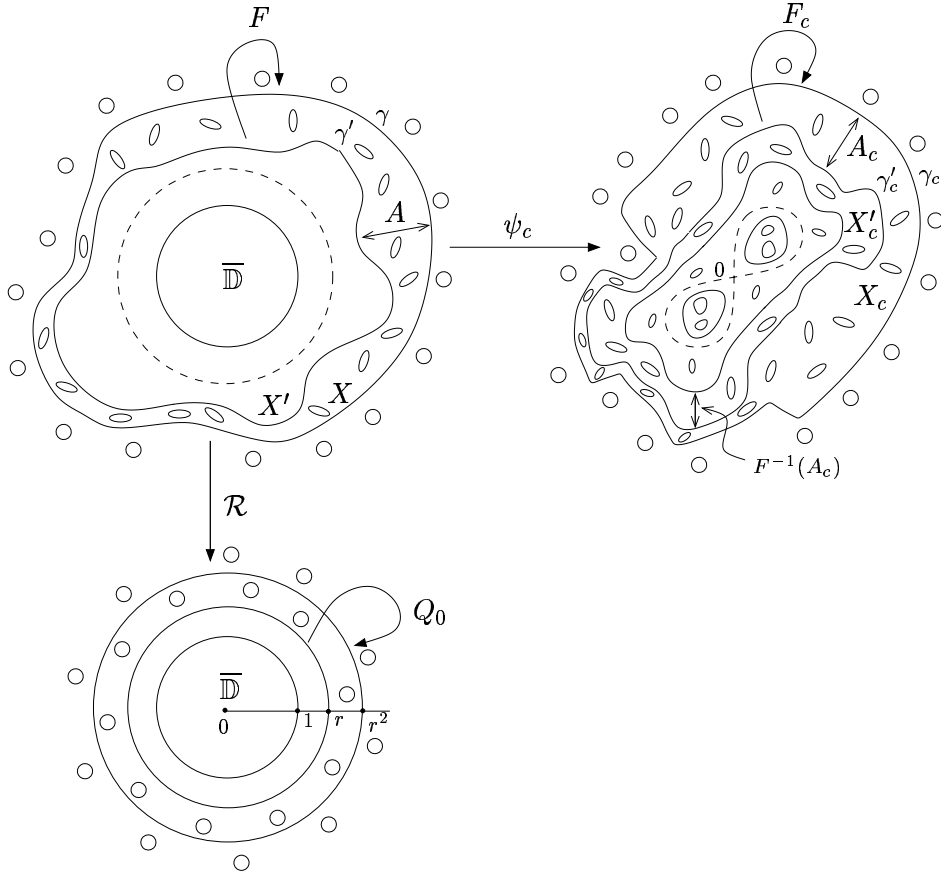


Figure 18: The complex structure  $\sigma_c$  on the successive preimages of  $A_c$ .

**Remark 4.12.** These pull backs can be done in the complement of the unit disk defining an

almost complex structure  $\sigma$  on this set. If  $K_c$  is connected, then,  $\sigma_c = (\psi_c^{-1})^* \sigma$  on  $\widehat{\mathbb{C}} \setminus K_c$ . If not, the equality is true at least down to the potential level of 0.

**Proposition 4.13.** *Let  $\sigma_c$  be the almost complex structure on  $\widehat{\mathbb{C}}$  defined above. Then,  $\sigma_c$  is invariant under  $F_c$  (i.e.,  $F_c^* \sigma_c = \sigma_c$ ) by construction. Moreover,  $\sigma_c$  is quasi-conformally equivalent to the standard complex structure.*

*Proof.* By construction, it is clear that  $F_c^* \sigma_c = \sigma_c$  on  $X'_c$ . We claim that  $F_c^* \sigma_c = \sigma_c$  holds also on the annulus  $A_c$ . Since  $F_c$  maps  $A_c$  into  $\widehat{\mathbb{C}} \setminus X_c$  where  $\sigma_c = \sigma_0$ , we must show that  $F_c$  transports  $\sigma_c$  on  $A_c$  to the standard structure  $\sigma_0$ . By definition,  $F_c = \psi_c \circ \mathcal{R}^{-1} \circ Q_0 \circ \mathcal{R} \circ \psi_c^{-1}$ . Hence,

$$\begin{aligned} F_c^* \sigma_0 &= (\psi_c^{-1})^* \circ \mathcal{R}^* \circ Q_0^* \circ (\mathcal{R}^{-1})^* \circ \psi_c^* \sigma_0 \\ &= (\psi_c^{-1})^* \circ \mathcal{R}^* \circ Q_0^* \circ (\mathcal{R}^{-1})^* \sigma_0 \\ &= (\psi_c^{-1})^* \circ \mathcal{R}^* \circ Q_0^* \sigma_0 \\ &= (\psi_c^{-1})^* \circ \mathcal{R}^* \sigma_0 \\ &= (\psi_c^{-1})^* \sigma \\ &= \sigma_c \end{aligned}$$

It remains to be shown that  $\sigma_c$  has bounded distortion. We only need to prove it in  $X_c \setminus K_c$  since  $\sigma_c = \sigma_0$  everywhere else.

Let  $E_x$  be the infinitesimal ellipse defined at almost any point  $x \in X_c \setminus K_c$  by  $\sigma_c$ . Clearly, if  $x \in A_c$ , the ratio of the axes is bounded by some constant  $K_1$ .

We first consider points on the sectors. Note that  $F_c|_{S'_c}$  is an injective map and consider the compact set  $T = T_c = \bigcup_{i=0}^{q-1} F_c^{-i}(A_c \cap S_c)$ . On the set  $T$ ,  $\sigma_c$  is obtained by a finite number of pull backs of the structure on  $A_c$ , and therefore the distortion is bounded by a constant  $K_2$ . Moreover,  $T \setminus \gamma$  is a fundamental domain for  $F_c^q : S_c \setminus T \rightarrow S_c$ , i.e., if  $x \in S_c \setminus T$ , there exists a unique  $n > 0$  such that  $F_c^{nq}(x) \in T \setminus \gamma$ . Hence,

$$E_x = (T_x F_c^{nq})^{-1}(E_{F_c^{nq}(x)}),$$

and then, the ratio of the axis is also bounded by  $K_2$  since  $F_c^q$  is holomorphic on  $S_c \setminus T$  (see Proposition 4.7 and Remark 4.10). See Figure 19.

If  $x \in \widetilde{S}'_c$ , the bound on the ratio of the axis of  $E_x$  is also  $K_2$ , since  $F_c(x) = F_c(-x)$ .

If  $x \notin (S'_c \cup \widetilde{S}'_c)$  then, either there exists  $n$  such that  $F_c^n(x) \in (S'_c \cup \widetilde{S}'_c)$  or the orbit of  $x$  never enters the sectors. In the first case, let  $n$  denote the smallest such number and then,

$$E_x = (T_x F_c^n)^{-1}(E_{F_c^n(x)}).$$

This ellipse has also bounded dilatation ratio (with  $K_2$  as a bound) since  $F_c$  is analytic on all points  $F_c^j(x)$  for  $j = 0, \dots, n-1$  (that is, outside of the sectors). In the second case, there exists a unique  $n > 0$  such that  $F_c^n(x) \in A_c$ . By the same argument, the dilatation ratio of  $E_x$  is bounded by  $K_1$ .

