

# ENDOMORPHISM ALGEBRAS OF GEOMETRICALLY SPLIT ABELIAN SURFACES OVER $\mathbb{Q}$

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ABSTRACT. We determine the set of geometric endomorphism algebras of geometrically split abelian surfaces defined over  $\mathbb{Q}$ . In particular we find that this set has cardinality 92. The essential part of the classification consists in determining the set of quadratic imaginary fields  $M$  with class group  $C_2 \times C_2$  for which there exists an abelian surface  $A$  defined over  $\mathbb{Q}$  which is geometrically isogenous to the square of an elliptic curve with CM by  $M$ . We first study the interplay between the field of definition of the geometric endomorphisms of  $A$  and the field  $M$ . This reduces the problem to the situation in which  $E$  is a  $\mathbb{Q}$ -curve in the sense of Gross. We can then conclude our analysis by employing Nakamura's method to compute the endomorphism algebra of the restriction of scalars of a Gross  $\mathbb{Q}$ -curve.

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## 1. INTRODUCTION

Let  $A$  be an abelian variety of dimension  $g \geq 1$  defined over a number field  $k$  of degree  $d$ . Let us denote by  $A_{\overline{\mathbb{Q}}}$  its base change to  $\overline{\mathbb{Q}}$ . We refer to  $\text{End}(A_{\overline{\mathbb{Q}}})$ , the  $\mathbb{Q}$ -algebra spanned by the endomorphisms of  $A$  defined over  $\overline{\mathbb{Q}}$ , as the  $\overline{\mathbb{Q}}$ -endomorphism algebra of  $A$ . For a fixed choice of  $g$  and  $d$ , it is conjectured that the set of possibilities for  $\text{End}(A_{\overline{\mathbb{Q}}})$  is finite. A slightly stronger form of this conjecture, applying

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to endomorphism rings of abelian varieties over number fields, has been attributed to Coleman in [BFGR06].

Hereafter, let  $A$  denote an abelian surface defined over  $\mathbb{Q}$ . In the case that  $A$  is geometrically simple (that is,  $A_{\overline{\mathbb{Q}}}$  is simple), the previous conjecture stands widely open. If  $A$  is principally polarized and has CM it has been shown by Murabayashi and Umegaki [MU01] that  $\text{End}(A_{\overline{\mathbb{Q}}})$  is one of 19 possible quartic CM fields. However, narrowing down to a finite set the possible quadratic real fields and quaternion division algebras over  $\mathbb{Q}$  which occur as  $\text{End}(A_{\overline{\mathbb{Q}}})$  for some  $A$  has escaped all attempts of proof. See also [OS18] for recent more general results which prove Coleman's conjecture for CM abelian varieties.

In the present paper, we focus on the case that  $A$  is geometrically split, that is, the case in which  $A_{\overline{\mathbb{Q}}}$  is isogenous to a product of elliptic curves, which we will assume from now on. Let  $\mathcal{A}$  be the set of possibilities for  $\text{End}(A_{\overline{\mathbb{Q}}})$ , where  $A$  is a geometrically split abelian surface over  $\mathbb{Q}$ .

Let us briefly recall how scattered results in the literature ensure the finiteness of  $\mathcal{A}$  (we will detail the arguments in Section 4). Indeed, if  $A_{\overline{\mathbb{Q}}}$  is isogenous to the product of two non-isogenous elliptic curves, then the finiteness (and in fact the precise description) of the set of possibilities for  $\text{End}(A_{\overline{\mathbb{Q}}})$  follows from [FKRS12, Proposition 4.5]. If, on the contrary,  $A_{\overline{\mathbb{Q}}}$  is isogenous to the square of an elliptic curve, then the finiteness of the set of possibilities for  $\text{End}(A_{\overline{\mathbb{Q}}})$  was established by Shafarevich in [Sha96] (see also [Gon11] for the determination of the precise subset corresponding to modular abelian surfaces). In the present work, we aim at an effective version of Shafarevich's result. Our starting point is [FG18, Theorem 1.4], which we recall in our particular setting.

**Theorem 1.1** ([FG18]). *If  $A$  is an abelian surface defined over  $\mathbb{Q}$  such that  $A_{\overline{\mathbb{Q}}}$  is isogenous to the square of an elliptic curve  $E/\overline{\mathbb{Q}}$  with complex multiplication (CM) by a quadratic imaginary field  $M$ , then the class group of  $M$  is  $1$ ,  $C_2$ , or  $C_2 \times C_2$ .*

It should be noted that several other works can be used to see that, in the situation of the theorem, the exponent of the class group of  $M$  divides 2 (see [Sch07] or [Kan11], for example).

While it is an easy observation that an abelian surface  $A$  as in the theorem can be found for each quadratic imaginary field  $M$  with class group  $1$  or  $C_2$  (see [FG18, Remark 2.20] and also Section 4), the question whether such an  $A$  exists for each of the fields  $M$  with class group  $C_2 \times C_2$  is far from trivial. The aforementioned results are thus not sufficient for the determination of the set  $\mathcal{A}$ . The main contribution of this article is the following theorem.