This concludes the proof of the proposition.  $\square$

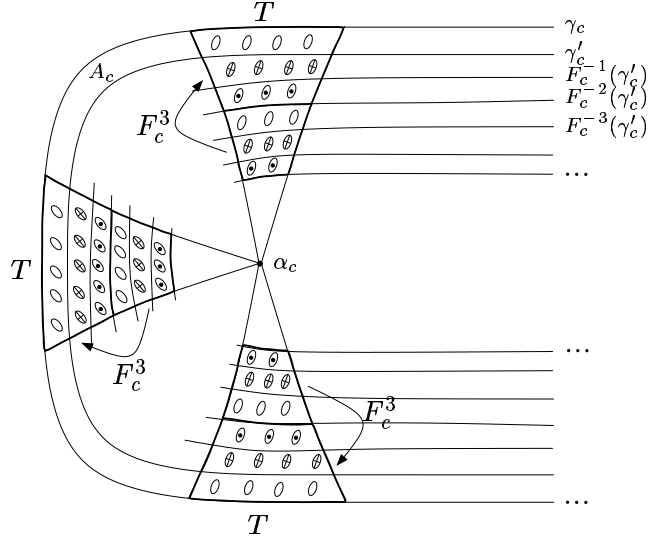


Figure 19: The complex structure  $\sigma_c$  on the sectors  $S_c$ . For simplification the sketch is drawn for  $q = 3$ . Moreover, the ellipse field is drawn in a symbolic way underlining that  $F_c^q$  is mapping each sector holomorphically into itself, so that the ellipse field in this sense repeats itself.

We proceed now to integrate the almost complex structure. Applying the Measurable Riemann Mapping Theorem, we obtain a quasi-conformal homeomorphism  $\varphi_c : \widehat{\mathbb{C}} \rightarrow \widehat{\mathbb{C}}$  which integrates  $\sigma_c$ . That is,  $\varphi_c^* \sigma_0 = \sigma_c$  and  $\varphi_c \circ F_c \circ \varphi_c^{-1} : \widehat{\mathbb{C}} \rightarrow \widehat{\mathbb{C}}$  is holomorphic of degree two. If we choose  $\varphi_c$  so that it fixes 0 and  $\infty$  and is of the form  $\mathcal{R}(z) + \mathcal{O}(1)$ , then it is unique and the composition map is a centered quadratic polynomial. It is also monic, since at infinity the map takes the form

$$\varphi_c \circ F_c \circ \varphi_c^{-1}(z) = \varphi_c \circ \psi_c \circ \mathcal{R}^{-1} \circ Q_0 \circ \mathcal{R} \circ \psi_c^{-1} \circ \varphi_c^{-1}(z) = z + \mathcal{O}(z).$$

Hence, it can be written as

$$Q_{\Lambda(c)} = z^2 + \Lambda(c),$$

which gives the definition of  $\Lambda_{p/q} : W_{p/q}^{\eta,s} \rightarrow \mathbb{C}$  as  $\Lambda_{p/q}(c) = \Lambda(c)$ . We will write  $\Lambda(c)$  whenever the dependence on  $p/q$  is understood.

We observe that  $\Lambda$  is well defined once we have chosen the slope  $s$ , the bound  $\eta$ , the boundaries of  $X$  and  $X'$ , the smoothing  $f$  of  $g$ , the real number  $r > 0$ , and the map  $\mathcal{R}$ . However, recall that all polynomials outside  $M$  are hybrid equivalent. Hence, the resulting  $\Lambda(c)$  may depend on these choices in the case when the Julia set is disconnected. This is the reason why we have made all the choices once and for all in the right half plane (or the complement of  $\overline{\mathbb{D}}$ ).

#### 4.2.6 The Böttcher map of $Q_{\Lambda(c)}$

A useful consequence of this construction is the fact that one can obtain an expression for the Böttcher map of the new polynomial in terms of the integrating map. More precisely, we have the following proposition.

**Proposition 4.14.** *Given  $c \in W_{p/q}^{\eta,s}$ , let  $\varphi_c$ ,  $\Lambda(c)$ , etc. be as above. Then, the Böttcher map of  $Q_{\Lambda(c)}$  can be written as*

$$\psi_{\Lambda(c)} = \varphi_c \circ \psi_c \circ \mathcal{R}^{-1} \text{ on } \widehat{\mathbb{C}} \setminus \overline{\mathbb{D}}_r.$$

*Proof.* By construction, the following diagram commutes.

$$\begin{array}{ccc} \widehat{\mathbb{C}} \setminus \mathbb{D}_r & \xrightarrow{Q_0} & \widehat{\mathbb{C}} \setminus \mathbb{D}_{r^2} \\ \mathcal{R} \uparrow & & \uparrow \mathcal{R} \\ \widehat{\mathbb{C}} \setminus (X' \cup \overline{\mathbb{D}}) & \xrightarrow{F} & \widehat{\mathbb{C}} \setminus (X \cup \overline{\mathbb{D}}) \\ \psi_c \downarrow & & \downarrow \psi_c \\ \widehat{\mathbb{C}} \setminus X'_c & \xrightarrow{F_c} & \widehat{\mathbb{C}} \setminus X_c \\ \varphi_c \downarrow & & \downarrow \varphi_c \\ \widehat{\mathbb{C}} \setminus \varphi_c(X'_c) & \xrightarrow{Q_{\Lambda(c)}} & \widehat{\mathbb{C}} \setminus \varphi_c(X_c) \end{array}$$

Observe that the map  $\varphi_c \circ \psi_c \circ \mathcal{R}^{-1} : \widehat{\mathbb{C}} \setminus \mathbb{D}_r \rightarrow \widehat{\mathbb{C}} \setminus \varphi_c(X_c)$  transports the standard complex structure to itself and therefore it is holomorphic. Moreover, it maps  $\infty$  to  $\infty$ , and it conjugates  $Q_{\Lambda(c)}$  to  $Q_0$ . It follows that it is the Böttcher map of  $Q_{\Lambda(c)}$ .  $\square$

**Corollary 4.15.** *The boundaries of the sets  $\varphi_c(X_c)$  and  $\varphi_c(X'_c)$  are equipotential curves of the polynomial  $Q_{\Lambda(c)}$  of potential  $2 \log(r)$  and  $\log(r)$  respectively.*

### 4.3 Continuity of $\Lambda_{p/q}$ and other properties

The goal of this section is to prove that the map  $\Lambda$  is continuous and that it coincides with the homeomorphisms in [BF] on the limbs. Prior to that, we state some lemmas and observe some important properties of the map.

The following rigidity lemma is crucial for the construction to work.

**Lemma 4.16** ([DH2] p. 304). *Let  $c_1 \in \partial M$  and  $c_2 \in \mathbb{C}$ . Suppose that the polynomials  $Q_{c_1}$  and  $Q_{c_2}$  are quasi-conformally conjugate. Then,  $c_1 = c_2$ .*

The following lemma is the analog to that in p.313 of [DH2].

**Lemma 4.17.** *Let  $\{c_n\}_{n>0}$ ,  $c_n \in M_{p/q}$ , be a sequence converging to  $c_0 \in M_{p/q}$ . Let  $\lambda_n = \Lambda(c_n)$  for  $n \geq 0$ . Assume  $\lambda_*$  is an accumulation point of the sequence  $\{\lambda_n\}_{n>0}$ . Then, the polynomials  $Q_{\lambda_0}$  and  $Q_{\lambda_*}$  are quasi-conformally conjugate.*

*Proof.* Let  $\varphi_n = \varphi_{c_n}$  be the integrating maps which are all quasi-conformal maps of the sphere with dilatation ratio bounded by a uniform constant  $K$ , and normalized so that  $\varphi_n(0) = 0$ ,  $\varphi_n(\infty) = \infty$  and  $\varphi_n$  is tangent to  $\mathcal{R}(z)$  at infinity. Also,  $\bar{\partial}\varphi_n$  have support in a fix compact set. Since the space of such maps is compact with respect to uniform convergence, there exists a



subsequence  $\{\varphi_{n_k}\}$  which converges uniformly on compact sets to a  $K$ -quasi-conformal map  $\varphi_*$ . Abusing notation, we denote this subsequence by  $\{\varphi_n\}$ .