**Theorem 1.2.** *Let  $M$  be a quadratic imaginary field with class group  $C_2 \times C_2$ . There exists an abelian surface defined over  $\mathbb{Q}$  such that  $A_{\overline{\mathbb{Q}}}$  is isogenous to the square of an elliptic curve  $E/\overline{\mathbb{Q}}$  with CM by  $M$  if and only if the discriminant of  $M$  belongs to the set*

$$(1.1) \quad \{-84, -120, -132, -168, -228, -280, -372, -408, -435, -483, \\ -520, -532, -595, -627, -708, -795, -1012, -1435\}.$$

The only imaginary quadratic fields with class group  $C_2 \times C_2$  whose discriminant does not belong to (1.1) are

$$(1.2) \quad \mathbb{Q}(\sqrt{-195}), \mathbb{Q}(\sqrt{-312}), \mathbb{Q}(\sqrt{-340}), \mathbb{Q}(\sqrt{-555}), \mathbb{Q}(\sqrt{-715}), \mathbb{Q}(\sqrt{-760}).$$

With Theorem 1.2 at hand, the determination of the set  $\mathcal{A}$  follows as a mere corollary (see §4 for the proof).

**Corollary 1.3.** *The set  $\mathcal{A}$  of  $\overline{\mathbb{Q}}$ -endomorphism algebras of geometrically split abelian surfaces over  $\mathbb{Q}$  is made of:*

- i)  $\mathbb{Q} \times \mathbb{Q}$ ,  $\mathbb{Q} \times M$ ,  $M_1 \times M_2$ , where  $M$ ,  $M_1$  and  $M_2$  are quadratic imaginary fields of class number 1;*
- ii)  $M_2(\mathbb{Q})$ ,  $M_2(M)$ , where  $M$  is a quadratic imaginary field with class group 1,  $C_2$ , or  $C_2 \times C_2$  and distinct from those listed in (1.2).*

*In particular, the set  $\mathcal{A}$  has cardinality 92.*

The paper is organized in the following manner. In Section 2 we attach a  $c$ -representation  $\varrho_V$  of degree 2 to an abelian surface  $A$  defined over  $\mathbb{Q}$  such that  $A_{\overline{\mathbb{Q}}}$  is isogenous to the square of an elliptic curve  $E/\overline{\mathbb{Q}}$  with CM by  $M$ . It is well known that  $E$  is a  $\mathbb{Q}$ -curve and that one can associate a 2-cocycle  $c_E$  to  $E$ . A  $c$ -representation is essentially a representation up to scalar and it is thus a notion closely related to that of projective representation. In the case of the  $c$ -representation  $\varrho_V$  attached to  $A$ , the scalar that measures the failure of  $\varrho_V$  to be a proper representation is precisely the 2-cocycle  $c_E$ . Choosing the language of  $c$ -representations instead of that of projective representations has an unexpected payoff: the tensor product of a  $c$ -representation  $\varrho$  and its contragredient  $c$ -representation  $\varrho^*$  is again a proper representation. We show that  $\varrho_V \otimes \varrho_V^*$  coincides with the representation of  $G_{\overline{\mathbb{Q}}}$  on the 4 dimensional  $M$ -vector space  $\text{End}(A_{\overline{\mathbb{Q}}})$ . This representation has been studied in detail in [FS14] and the tensor decomposition of  $\text{End}(A_{\overline{\mathbb{Q}}})$  is exploited in Theorems 2.20 and 2.27 to obtain obstructions on the existence of  $A$ . These obstructions extend to the general case those obtained in [FG18, §3.1, §3.2] under very restrictive hypotheses. The  $c$ -representation point of view also allows us to understand in a unified manner what we called *group theoretic* and *cohomological* obstructions in [FG18]. It should be noted that one can define analogues of  $\varrho_V$  in other more general situations. For example, a parallel construction in the context of geometrically isotypic abelian varieties potentially of  $\text{GL}_2$ -type has been exploited in [FG19] to determine a tensor factorization of their Tate modules. This can be used to deduce the validity of the Sato-Tate conjecture for them in certain cases.

In Section 3, we describe a method of Nakamura to compute the endomorphism algebra of the restriction of scalars of certain Gross  $\mathbb{Q}$ -curves (see Definition 2.9 below for the precise definition of these curves). Then we apply this method to all Gross  $\mathbb{Q}$ -curves with CM by a field  $M$  of class group  $C_2 \times C_2$ . This computation plays a key role in the proof of Theorem 1.2, both in proving the existence of the abelian surfaces for the fields  $M$  different from those listed in (1.2), and in proving the non-existence for the fields of (1.2).

In Section 4 we culminate the proofs of Theorem 1.2 and Corollary 1.3 by assembling together the obstructions and existence results from Sections 2 and 3. We essentially show that we can use the results of Section 2 to reduce to the case of Gross  $\mathbb{Q}$ -curves, and then we deal with this case using the results of Section 3

**Notations and terminology.** For  $k$  a number field, we will work in the category of abelian varieties up to isogeny over  $k$ . Note that isogenies become invertible in this category. Given an abelian variety  $A$  defined over  $k$ , the set of endomorphisms  $\text{End}(A)$  of  $A$  defined over  $k$  is endowed with a  $\mathbb{Q}$ -algebra structure. More generally,

if  $B$  is an abelian variety defined over  $k$ , we will denote by  $\text{Hom}(A, B)$  the  $\mathbb{Q}$ -vector space of homomorphisms from  $A$  to  $B$  that are defined over  $k$ . We note that for us  $\text{End}(A)$  and  $\text{Hom}(A, B)$  denote what some other authors call  $\text{End}^0(A)$  and  $\text{Hom}^0(A, B)$ . We will write  $A \sim B$  to mean that  $A$  and  $B$  are isogenous over  $k$ . If  $L/k$  is a field extension, then  $A_L$  will denote the base change of  $A$  from  $k$  to  $L$ . In particular, we will write  $A_L \sim B_L$  if  $A$  and  $B$  become isogenous over  $L$ , and we will write  $\text{Hom}(A_L, B_L)$  to refer to what some authors write as  $\text{Hom}_L(A, B)$ .

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## 2. $c$ -REPRESENTATIONS AND $k$ -CURVES

The goal of this section is to obtain obstructions to the existence of abelian surfaces defined over  $\mathbb{Q}$  such that  $\text{End}(A_{\overline{\mathbb{Q}}}) \simeq M_2(M)$ , where  $M$  is a quadratic imaginary field. To this purpose, we analyze the interplay between the  $k$ -curves and  $c$ -representations that arise from them.

**2.1.  $c$ -representations: general definitions.** Let  $V$  be a vector space of finite dimension over a field  $k$  and let  $G$  be a finite group. We say that a map

$$\varrho_V : G \rightarrow \text{GL}(V)$$

is a  $c$ -representation (of the group  $G$ ) if  $\varrho_V(1) = 1$  and there exists a map

$$c_V : G \times G \rightarrow k^\times$$

such that for every  $\sigma, \tau \in G$  one has

$$(2.1) \quad \varrho_V(\sigma)\varrho_V(\tau) = \varrho_V(\sigma\tau)c_V(\sigma, \tau).$$

*Remark 2.1.* The following properties follow easily from the definition:

i) Note that we have

$$\varrho_V(\sigma^{-1}) = \varrho_V(\sigma)^{-1}c_V(\sigma^{-1}, \sigma) \quad \text{and} \quad \varrho_V(\sigma^{-1}) = \varrho_V(\sigma)^{-1}c_V(\sigma, \sigma^{-1}).$$

In particular,  $c_V(\sigma, \sigma^{-1}) = c_V(\sigma^{-1}, \sigma)$ .

ii) Note that if  $c_V(\cdot, \cdot) = 1$ , the notion of  $c$ -representation corresponds to the usual notion of representation.

Let  $V$  and  $W$  be  $c$ -representations of the group  $G$ . Let  $T = \text{Hom}(V, W)$  denote the space of  $k$ -linear maps from  $V$  to  $W$ . A homomorphism of  $c$ -representations from  $V$  to  $W$  is a  $k$ -linear map  $f \in T$  such that

$$f(v) = \varrho_W(\sigma)(f(\varrho_V(\sigma)^{-1}v))$$

for every  $v \in V$  and  $\sigma \in G$ .

Consider now the map

$$\varrho_T : G \rightarrow \text{GL}(\text{Hom}(V, W)),$$

defined by

$$(\varrho_T(\sigma)f)(v) = \varrho_W(\sigma)(f(\varrho_V(\sigma)^{-1}v)).$$

**Proposition 2.2.** *The map  $\varrho_T$  together with the map  $c_T : G \times G \rightarrow k^\times$  defined by  $c_T = c_V^{-1} \cdot c_W$  equip  $T$  with the structure of a  $c$ -representation.*

Before proving the proposition we show a particular case. In the case that  $W$  is  $k$  equipped with the trivial action of  $G$ , let us write  $V^* = T$  and  $\varrho^* = \varrho_T$ . In this case,  $\varrho^*(\sigma)$  is the inverse transpose of  $\varrho_V(\sigma)$ . The assertion of the proposition is then immediate from (2.1).

The following two lemmas, whose proof is straightforward, imply the proposition.

**Lemma 2.3.** *The maps*

$$\varrho_\otimes : G \rightarrow \text{GL}(V \otimes W),$$

defined by  $\varrho_\otimes(\sigma)(v \otimes w) = \varrho_V(\sigma)(v) \otimes \varrho_W(\sigma)(w)$  and  $c_\otimes = c_V \cdot c_W$  endow  $V \otimes W$  with a structure of  $c$ -representation.

**Lemma 2.4.** *The map*

$$\phi : W \otimes V^* \rightarrow T$$

defined by  $\phi(w \otimes f)(v) = f(v)w$  is an isomorphism of  $c$ -representations.

**Corollary 2.5.** *When  $V = W$ , the  $c$ -representation  $T$  is in fact a representation.*

**2.2.  $k$ -curves: general definitions.** We briefly recall some definitions and results regarding  $\mathbb{Q}$ -curves and, more generally,  $k$ -curves with complex multiplication. More details can be found in [FG18, §2.1] and the references therein (especially [Que00], [Rib92], and [Nak04]).

Let  $E/\overline{\mathbb{Q}}$  be an elliptic curve and let  $k$  be a number field, whose absolute Galois group we denote by  $G_k$ .

*Definition 2.6.* We say that  $E$  is a  $k$ -curve if for every  $\sigma \in G_k$  there exists an isogeny  $\mu_\sigma : \sigma E \rightarrow E$ .

*Definition 2.7.* We say that  $E$  is a Ribet  $k$ -curve if  $E$  is a  $k$ -curve and the isogenies  $\mu_\sigma$  can be taken to be compatible with the endomorphisms of  $E$ , in the sense that the following diagram

$$(2.2) \quad \begin{array}{ccc} \sigma E & \xrightarrow{\mu_\sigma} & E \\ \downarrow \sigma_\varphi & & \downarrow \varphi \\ \sigma E & \xrightarrow{\mu_\sigma} & E \end{array}$$

commutes for all  $\sigma \in G_k$  and all  $\varphi \in \text{End}(E)$ .