The quasi-regular maps  $F_{c_n}$  constructed by surgery depend continuously on the parameter  $c$ , since the sectors involved in the construction do so. Then,  $F_{c_n} \rightrightarrows F_{c_0}$  and

$$Q_{\lambda_n} = \varphi_n \circ F_{c_n} \circ \varphi_n^{-1} \rightrightarrows \varphi_* \circ F_{c_0} \circ \varphi_*^{-1} =: Q_*$$

Observe that  $Q_*$  must be a holomorphic map of  $\widehat{\mathbb{C}}$  of degree two since it is the uniform limit of holomorphic maps of  $\widehat{\mathbb{C}}$  of degree two. Moreover,  $Q_*$  is centered since the critical point is  $\varphi_*(0) = 0$  and monic because the Böttcher map  $\varphi_* \circ \psi_{c_0} \circ \mathcal{R}^{-1}$  is tangent to the identity at infinity. Hence  $Q_*$  is of the form  $z^2 + \lambda$  and in fact,  $Q_*(z) = Q_{\lambda_*}(z) = z^2 + \lambda_*$  since  $Q_{\lambda_n} \rightrightarrows Q_*$  and  $\lambda_n \rightarrow \lambda_*$  by hypothesis. We conclude then that

$$Q_{\lambda_*} \sim_{qc} F_{c_0} \sim_{qc} Q_{\lambda_0}$$

and the lemma follows.  $\square$

The following proposition ensures that points are mapped by  $\Lambda$  where they should.

**Proposition 4.18.** *The map  $\Lambda : W_{p/q}^{\eta,s} \rightarrow \mathbb{C}$  sends the interior of the limb  $M_{p/q}$  to the interior of the limb  $M_{1/q}$ ; the boundary of  $M_{p/q}$  to the boundary of  $M_{1/q}$ , and the rest of points in  $W_{p/q}^{\eta,s} \setminus M_{p/q}$  to points in  $\mathbb{C} \setminus M$ .*

*Proof.* If  $c$  belongs to a hyperbolic component of  $M_{p/q}$  and hence has an attracting cycle, then  $Q_{\Lambda(c)}$  also has an attracting cycle (see Remark 4.3) and therefore  $\Lambda(c)$  belongs to a hyperbolic component of  $M_{1/q}$ .

If  $c$  belongs to a non-hyperbolic component of the interior of  $M_{p/q}$  then the Julia set  $J_c$  has positive measure and it carries an invariant line field. Following the surgery construction, one can check that  $J_{\Lambda(c)}$  must also have positive measure and carry an invariant line field. Hence  $\Lambda(c)$  belongs to a non-hyperbolic component of the interior of  $M_{1/q}$ . (For more details see [BF].)

Suppose  $c \in \partial M_{p/q}$ . Let  $\{c_n\}_{n \geq 0}$ ,  $c_n \in \partial M_{p/q}$  be a sequence of Misiurewicz points (i.e.,  $\omega = 0$  is strictly preperiodic under  $Q_{c_n}$ ) converging to  $c$ . Recall that this sequence exists since Misiurewicz points are dense in the boundary of the Mandelbrot set. Let  $\lambda = \Lambda(c)$  and  $\lambda_n = \Lambda(c_n)$ . The critical point of  $Q_{\lambda_n}$  must still be strictly preperiodic, and hence  $\lambda_n$  is Misiurewicz and belongs to the boundary of  $M_{1/q}$ . Now, let  $\lambda_* \in \partial M_{1/q}$  be any accumulation point of the sequence  $\{\lambda_n\}$  which must exist since  $\partial M_{1/q}$  is a compact set. By lemma 4.17 the polynomials  $Q_\lambda$  and  $Q_{\lambda_*}$  are quasi-conformally conjugate. But we also know that  $\lambda_* \in \partial M_{1/q}$ . Hence, it follows from lemma 4.16 that  $\lambda = \lambda_* \in \partial M_{1/q}$ .

Finally, let  $c \in W_{p/q}^{\eta,s} \setminus M_{p/q}$ . Then, the critical orbit under  $Q_c$  is unbounded. It is also clear from the surgery construction that the critical orbit under  $Q_{\Lambda(c)}$  is unbounded and therefore  $\Lambda(c) \in \mathbb{C} \setminus M$ .  $\square$

We are now ready to prove the continuity of the map  $\Lambda$ . First observe that, since the integrating map  $\varphi_c$  conjugates  $F_c$  to the polynomial  $Q_{\Lambda(c)}$ , the critical point and the critical

value of  $F_c$  (i.e., 0 and  $F_c(0)$ ) must be mapped to the critical point and the critical value of  $Q_{\Lambda(c)}$  respectively (i.e., 0 and  $\Lambda(c)$ ). Hence,

$$\Lambda(c) = \varphi_c(F_c(0)) = \varphi_c(Q_c^{n[1]}(0)), \quad (2)$$

since  $0 \in V_c^0 \setminus S_c$  and  $F_c = Q_c^{n[1]}$  on this set.

**Theorem 4.19.** *The map  $\Lambda$  is continuous.*

*Proof.* We consider two separate cases. Suppose  $c_0 \notin \partial M_{p/q}$ . Let  $U$  be a neighborhood of  $c_0$  in  $W_{p/q}^{\eta,s}$  such that  $U \cap \partial M_{p/q} = \emptyset$ . For  $c \in U$  we know that the almost complex structure  $\sigma_c$  we constructed varies continuously with  $c$ . Hence, it follows from the Measurable Riemann Mapping Theorem including dependence on parameters, that the map  $(c, z) \mapsto (c, \varphi_c(z))$  is jointly continuous where, as above,  $\varphi_c$  is the integrating map. Hence the map  $c \mapsto \varphi_c(Q_c^{n[1]}(0))$  is continuous and this equals  $\Lambda(c)$  by Equation (2).

Now suppose  $c_0 \in \partial M_{p/q}$ . The same argument cannot be applied since there is a discontinuity of the almost complex structure at all parabolic points. Let  $\{c_n\}_{n>0}$  be an arbitrary sequence of parameter values  $c_n \in W_{p/q}^{\eta,s}$  such that  $c_n \rightarrow c_0$ . Let  $\lambda_n = \Lambda(c_n)$  for  $n \geq 0$ . For any accumulation point  $\lambda_*$  of  $\{\lambda_n\}$  we must show that  $\lambda_* = \lambda_0$ .

From Lemma 4.17 it follows that  $Q_{\lambda_*}$  and  $Q_{\lambda_0}$  are quasi-conformally conjugate. From Proposition 4.18 we know that  $\lambda_0 \in \partial M_{1/q}$ . Hence we conclude from Lemma 4.16 that  $\lambda_* = \lambda_0$ .  $\square$

The following proposition states that the map  $\Lambda$  coincides with the homeomorphism constructed in [BF]. Recall that in this paper homeomorphisms

$$\Phi_{pp'}^q : M_{p/q} \longrightarrow M_{p'/q}$$

were constructed by surgery for any  $p/q, p'/q' \in (0, 1) \cap \mathbb{Q}$ .