- Remark 2.8.* i) Observe that if  $E$  does not have CM, then  $E$  is a  $k$ -curve if and only if it is a Ribet  $k$ -curve. If  $E$  has CM (say by a quadratic imaginary field  $M$ ), it is well known that  $E$  is isogenous to all of its Galois conjugates and hence it is always a  $k$ -curve; it is a Ribet  $k$ -curve if and only if  $M \subseteq k$  (cf. [Sil94, Theorem 2.2]).
- ii) We warn the reader that in the present paper we are using a slightly different terminology from that of [FG18]: as in [FG18] the only relevant notion was that of a Ribet  $k$ -curve, we called Ribet  $k$ -curves simply  $k$ -curves.

Let  $K$  be a number field containing  $k$ . We say that an elliptic curve  $E/K$  is a  $k$ -curve *defined over*  $K$  (resp. a Ribet  $k$ -curve *defined over*  $K$ ) if  $E_{\overline{\mathbb{Q}}}$  is a  $k$ -curve (resp. a Ribet  $k$ -curve). We will say that  $E$  is *completely defined over*  $K$  if, in addition, all the isogenies  $\mu_\sigma: {}^\sigma E \rightarrow E$  can be taken to be defined over  $K$ .

*Definition 2.9.* Let  $H$  denote the Hilbert class field of  $M$  and let  $E/H$  be an elliptic curve with CM by  $M$ . We say that  $E$  is a *Gross  $\mathbb{Q}$ -curve* if  $E$  is completely defined over  $H$ .

The next proposition characterizes the existence of Gross  $\mathbb{Q}$ -curves and Ribet  $M$ -curves with CM by  $M$  defined over the Hilbert class field  $H$ .

**Proposition 2.10.** *Let  $M$  be a quadratic imaginary field and let  $D$  denote its discriminant. Then:*

- i) There exists a Ribet  $M$ -curve  $E^*$  with CM by  $M$  and completely defined over  $H$ .*
- ii) There exists a Gross  $\mathbb{Q}$ -curve  $E^*$  with CM by  $M$  (and completely defined over  $H$ ) if and only if  $D$  is not of the form*

$$(2.3) \quad D = -4p_1 \dots p_{t-1},$$

where  $t \geq 2$  and  $p_1, \dots, p_{t-1}$  are primes congruent to 1 modulo 4.

The first part of the previous proposition is a weaker form of [Shi71, Proposition 5, p. 521] (see also [Nak01, Remark 1]). For the second part, we refer to [Gro80, §11] and [Nak04, Proposition 5]. Discriminants of the form (2.3) are called *exceptional*.

Suppose from now on that  $E$  is a  $k$ -curve defined over  $K$  with CM by an imaginary quadratic field  $M$ . Fix a system of isogenies  $\{\mu_\sigma: {}^\sigma E \rightarrow E\}_{\sigma \in G_k}$ . By enlarging  $K$  if necessary, we can always assume that  $K/k$  is Galois and that  $E$  is completely defined over  $K$ . We will equip  $\text{End}(E)$  with the following action. For  $\sigma \in \text{Gal}(K/k)$  and  $\varphi \in \text{End}(E)$  define

$$\sigma \star \varphi = \mu_\sigma \circ {}^\sigma \varphi \circ \mu_\sigma^{-1}.$$

Note that if  $E$  is a Ribet  $k$ -curve, then this action is trivial. If we regard  $M$  as a  $\text{Gal}(K/k)$ -module by means of the natural Galois action (which is actually the trivial action when  $k$  contains  $M$ ) and  $\text{End}(E)$  endowed with the action defined above, then the identification of  $\text{End}(E)$  with  $M$  becomes a  $\text{Gal}(K/k)$ -equivariant isomorphism. The map

$$c_E^K: \begin{array}{ccc} \text{Gal}(K/k) \times \text{Gal}(K/k) & \longrightarrow & M^\times \\ (\sigma, \tau) & \longmapsto & \mu_{\sigma\tau} \circ {}^\sigma \mu_\tau^{-1} \circ \mu_\sigma^{-1} \end{array}$$

satisfies the condition

$$(2.4) \quad (\varrho \star c_E^K(\sigma, \tau)) \cdot c_E^K(\varrho\sigma, \tau)^{-1} \cdot c_E^K(\varrho, \sigma\tau) \cdot c_E^K(\varrho, \sigma)^{-1} = 1,$$

for  $\varrho, \sigma, \tau \in \text{Gal}(K/k)$ , and is then a 2-cocycle<sup>1</sup>. Denote by  $\gamma_E^K$  the cohomology class in  $H^2(\text{Gal}(K/k), M^\times)$  corresponding to  $c_E^K$ . The class  $\gamma_E^K$  only depends on the  $K$ -isogeny class of  $E$ .

The next result is a consequence of Weil's descent criterion, extended to varieties up to isogeny by Ribet ([Rib92, §8]).

**Theorem 2.11** (Ribet–Weil). *Suppose that  $E$  is a Ribet  $k$ -curve completely defined over  $K$  (and hence  $M \subseteq k$ ). Let  $L$  be a number field with  $k \subseteq L \subseteq K$ , and consider the restriction map*

$$\text{res}: H^2(\text{Gal}(K/k), M^\times) \longrightarrow H^2(\text{Gal}(K/L), M^\times).$$

*If  $\text{res}(\gamma_E^K) = 1$ , there exists an elliptic curve  $C/L$  such that  $E \sim C_K$ .*