**Proposition 4.20.** *If  $c \in M_{p/q}$  then  $\Lambda_{p/q}(c) = \Phi_{p,1}^q(c)$ . Hence,  $\Lambda_{p/q}$  is a homeomorphism on the limb  $M_{p/q}$  which is holomorphic in the interior.*

*Proof.* In [BF] we constructed for each  $p/q \in (0, 1) \cap \mathbb{Q}$  a homeomorphism  $\phi_{p/q} : M_{p/q} \rightarrow L_{q,0}$ , where  $L_{q,0}$  denotes the 0-limb of the connectedness locus  $L_q$  of the family of polynomials  $P_\lambda(z) = \lambda z(1 + \frac{z}{q})^q$ . The homeomorphism  $\Phi_{p,1}^q : M_{p/q} \rightarrow M_{1/q}$  equals the composition  $\phi_{1/q}^{-1} \circ \phi_{p/q} : M_{p/q} \rightarrow M_{1/q}$ . In order to prove that  $\Lambda_{p/q} = \Phi_{p,1}^q$  on  $M_{p/q}$  we shall prove that  $\phi_{1/q} \circ \Lambda_{p/q} = \phi_{p/q}$  on  $M_{p/q}$ . We shall briefly recall the surgery construction in [BF] leading to the definition of  $\phi_{p/q}$ , leaving out technical details.

Let  $c \in M_{p/q}$  be chosen arbitrarily. We truncate the plane by cutting away the wedges  $V_c^1, \dots, V_c^{q-1}$  and identify points equipotentially on the two bounding rays  $R_c(\theta^1)$  and  $R_c(\theta^q)$ . We denote this truncated plane by  $\mathbb{C}_c^T = (V_c^0 \cup \bigcup_{i=1}^{q-1} \tilde{V}_c^i) / \sim$ . Then we construct the first return map of  $Q_c$  on the truncated plane, that is on  $V_c^0$  and each  $\tilde{V}_c^j$  we apply the smallest number of iterates of  $Q_c$  that maps the sets into the allowed space. The first return map of  $Q_c$  is then  $Q_c^q$  on  $\text{int}(V_c^0)$  and  $z \mapsto Q_c^{q-n[j]}(-z)$  on  $\text{int}(\tilde{V}_c^j)$ ,  $j = 1, \dots, q-1$ . To obtain

the polynomial  $P_\lambda$  with  $\phi_{p/q}(c) = \lambda$ , we restrict the first return map, and smoothen it on sectors around the lines of discontinuity, ray segments of  $R_c(\tilde{\theta}^j)$ ,  $j = 1, \dots, q-1$ , such that the resulting map, say  $p_c$ , is quasi-regular. This map is hybrid equivalent to the polynomial  $P_\lambda$ . In [BF] we argued that other choices in the construction result in maps that are hybrid equivalent to  $p_c$ , hence also to  $P_\lambda$ . Starting from  $Q_{\Lambda(c)}$  we construct in a similar manner a map  $p_{\Lambda(c)}$ . By a rigidity argument analog to Proposition 2.1, to finish the proof we only need to show that  $p_c \sim_{hb} p_{\Lambda(c)}$ .

Observe that if we form the composition  $g_c^{q-j}$  on  $V_c^j$ ,  $j = 0, 1, \dots, q-1$  then we obtain  $g_c^{q-j} = Q_c^{q-n[j]}$  since

$$V_c^j \xrightarrow{Q_c^{k[j]}} V_c^{j+1} \xrightarrow{Q_c^{k[j+1]}} \dots \xrightarrow{Q_c^{k[q-2]}} V_c^{q-1} \xrightarrow{Q_c^{k[q-1]}} V_c^0 \cup \bigcup_{i=1}^{q-1} \tilde{V}_c^i,$$

and  $k[j] + k[j+1] + \dots + k[q-2] + k[q-1] = q - n[j]$ . It follows that the first return map of  $g_c$  equals  $Q_c^q$  on  $\text{int}(V_c^0)$  and  $z \mapsto Q_C^{q-n[j]}(-z)$  on  $\text{int}(\tilde{V}_c^j)$ . Hence the first return map of  $g_c$  coincides with the first return map of  $Q_c$ .

We note that  $f_c \sim_{hb} Q_{\Lambda(c)}$ , and if we carry through the surgery construction starting from  $f_c$  we obtain a quasi-regular map, say  $\tilde{p}_c$ , that is hybrid equivalent to  $p_{\Lambda(c)}$ . Since we can use the choices made when starting from  $f_c$  as choices when starting from  $Q_c$  we have  $p_c \sim_{hb} \tilde{p}_c$  and all together

$$p_{\Lambda(c)} \sim_{hb} \tilde{p}_c \sim_{hb} p_c \sim_{hb} P_\lambda.$$

□

**Remark 4.21.** Note that since  $\varphi_c(\gamma_c)$  is an equipotential of level  $2 \log(r)$ , where  $r$  is the arbitrary number chosen in connection with the Riemann mapping

$$\mathcal{R} : \widehat{\mathbb{C}} \setminus \text{int}(X \cup \overline{\mathbb{D}}) \rightarrow \widehat{\mathbb{C}} \setminus \mathbb{D}_{r,2},$$

it follows that

$$G_M(\Lambda(c)) = 2 \log(r),$$

if  $c \in W_{p/q}$  and  $G_M(c) = \eta$ , while

$$G_M(\Lambda(c)) < 2 \log(r)$$

if  $c \in W_{p/q}^{\eta,s}$ .

Note that the image  $\Lambda(W_{p/q}^{\eta,s})$  may not be contained entirely in  $W_{1/q}$ . The Riemann mapping  $\mathcal{R}$  is uniquely determined up to post-composition by a rotation. Hence when  $\eta, s$  and  $\gamma$  have been chosen then the angle spanned by the arc  $\mathcal{R}(\gamma \cap (V^1 \setminus (S(\theta^1) \cup S(\theta^2))))$  on  $\partial \mathbb{D}_{r,2}$  is determined. If this angle is larger than  $\frac{1}{2^q-1}$ , the span of  $W_{1/q}$ , then the image of  $W_{p/q}^{\eta,s}$  cannot fit into  $W_{1/q}$ .

Note however that the map  $\Lambda$  do depend on the different choices. Let us choose for instance an arbitrary  $c' \in W_{p/q}^{\eta,s} \setminus M_{p/q}$  and let  $r' > 0$  be such that  $2 \log(r') = G_M(\Lambda(c'))$ . Let  $\mathcal{R}' : \widehat{\mathbb{C}} \setminus \text{int}(X \cup \overline{\mathbb{D}}) \rightarrow \widehat{\mathbb{C}} \setminus \mathbb{D}_{(r')^2}$  be a Riemann mapping satisfying  $\mathcal{R}'(\infty) = \infty$ . If we continue the construction from here on to obtain a map  $\Lambda' = \Lambda'_{p/q} : W_{p/q}^{\eta,s} \rightarrow \mathbb{C}$ , then we can be sure that  $\Lambda'(c') \neq \Lambda(c')$ , since  $G_M(\Lambda(c')) < 2 \log(r')$ .

#### 4.4 Injectivity and quasi-conformality of $\Lambda_{p/q}$ outside the limb

To show that the map  $\Lambda_{p/q} : W_{p/q}^{\eta,s} \rightarrow \mathbb{C}$  is a homeomorphism in all of its domain onto its image it remains to solve the problem of injectivity on the complement of the  $p/q$ -limb,  $W_{p/q}^{\eta,s} \setminus M_{p/q}$ .

We shall do so by giving an alternative expression for the integrating map  $\varphi_c$  in the cases when  $K_c$  is not connected. This will lead to a new expression of  $\Lambda(c)$  for which injectivity will be simpler to check. We remark that this argument cannot be used for points in the limb but only those in the complement.

To this end, let  $c_0$  denote the center of  $\Omega_{p/q}$ , the main hyperbolic component of  $M_{p/q}$  (that of period  $q$ ) and let  $c \in W_{p/q}^{\eta,s} \setminus M_{p/q}$ . Let  $\psi_{c_0}$  and  $\psi_c$  be the two respective Böttcher maps. Recall that the set  $\mathcal{U}_c$  was defined as the set of those points in dynamical plane that lie in the complement of the filled figure eight that corresponds to the potential level of  $\omega = 0$ . Define the map

$$h_c : \mathcal{U}_c \longrightarrow \mathbb{C} \setminus K_{c_0} \\ z \longmapsto (\psi_{c_0} \circ \psi_c^{-1})(z)$$

Note that  $h_c$  is injective and holomorphic for any  $c \in W_{p/q}^{\eta,s} \setminus M_{p/q}$ .

**Remark 4.22.** In fact, the set  $\{(c, z) \mid c \in W_{p/q}^{\eta,s} \setminus M_{p/q}, z \in \mathcal{U}_c\}$  is open in  $(W_{p/q}^{\eta,s} \setminus M_{p/q}) \times \mathbb{C}$ . In other words, for any given  $\tilde{c} \in W_{p/q}^{\eta,s} \setminus M_{p/q}$  and any  $\tilde{z} \in \mathcal{U}_{\tilde{c}}$ , there exists a neighborhood  $U_{\tilde{c}} \subset W_{p/q}^{\eta,s} \setminus M_{p/q}$  of  $\tilde{c}$ , and a neighborhood  $V_{\tilde{z}}$  of  $\tilde{z}$ , such that  $V_{\tilde{z}} \subset \mathcal{U}_c$  for all  $c \in U_{\tilde{c}}$ . Moreover, the map  $(c, z) \mapsto (c, h_c(z))$  is well defined in  $U_{\tilde{c}} \times V_{\tilde{z}}$  and it is holomorphic in both its variables,  $c$  and  $z$ .

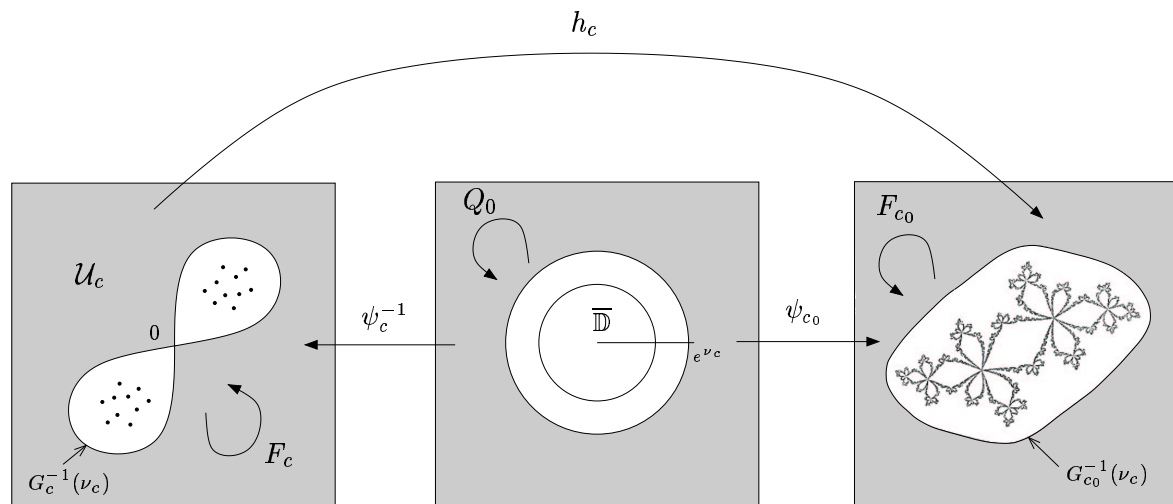


Figure 20: The set  $\mathcal{U}_c$  and the map  $h_c$ .

An important property of this map is that it provides a conjugacy between  $F_c$  and  $F_{c_0}$ .

**Lemma 4.23.** *The following diagram commutes.*

$$\begin{array}{ccc} \mathcal{U}_c & \xrightarrow{F_c} & \mathcal{U}_c \\ h_c \downarrow & & \downarrow h_c \\ \mathbb{C} \setminus K_{c_0} & \xrightarrow{F_{c_0}} & \mathbb{C} \setminus K_{c_0} \end{array}$$

*Proof.* Recall (from Remark 4.11) that

$$F_c = \psi_c \circ F \circ \psi_c^{-1},$$

on  $\mathcal{U}_c$ , where  $F$  is a map defined on the complement of the unit disk independently of  $c$ . Then,

$$h_c \circ F_c = (\psi_{c_0} \circ \psi_c^{-1}) \circ (\psi_c \circ F \circ \psi_c^{-1}) = \psi_{c_0} \circ F \circ \psi_c^{-1}.$$

On the other hand,

$$F_{c_0} \circ h_c = (\psi_{c_0} \circ F \circ \psi_{c_0}^{-1}) \circ (\psi_{c_0} \circ \psi_c^{-1}) = \psi_{c_0} \circ F \circ \psi_c^{-1}.$$

□

We shall now make a parallel construction for polynomials in  $W_{1/q} \setminus M_{1/q}$ . Set  $\lambda_0 = \Lambda_{p/q}(c_0)$ , this is the center of  $\Omega_{1/q}$ , the main hyperbolic component of the  $1/q$ -limb. Let  $\mathcal{U}_\lambda$  be as above in the dynamical plane of  $Q_\lambda$ .

Similarly as before, we define a map

$$\begin{array}{ccc} H_\lambda : \mathcal{U}_\lambda & \longrightarrow & \mathbb{C} \setminus K_{\lambda_0} \\ z & \longmapsto & (\psi_{\lambda_0} \circ \psi_\lambda^{-1})(z) \end{array}$$

which is holomorphic and injective.

The analog to Lemma 4.23 is also true and it is proven in the same way.

**Lemma 4.24.** *The following diagram commutes.*

$$\begin{array}{ccc} \mathcal{U}_\lambda & \xrightarrow{Q_\lambda} & \mathcal{U}_\lambda \\ H_\lambda \downarrow & & \downarrow H_\lambda \\ \mathbb{C} \setminus K_{\lambda_0} & \xrightarrow{Q_{\lambda_0}} & \mathbb{C} \setminus K_{\lambda_0} \end{array}$$

The two maps  $h_c$  and  $H_{\Lambda(c)}$ , together with the integrating map for the center point  $c_0$ , give the following key expression for the integrating map for any  $c$  not inside the limb.

**Proposition 4.25.** *The integrating map  $\varphi_c$  can be written as*

$$\varphi_c = H_{\Lambda(c)}^{-1} \circ \varphi_{c_0} \circ h_c,$$

on  $\mathcal{U}_c \cap \varphi_c^{-1}(\mathcal{U}_{\Lambda(c)})$ .

*Proof.* On the smaller set  $\mathbb{C} \setminus X'_c$ , Proposition 4.25 can be also stated saying that the following diagram commutes.

$$\begin{array}{ccccc}
\mathbb{C} \setminus X'_{c_0} & \xleftarrow{\psi_{c_0}} & \mathbb{C} \setminus (X' \cup \overline{\mathbb{D}}) & \xrightarrow{\psi_c} & \mathbb{C} \setminus X'_c \\
\varphi_{c_0} \downarrow & & \mathcal{R} \downarrow & & \downarrow \varphi_c \\
\mathbb{C} \setminus \varphi_{c_0}(X'_{c_0}) & \xleftarrow{\psi_{\lambda_0}} & \mathbb{C} \setminus \mathbb{D}_r & \xrightarrow{\psi_{\Lambda(c)}} & \mathbb{C} \setminus \varphi_c(X'_c)
\end{array}$$

which we have proven in Proposition 4.14.

Observe that this argument cannot be applied deeper since the expression for the Böttcher maps in terms of  $\mathcal{R}$  applies only to  $\mathbb{C} \setminus \mathbb{D}_r$ . However, we shall use Lemmas 4.23 and 4.24 to pull back the equality.