**2.3.  $M$ -curves from squares of CM elliptic curves.** Let  $M$  be a quadratic imaginary field. Let  $A$  be an abelian surface defined over  $\mathbb{Q}$  such that  $A_{\overline{\mathbb{Q}}}$  is isogenous to  $E^2$ , where  $E$  is an elliptic curve defined over  $\overline{\mathbb{Q}}$  with CM by  $M$ . Let  $K/\mathbb{Q}$  denote the minimal extension over which

$$\text{End}(A_{\overline{\mathbb{Q}}}) \simeq \text{End}(A_K).$$

By the theory of complex multiplication,  $K$  contains the Hilbert class field  $H$  of  $M$ . Note also that  $K/\mathbb{Q}$  is Galois and the possibilities for  $\text{Gal}(K/\mathbb{Q})$  can be read from [FKRS12, Table 8]. For our purposes, it is enough to recall that

$$(2.5) \quad \text{Gal}(K/M) \simeq \begin{cases} C_r & \text{for } r \in \{1, 2, 3, 4, 6\}, \\ D_r & \text{for } r \in \{2, 3, 4, 6\}, \\ A_4, S_4. & \end{cases}$$

Here,  $C_r$  denotes the cyclic group of  $r$  elements,  $D_r$  denotes the dihedral group of  $2r$  elements, and  $A_4$  (resp.  $S_4$ ) stands for the alternating (resp. symmetric) group on 4 letters.

We can (and do) assume that  $E$  is in fact defined over  $K$ , and then we have that  $A_K \sim E^2$ . For  $\sigma \in \text{Gal}(K/\mathbb{Q})$  we have that  $(\sigma E)^2 \sim \sigma A_K = A_K \sim E^2$ . Therefore, Poincaré's decomposition theorem implies that  $E$  is a  $\mathbb{Q}$ -curve completely defined over  $K$ .

For the purposes of this article, we need to consider the following (slightly more general) situation: Let  $N/M$  be a Galois subextension of  $K/M$ , and let  $E^*$  be a Ribet  $M$ -curve which is completely defined over  $N$  and such that  $E_{\overline{\mathbb{Q}}} \sim E_{\overline{\mathbb{Q}}}^*$ . Observe that there always exist  $N$  and  $E^*$  satisfying these conditions, for example by taking  $N = K$  and  $E^* = E$ ; but in §2.4 and §2.5 below we will exploit certain situations where  $N \subsetneq K$  and  $E^* \neq E$ .

Then we can consider two cohomology classes: the class  $\gamma_E^K$  attached to  $E$ , and the class  $\gamma_{E^*}^N$  attached to  $E^*$ . We recall the following key result about  $\gamma_E^K$ , which is a particular case of [FG18, Corollary 2.4].

**Theorem 2.12.** *The cohomology class  $\gamma_E^K$  is 2-torsion.*

Denote by  $U$  the set of roots of unity of  $M$  and put  $P = M^\times/U$ . The same argument of [FG18, Proof of Theorem 2.14] proves the following decomposition of

<sup>1</sup>Actually, this is the inverse of the cocycle considered in [FG18], but this does not affect any of the results that we will use.

the 2-torsion of  $H^2(\text{Gal}(K/M), M^\times)$ :

$$(2.6) \quad H^2(\text{Gal}(K/M), M^\times)[2] \simeq H^2(\text{Gal}(K/M), U)[2] \times \text{Hom}(\text{Gal}(K/M), P/P^2).$$

If  $M \neq \mathbb{Q}(i), \mathbb{Q}(\sqrt{-3})$  this particularizes to

$$(2.7) \quad H^2(\text{Gal}(K/M), M^\times)[2] \simeq H^2(\text{Gal}(K/M), \{\pm 1\}) \times \text{Hom}(\text{Gal}(K/M), P/P^2).$$

For  $\gamma \in H^2(\text{Gal}(K/M), M^\times)[2]$  we will denote by  $(\gamma_\pm, \bar{\gamma})$  its components under the isomorphism (2.7); we will refer to  $\gamma_\pm$  as the sign component and to  $\bar{\gamma}$  as the degree component.

In order to study the relation between  $\gamma_E^K$  and  $\gamma_{E^*}^N$ , define  $L/K$  to be the smallest extension such that  $E_L^*$  and  $E_L$  are isogenous. Since all the endomorphisms of  $E$  are defined over  $K$ , this is also the smallest extension  $L/K$  such that  $\text{Hom}(E_L^*, E_L) = \text{Hom}(E_{\overline{\mathbb{Q}}}^*, E_{\overline{\mathbb{Q}}})$ . The extension  $L/\mathbb{Q}$  is Galois. Indeed, for  $\sigma \in G_{\mathbb{Q}}$  put  $L' = \sigma L$  and let  $\beta_\sigma: \sigma E^* \rightarrow E^*$  and  $\mu_\sigma: \sigma E \rightarrow E$  be isogenies defined over  $N$  and over  $K$  respectively; then, if  $\phi: E_L^* \rightarrow E_L$  is an isogeny defined over  $L$  we find that  $\mu_\sigma \circ \sigma \phi \circ \beta_\sigma^{-1}$  is an isogeny defined over  $L'$  between  $E_{L'}^*$  and  $E_{L'}$ , so that  $L \subseteq L'$  and therefore  $L = L'$ .

One can also characterize  $L/K$  as the minimal extension such that

$$\text{Hom}(E_{\overline{\mathbb{Q}}}^*, A_{\overline{\mathbb{Q}}}) \simeq \text{Hom}(E_L^*, A_L).$$

Denote by

$$\text{inf}_N^K: H^2(\text{Gal}(N/M), M^\times) \longrightarrow H^2(\text{Gal}(K/M), M^\times)$$

the inflation map in Galois cohomology.