For any  $z \in \mathcal{U}_c \cap \varphi_c^{-1}(\mathcal{U}_{\Lambda(c)})$ , there exists  $n \geq 0$  such that  $F_c^n(z) \in \mathbb{C} \setminus X'_c$ . Hence the proposition applies to  $F_c^n(z)$  and we have

$$H_{\Lambda(c)}(\varphi_c(F_c^n(z))) = \varphi_{c_0}(h_c(F_c^n(z))).$$

Since  $z$  and  $F_c^n(z)$  are in  $\mathcal{U}_c$  and the Böttcher maps are defined in this set we have that

$$h_c(F_c^n(z)) = F_{c_0}^n(h_c(z)). \quad (3)$$

Since  $z \in \varphi_c^{-1}(\mathcal{U}_{\Lambda(c)})$ , it follows that  $\varphi_c(z) \in \mathcal{U}_{\Lambda(c)}$  and hence  $H_{\Lambda(c)}(\varphi_c(z))$  is well defined. Moreover,

$$Q_{\lambda_0}^n(H_{\Lambda(c)}(\varphi_c(z))) = H_{\Lambda(c)}(Q_{\Lambda(c)}^n(\varphi_c(z))) \quad (4)$$

Now, by construction we know that  $\varphi_c(F_c^n(z)) = Q_{\Lambda(c)}^n(\varphi_c(z))$ . Hence, equation (3) can be written as

$$H_{\Lambda(c)}(Q_{\Lambda(c)}^n(\varphi_c(z))) = \varphi_{c_0}(F_{c_0}^n(h_c(z)))$$

or, using (4), as

$$Q_{\lambda_0}^n(H_{\Lambda(c)}(\varphi_c(z))) = Q_{\lambda_0}^n(\varphi_{c_0}(h_c(z))).$$

By taking the appropriate branches of the inverse of  $Q_{\lambda_0}$ , we obtain that

$$H_{\Lambda(c)}(\varphi_c(z)) = \varphi_{c_0}(h_c(z))$$

and the proposition follows.  $\square$

If  $c \in W_{p/q}^{\eta,s} \setminus M_{p/q}$ , the prospective critical value  $F_c(0) = Q_c^{n[1]}(0)$  belongs to the set  $\mathcal{U}_c \cap \varphi_c^{-1}(\mathcal{U}_{\Lambda(c)})$  (see Figure 21), Proposition 4.25 holds for this point. We have then proved

**Proposition 4.26.** *Let  $c \in W_{p/q}^{\eta,s} \setminus M_{p/q}$ . Then,*

$$\Lambda(c) = \varphi_c(Q_c^{n[1]}(0)) = H_{\Lambda(c)}^{-1}\left(\varphi_{c_0}\left(h_c\left(Q_c^{n[1]}(0)\right)\right)\right).$$

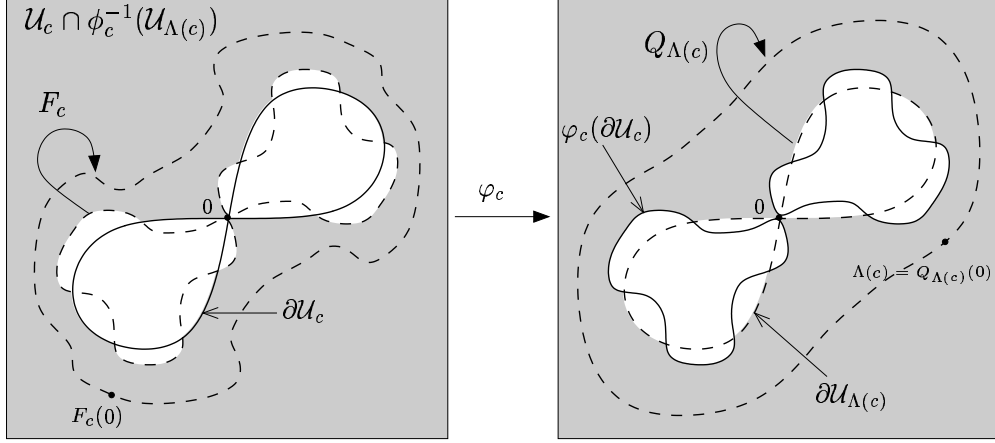


Figure 21: The set  $\mathcal{U}_c \cap \phi_c^{-1}(\mathcal{U}_{\Lambda(c)})$  and the location of  $F_c(0) = Q_c^{n[1]}(0)$  and of  $Q_{\Lambda(c)}(0)$ .

It remains to be shown,

**Proposition 4.27.** *The map  $c \mapsto \Lambda(c)$  is a quasi-conformal injection.*

*Proof.* Let  $\tilde{h} : W_{p/q} \setminus M_{p/q} \rightarrow \text{int}(V_{c_0}^1 \setminus K_{c_0})$  be defined by

$$\tilde{h}(c) = h_c(Q_c^{n[1]}(0)) = h_c(Q_c^{n[1]-1}(c)).$$

We shall first show that this map is well defined and it is a holomorphic isomorphism.

Clearly, any  $c \in W_{p/q} \setminus M_{p/q}$  can be viewed as well in  $V_c^p \setminus K_c$ . Then, the map  $Q_c^{n[1]-1}$  sends  $c$  to a point in  $V_c^1 \setminus K_c$ , which will be mapped, by the Böttcher coordinates  $\psi_{c_0} \circ \psi_c^{-1}$ , into  $V_{c_0}^1 \setminus K_{c_0}$ . The composition is clearly holomorphic since all maps are holomorphic with respect to  $c$  and  $z$ . Moreover, it is proper, onto and of degree one. To see this, observe that  $\tilde{h}$  maps the rays  $R_M(\theta_{p/q}^\pm)$  bounding  $W_{p/q}$  bijectively onto the rays  $R_{c_0}(\theta^1)$  and  $R_{c_0}(\theta^2)$  bounding  $V_{c_0}^1$ . Indeed, let  $c \in R_M(\theta_{p/q}^-)$  and be of potential  $\rho$ . Then, in dynamical plane,  $c \in R_c(\theta^p)$  and is of potential  $\rho$  (recall that  $\theta^p = \theta_{p/q}^-$ ); the image  $Q_c^{n[1]-1}(c) \in R_c(\theta^1)$  and is of potential  $2^{n[1]-1}\rho$ . It follows that  $h_c(Q_c^{n[1]-1}(c)) \in R_{c_0}(\theta^1)$  and is of potential  $2^{n[1]-1}\rho$ . Hence  $\tilde{h}$  maps  $R_M(\theta_{p/q}^-)$  bijectively onto  $R_{c_0}(\theta^1)$  and, similarly, it maps  $R_M(\theta_{p/q}^+)$  bijectively onto  $R_{c_0}(\theta^2)$ . To finish the argument we observe that when  $c$  tends to  $\partial M_{p/q}$ , then  $\tilde{h}(c)$  tends to  $\partial K_{c_0}$ .

Next, consider the following map:

$$\begin{aligned} \mathcal{H} : \mathbb{C} \setminus M &\longrightarrow \mathbb{C} \setminus K_{\lambda_0} \\ \lambda &\longmapsto H_\lambda(\lambda). \end{aligned}$$

We observe that  $\mathcal{H}$  is also a holomorphic isomorphism since we may write

$$\mathcal{H}(\lambda) = \psi_{\lambda_0}(\psi_\lambda^{-1}(\lambda)) = \psi_{\lambda_0}(\phi_M(\lambda)),$$

which is a composition of the two holomorphic isomorphisms  $\phi_M : \mathbb{C} \setminus M \rightarrow \mathbb{C} \setminus \overline{\mathbb{D}}$  and  $\psi_{\lambda_0} : \mathbb{C} \setminus \overline{\mathbb{D}} \rightarrow \mathbb{C} \setminus K_{\lambda_0}$ .

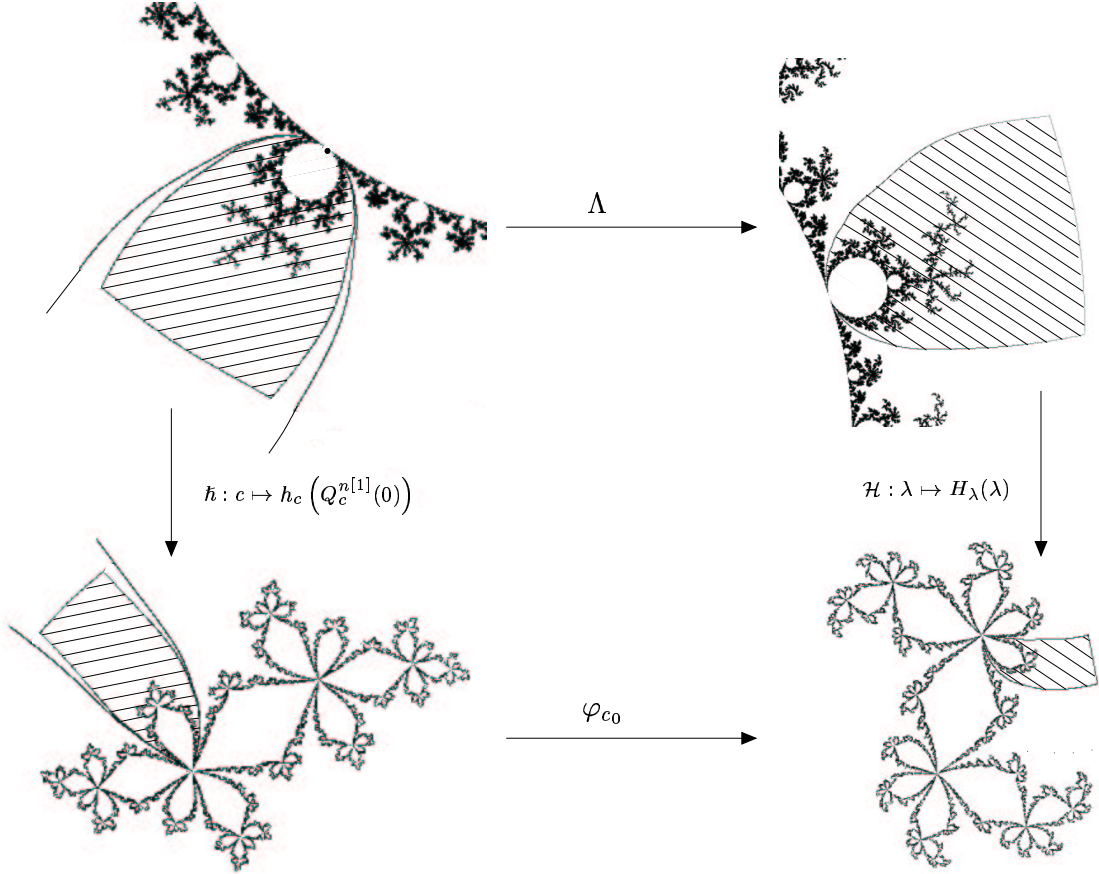


Figure 22: Commutative diagram relating parameter spaces and dynamical planes, as in Prop. 4.26.

Finally, observe (see Figure 22) that the map  $\Lambda = \mathcal{H}^{-1} \circ \varphi_{c_0} \circ \tilde{h}$  is a quasi-conformal homeomorphism onto its image, being a composition of two holomorphic isomorphisms and a quasi-conformal map. Indeed, note that

$$\tilde{h}(W_{p/q}^{\eta,s} \setminus M_{p/q}) = (V_{c_0}^1)^{2^{n^{[1]}-1}\eta,s} \setminus K_{c_0}$$

where  $(V_{c_0}^1)^{2^{n^{[1]}-1}\eta,s}$  is the dynamical wake restricted by part of the equipotential of potential  $\eta$  and slope lines of slope  $s$  of sectors around  $R_{c_0}(\theta^1)$  and  $R_{c_0}(\theta^2)$ . Thus the set  $\varphi_{c_0} \left( (V_{c_0}^1)^{2^{n^{[1]}-1}\eta,s} \setminus K_{c_0} \right)$  is a quasi-conformal image within  $\mathbb{C} \setminus K_{\lambda_0}$  which is finally mapped by a holomorphic isomorphism onto a subset of  $\mathbb{C} \setminus M$ .  $\square$

This ends the proof of the Main Theorem.

**Remark 4.28.** In this paper we have constructed an extension  $\Lambda_{p/q}$  of the homeomorphism  $\Phi_{p,1}^q : M_{p/q} \rightarrow M_{1/q}$  and proved that  $\Lambda_{p/q}$  is quasi-conformal outside  $M_{p/q}$ . As noted in the introduction we can deduce that  $\Lambda_{p/q}$  (after a restriction – if necessary – followed by an extension) gives rise to a homeomorphism from  $W_{p/q}$  onto  $W_{1/q}$  which is quasi-conformal



outside  $M_{p/q}$ . As further mentioned in the introduction, the combinatorial extension of  $\Phi_{p,1}^q$  described in [BF] assuming local connectivity of the Mandelbrot is not quasi-conformal outside  $M_{p/q}$ . We end this paper by describing why this is so. The combinatorial extension is defined for each  $c \in W_{p/q} \setminus M_{p/q}$  with  $\Phi_M(c) = e^{\rho+2\pi i\theta}$  as

$$(\rho, \theta) \mapsto (\rho, \Theta(\theta))$$

where  $\Theta : [\theta_{p/q}^-, \theta_{p/q}^+] \rightarrow [\theta_{1/q}^-, \theta_{1/q}^+]$  is obtained through combinatorial surgery as described in section 7.1.2 in [BF]. The map is of the form  $(\rho, \theta) \mapsto (\rho, h(\theta))$  with  $h : I_1 \rightarrow I_2$  a homeomorphism between intervals. Indeed, such a map is quasi-conformal if and only if  $h$  is bi-Lipschitz. In our case,  $\Theta$  is not Lipschitz, thus  $\Phi_{p,1}^q$  is not quasi-conformal. To see that  $\Theta$  is not Lipschitz we compare para-patterns in  $W_{p/q}$  and  $W_{1/q}$ .