**Lemma 2.13.** *Suppose that  $M \neq \mathbb{Q}(i), \mathbb{Q}(\sqrt{-3})$ . Then*

$$\text{inf}_N^K(\gamma_{E^*}^N) = w \cdot \gamma_E^K,$$

for some  $w \in H^2(\text{Gal}(K/M), \{\pm 1\})$ .

*Proof.* Since  $E_L \sim (E_*)_L$  we have that

$$(2.8) \quad \text{inf}_N^L(\gamma_{E^*}^N) = \text{inf}_K^L(\gamma_E^K).$$

Now consider the following piece of the inflation–restriction exact sequence

$$(2.9) \quad H^1(\text{Gal}(L/K), M^\times) \xrightarrow{t} H^2(\text{Gal}(K/M), M^\times) \xrightarrow{\text{inf}_K^L} H^2(\text{Gal}(L/M), M^\times).$$

Equality (2.8) implies that  $\text{inf}_N^K(\gamma_{E^*}^N)$  and  $\gamma_E^K$  have the same image under the inflation map  $\text{inf}_K^L$ , and therefore

$$\text{inf}_N^K(\gamma_{E^*}^N) = t(v) \cdot \gamma_E^K$$

for some  $v \in H^1(\text{Gal}(L/K), M^\times)$ . If  $M \neq \mathbb{Q}(i), \mathbb{Q}(\sqrt{-3})$  we have that

$$H^1(\text{Gal}(L/K), M^\times) \simeq \text{Hom}(\text{Gal}(L/K), \{\pm 1\})$$

and therefore  $t(v)$  belongs to  $H^2(\text{Gal}(K/M), \{\pm 1\})$ .  $\square$

Observe that from Theorem 2.12 one cannot deduce that the class  $\gamma_{E^*}^N$  is 2-torsion, since  $A_N$  is not isogenous to  $(E^*)^2$  in general. By Lemma 2.13, what we do



deduce is that  $\inf_N^K(\gamma_{E^*}^N)^2 = 1$ . Therefore, once again by the inflation–restriction exact sequence

$$(2.10) \quad H^1(\text{Gal}(K/N), M^\times) \xrightarrow{t} H^2(\text{Gal}(N/M), M^\times) \xrightarrow{\inf_N^K} H^2(\text{Gal}(K/M), M^\times)$$

we have that

$$(2.11) \quad (\gamma_{E^*}^N)^2 = t(\mu) \text{ for some } \mu \in H^1(\text{Gal}(K/N), M^\times).$$

The following technical lemma will be used in §2.5 below.

**Lemma 2.14.** *Suppose that  $N/M$  is abelian and that  $M \neq \mathbb{Q}(i), \mathbb{Q}(\sqrt{-3})$ . Let  $c_{E^*}^N$  be a cocycle representing the class  $\gamma_{E^*}^N$ . Then  $c_{E^*}^N(\sigma, \tau) = \pm c_{E^*}^N(\tau, \sigma)$  for all  $\sigma, \tau \in \text{Gal}(N/M)$ .*

*Proof.* Since  $M \neq \mathbb{Q}(i), \mathbb{Q}(\sqrt{-3})$  we have that

$$(2.12) \quad H^1(\text{Gal}(K/N), M^\times) = \text{Hom}(\text{Gal}(K/N), \{\pm 1\}).$$

By (2.11) and (2.12) we can suppose that there exists a map  $d : \text{Gal}(N/M) \rightarrow M^\times$  such that

$$c_{E^*}^N(\sigma, \tau)^2 = d(\sigma)d(\tau)d(\sigma\tau)^{-1} \cdot t(\mu)(\sigma, \tau),$$

where  $t(\mu)(\sigma, \tau) \in \{\pm 1\}$ . Therefore

$$c_{E^*}^N(\sigma, \tau)^2 = \pm d(\sigma)d(\tau)d(\sigma\tau)^{-1} = \pm d(\sigma)d(\tau)d(\tau\sigma)^{-1} = \pm c_{E^*}^N(\tau, \sigma)^2.$$

We see that  $\frac{c_{E^*}^N(\sigma, \tau)}{c_{E^*}^N(\tau, \sigma)}$  is a root of unity in  $M$ , hence  $\pm 1$ .  $\square$

**2.4.  $c$ -representations from squares of CM elliptic curves.** Keep the notations from Section 2.3. We will denote by  $V$  the  $M$ -module  $\text{Hom}(E_L^*, A_L)$ . Fix a system of isogenies  $\{\mu_\sigma : {}^\sigma E^* \rightarrow E^*\}_{\sigma \in \text{Gal}(L/M)}$ . We do not have a natural action of  $\text{Gal}(L/M)$  on  $V$ , but the next lemma says that we can use the chosen system of isogenies to define a  $c$ -action on  $V$ .