We call a parameter value  $c$  an  $\alpha$ -Misiurewicz point if the critical point eventually falls on the fixed point  $\alpha_c$ . As usual fix  $q$  and consider an arbitrary  $p/q$ . Each  $\alpha$ -Misiurewicz point in  $M_{p/q}$  is the landing point of  $q$  rays of external arguments, say  $\nu_1 < \nu_2 < \dots < \nu_q$ . The lengths of the intervals  $[\nu_j, \nu_{j+1}]$  for  $j = 1, 2, \dots, q-1$  are of the form  $2^{\sigma^p(j)}/D$  where  $D$  is a common denominator depending on  $c$  and  $\sigma^p(1), \sigma^p(2), \dots, \sigma^p(q-1)$  is a permutation of  $0, 1, \dots, q-2$ . Note that for  $p = 1$  the permutation is trivial, i.e.  $(\sigma^p(1), \sigma^p(2), \dots, \sigma^p(q-1)) = (0, 1, \dots, q-2)$ , and for any  $p$  we have  $\sigma^p(p) = 0$ . We consider in the limb  $M_{p/q}$  the tree of - what we shall call - *dominating*  $\alpha$ -Misiurewicz points together with the tree of external arguments associated to those. Let  $c^p$  denote the first dominating  $\alpha$ -Misiurewicz point in  $M_{p/q}$ , i.e. the one of lowest pre-period, and let  $\nu_1^p, \dots, \nu_q^p$  denote the external arguments in increasing order of the  $q$  rays landing at  $c^p$ . Let  $W_j^p$  denote the sub-wake within  $W_{p/q}$  bounded by  $\mathcal{R}_M(\nu_j^p), \mathcal{R}_M(\nu_{j+1}^p)$  and  $c^p$ . Inductively, let  $c_{j_1, \dots, j_k}^p$  denote the dominating  $\alpha$ -Misiurewicz point in the subwake  $W_{j_1, \dots, j_k}^p$  i.e. the one of lowest pre-period, and let  $\nu_{j_1, \dots, j_k, 1}^p, \dots, \nu_{j_1, \dots, j_k, q}^p$  denote the external arguments in increasing order of the  $q$  rays landing at  $c_{j_1, \dots, j_k}^p$ ; here  $W_{j_1, \dots, j_k}^p$  denotes the sub-wake within  $W_{j_1, \dots, j_{k-1}}^p$  bounded by  $\mathcal{R}_M(\nu_{j_1, \dots, j_{k-1}}^p), \mathcal{R}_M(\nu_{j_1, \dots, j_{k-1}+1}^p)$  and  $c_{j_1, \dots, j_{k-1}}^p$ . The surgery map  $\Phi_{p,1}^q$  respects the tree of dominating  $\alpha$ -Misiurewicz points, and the combinatorial surgery map  $\Theta$  respects the tree of associated external arguments, especially

$$\Theta(\nu_{j_1, \dots, j_k}^p) = \nu_{j_1, \dots, j_k}^1.$$

Consider in particular the two arguments in the  $k$ -th generation:  $\nu_{p, \dots, p, p}^p$  and  $\nu_{p, \dots, p, p+1}^p$ . A simple computation shows that

$$\frac{\Theta(\nu_{p, \dots, p, p+1}^p) - \Theta(\nu_{p, \dots, p, p}^p)}{\nu_{p, \dots, p, p+1}^p - \nu_{p, \dots, p, p}^p} = 2^{k(p-1)}. \quad (5)$$

Since  $2^{k(p-1)}$  is unbounded when  $k$  tends to infinity, the map  $\Theta$  is not Lipschitz. See Figures 23 and 24.

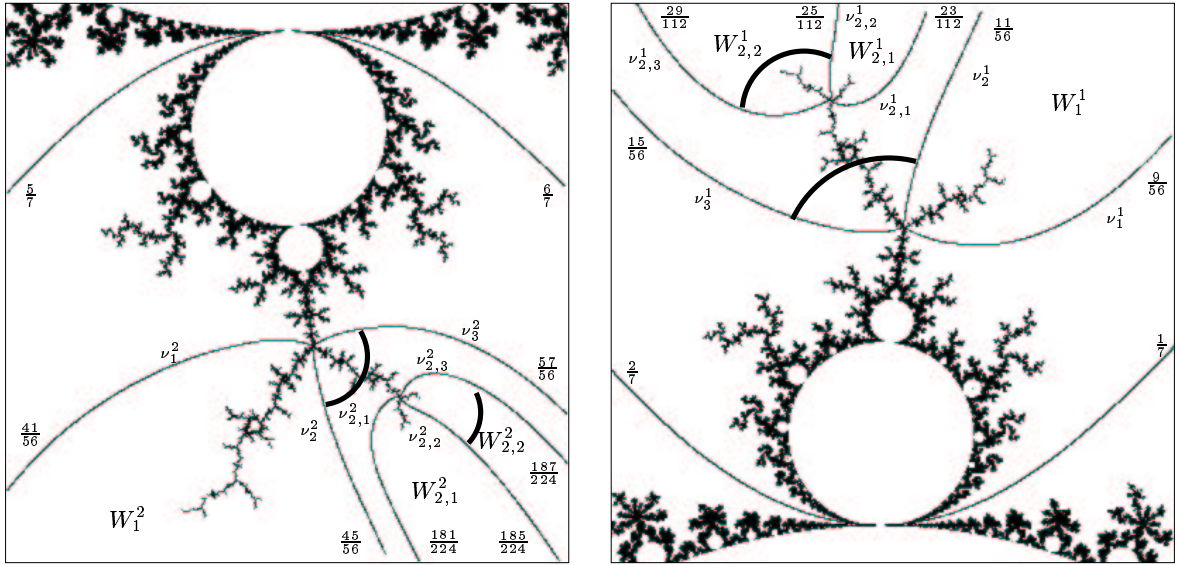


Figure 23: Some external arguments of the tree of dominating  $\alpha$ -Misiurewicz points in the  $2/3$  and  $1/3$  limbs, corresponding to levels  $k = 1$  and  $k = 2$ . Highlighted, we find the intervals in equation (5) for these two levels. The ratios are  $2^1$  and  $2^2$  respectively.

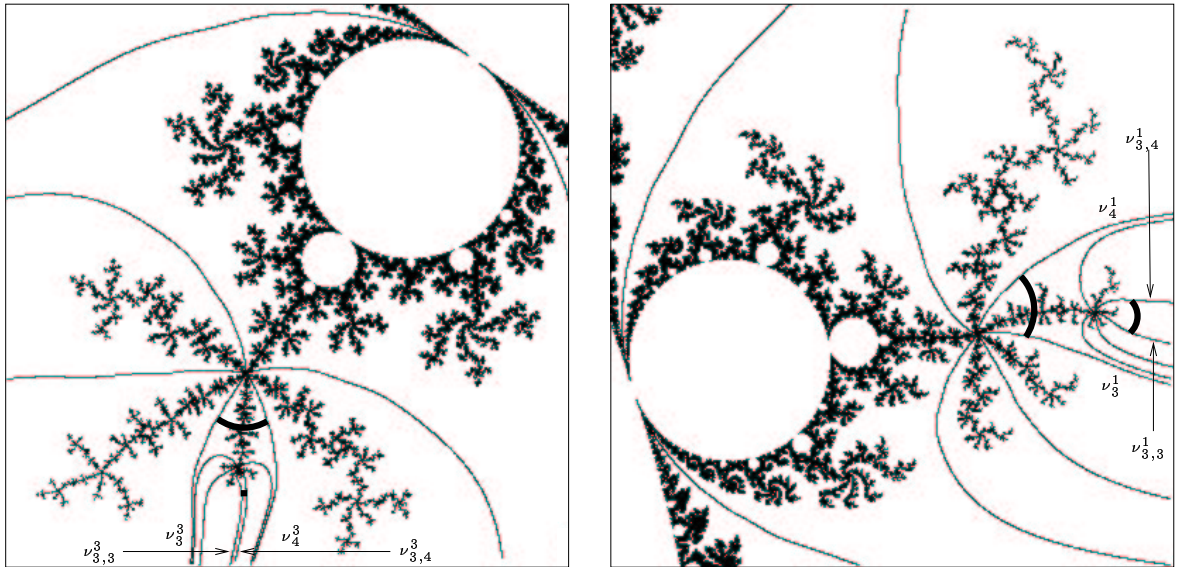


Figure 24: Some external arguments of the tree of dominating  $\alpha$ -Misiurewicz points in the  $3/5$  and  $1/5$  limbs, corresponding to levels  $k = 1$  and  $k = 2$ . Highlighted, we find the intervals in equation (5) for these two levels. The ratio for  $k = 1$  is  $\frac{47/992-39/992}{695/992-693/992} = 2^2$  and  $\frac{171/3968-163/3968}{11111/15872-11109/15872} = 2^4$  for  $k = 2$ .

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