**Lemma 2.15.** *The map*

$$\varrho_V : \text{Gal}(L/M) \rightarrow \text{GL}(V)$$

*defined by*

$$\varrho_V(f) = {}^\sigma f \circ \mu_\sigma^{-1} \quad \text{for } \sigma \in \text{Gal}(L/M), f \in V$$

*and the 2-cocycle  $c_{E^*}^L$  endow the module  $V$  with a structure of a  $c$ -representation.*

*Proof.* This is tautological:

$$\varrho_V(\sigma)\varrho_V(\tau)(f) = {}^{\sigma\tau} f \circ {}^\sigma \mu_\tau^{-1} \circ \mu_\sigma^{-1} = {}^{\sigma\tau} f \circ \mu_{\sigma\tau}^{-1} \cdot c_{E^*}^L(\sigma, \tau) = \varrho_V(\sigma\tau)(f)c_{E^*}^L(\sigma, \tau). \quad \square$$

Let now  $R$  denote the  $M$ -module  $\text{End}(A_K)$ . It is equipped with the natural Galois conjugation action of  $\text{Gal}(L/M)$ , which factors through  $\text{Gal}(K/M)$  and which we sometimes will write as  $\varrho_R(\sigma)(\psi) = {}^\sigma \psi$ . Let  $T$  denote  $\text{Hom}(V, V)$ , equipped with the  $c$ -representation structure given by Lemma 2.15 and Proposition 2.2. Note that by Corollary 2.5, we know that  $T$  is actually a  $M[\text{Gal}(L/M)]$ -module.

**Lemma 2.16.** *The map*

$$\Phi : R \rightarrow T \simeq V \otimes V^* \quad \Phi(\psi)(f) = \psi \circ f, \text{ for } f \in V, \psi \in \text{End}(A_K)$$

*is an isomorphism of  $c$ -representations (and thus of  $M[\text{Gal}(L/M)]$ -modules).*

*Proof.* The fact that  $\Phi$  is a morphism of  $c$ -representations is straightforward:

$$\begin{aligned} \varrho_T(\sigma)(\Phi(\sigma^{-1}\psi))(f) &= \varrho_V(\sigma)(\Phi(\sigma^{-1}\psi)(\varrho_V(\sigma^{-1}(f))), \\ &= \varrho_V(\sigma)(\sigma^{-1}\psi \circ \varrho_V(\sigma^{-1})(f)c_{E^*}^L(\sigma^{-1}, \sigma)^{-1}), \\ &= \psi \circ f \circ \sigma \mu_{\sigma^{-1}}^{-1} \mu_{\sigma}^{-1} c_{E^*}^L(\sigma^{-1}, \sigma)^{-1}, \\ &= \Phi(\psi)(f), \end{aligned}$$

where we have used Remark 2.1 in the second and last equalities. The lemma follows by noting that  $\Phi$  is clearly injective and that both  $R$  and  $T$  have dimension 4 over  $M$ .  $\square$

We now describe the  $M[\text{Gal}(K/M)]$ -module structure of  $R$ . It follows from (2.5) that the order  $r$  of an element  $\sigma \in \text{Gal}(K/M)$  is 1, 2, 3, 4, or 6.

**Lemma 2.17.**  $\text{Tr } \varrho_R(\sigma) = 2 + \zeta_r + \overline{\zeta}_r$ , where  $\zeta_r$  is a primitive  $r$ -th root of unity.

*Remark 2.18.* Note that this lemma is proven in [FS14, Proposition 3.4] under the strong running hypothesis of that paper: in our setting that hypothesis would say that there exists  $E^*$  defined over  $M$  such that  $A_{\overline{\mathbb{Q}}} \sim E_{\overline{\mathbb{Q}}}^{*2}$  (i.e., that  $N$  can be taken to be  $M$ , in the notation of the previous section).

*Proof.* We claim that  $\text{Tr}(\varrho_R) \in M$  is in fact rational. Let us postpone the proof of this claim until the end of the proof of the lemma. Assuming it, we have that

$$(2.13) \quad \text{Tr}_{M/\mathbb{Q}}(\text{Tr}(\varrho_R(\sigma))) = 2 \text{Tr}(\varrho_R)(\sigma).$$

But if  $\varrho_{R_{\mathbb{Q}}}$  is the representation afforded by  $R$  regarded as an 8 dimensional module over  $\mathbb{Q}$ , we have

$$(2.14) \quad \text{Tr}_{M/\mathbb{Q}}(\text{Tr}(\varrho_R(\sigma))) = \text{Tr}(\varrho_{R_{\mathbb{Q}}})(\sigma) = 2(2 + \zeta_r + \overline{\zeta}_r),$$

where the last equality is [FKRS12, Proposition 4.9]. The comparison of (2.13) and (2.14) concludes the proof of the lemma.

We turn now to prove the rationality of  $\text{Tr } \varrho_R$ . We first recall the aforementioned proof (that of [FS14, Proposition 3.4]) which uses the fact that we can choose  $E^*$  to be defined over  $M$ . In this case, we have that  $V$  is an  $M[\text{Gal}(L/M)]$ -module, that  $\text{Tr}(\varrho_{V^*})$  is a sum of roots of unity so that  $\text{Tr}(\varrho_{V^*}) = \overline{\text{Tr}(\varrho_V)}$ , and hence that  $\text{Tr}(\varrho_R) = \text{Tr}(\varrho_V) \cdot \overline{\text{Tr}(\varrho_V)}$  belongs to  $\mathbb{Q}$ .

For the general case, assume that  $\text{Tr } \varrho_R$  does not belong to  $\mathbb{Q}$ . Since it is a sum of roots of unity of orders dividing either 4 or 6, then  $M$  would be  $\mathbb{Q}(i)$  or  $\mathbb{Q}(\sqrt{-3})$ , but then we could take a model of  $E^*$  defined over  $M$ , and by the above paragraph, the trace  $\text{Tr } \varrho_R$  would be rational, which is a contradiction.  $\square$

**2.5. Obstructions.** Keep the notations from Section 2.4 and Section 2.3. Let  $S$  denote the normal subgroup of  $\text{Gal}(K/M)$  generated by the square elements. In this section, we make the following hypotheses.

**Hypothesis 2.19.** *i) There exists a Ribet  $M$ -curve  $E^*$  with CM by  $M$  completely defined over  $N$ , where  $N/M$  is the subextension of  $K/M$  fixed by  $S$ .  
ii)  $M \neq \mathbb{Q}(i), \mathbb{Q}(\sqrt{-3})$ .*

Let  $\sigma \in \text{Gal}(K/M)$  be an element of order  $r \in \{4, 6\}$ . Let

$$(2.15) \quad \overline{\cdot} : \text{Gal}(K/M) \rightarrow \text{Gal}(N/M) \simeq \text{Gal}(K/M)/S$$

denote the natural projection map. Note that  $\text{Gal}(N/M)$  is a group of exponent dividing 2.

**Theorem 2.20.** *Under Hypothesis 2.19, we have:*

- i) *If  $r = 4$ , then  $2c_{E^*}^N(\bar{\sigma}, \bar{\sigma})$  belongs to  $\pm(M^\times)^2$ .*
- ii) *If  $r = 6$ , then  $3c_{E^*}^N(\bar{\sigma}, \bar{\sigma})$  belongs to  $\pm(M^\times)^2$ .*

*Proof.* First of all, note that  $E^*$  is completely defined over  $N$ . Thus we can, and do, assume that  $c_{E^*}^L$  is the inflation of  $c_{E^*}^N$ . Let  $s \in \text{Gal}(L/M)$  be a lift of  $\sigma$ . By part ii) of Hypothesis 2.19, we have that  $[L : K] \leq 2$ . Therefore, the order of  $s$  divides  $2r$ . We then have

$$(2.16) \quad \varrho_V(s)^{2r} = \varrho_V(s^2)^r c_{E^*}^N(\bar{\sigma}, \bar{\sigma})^r = \varrho_V(s^{2r}) c_{E^*}^N(\bar{\sigma}, \bar{\sigma})^r = c_{E^*}^N(\bar{\sigma}, \bar{\sigma})^r,$$

where we have used that  $c_{E^*}^N(\bar{\sigma}^{2e}, \bar{\sigma}^{2e'}) = 1$  for any pair of integers  $e, e'$ . Let  $\alpha$  and  $\beta$  be the eigenvalues of  $\varrho_V(s)$ . By (2.16), we have that  $\alpha^{2r} = c_{E^*}^N(\bar{\sigma}, \bar{\sigma})^r$ , from which we deduce that  $\omega_r \alpha^2 = c_{E^*}^N(\bar{\sigma}, \bar{\sigma}) \in M^\times$ , where  $\omega_r$  is a (not necessarily primitive)  $r$ -th root of unity.

Since the eigenvalues of  $\varrho_{V^*}(s)$  are  $1/\alpha$  and  $1/\beta$ , by Lemmas 2.17 and 2.16 we have that

$$(2.17) \quad 2 + \zeta_r + \bar{\zeta}_r = (\alpha + \beta) \left( \frac{1}{\alpha} + \frac{1}{\beta} \right); \text{ equivalently, } \alpha^2 + \beta^2 = (\zeta_r + \bar{\zeta}_r) \alpha \beta.$$

This means that  $\alpha/\beta$  satisfies the  $r$ -th cyclotomic polynomial and thus, by reordering  $\alpha$  and  $\beta$  if necessary, we have that  $\alpha = \beta \zeta_r$ .

Combining this with (2.17), we get

$$(2 + \zeta_r + \bar{\zeta}_r) c_{E^*}^N(\bar{\sigma}, \bar{\sigma}) = (2 + \zeta_r + \bar{\zeta}_r) \omega_r \alpha^2 = (2 + \zeta_r + \bar{\zeta}_r) \alpha \beta \omega_r \zeta_r = (\alpha + \beta)^2 \omega_r \zeta_r.$$

Since the left-hand side is in  $M^\times$ , the fact that  $\alpha + \beta \in M^\times$  tells us that  $\omega_r \zeta_r \in M^\times$ . If  $\omega_r \zeta_r$  is not rational, then  $M = \mathbb{Q}(\zeta_r)$ , which contradicts part ii) of Hypothesis 2.19. If  $\omega_r \zeta_r \in \mathbb{Q}$ , since it is a root of unity, it must be  $\pm 1$  and thus we get

$$\pm(2 + \zeta_r + \bar{\zeta}_r) c_{E^*}^N(\bar{\sigma}, \bar{\sigma}) = (\alpha + \beta)^2.$$

Therefore,  $(2 + \zeta_r + \bar{\zeta}_r) c_{E^*}^N(\bar{\sigma}, \bar{\sigma})$  belongs to  $\pm(M^\times)^2$ .  $\square$

*Remark 2.21.* Note that it follows from the above proof that if  $r = 4$ , then any lift  $s \in \text{Gal}(L/M)$  of  $\sigma$  has order  $2r = 8$ . Indeed, if the order of  $s$  was  $r$ , then arguing as in (2.16), we would obtain  $\varrho_V(s)^r = c_{E^*}^N(\bar{\sigma}, \bar{\sigma})^{r/2}$ , from which we would infer  $\omega_{r/2} \alpha^2 = c_{E^*}^N(\bar{\sigma}, \bar{\sigma})$ , for some (not necessarily primitive)  $r/2$ -th root of unity. We could then run the same argument as above, but since  $\omega_{r/2} \zeta_r$  is never rational, we would deduce now that  $M = \mathbb{Q}(i)$ . Note that if  $r = 6$  it can certainly happen that  $\omega_{r/2} \zeta_r \in \mathbb{Q}$ .

Until the end of this section, we make the following additional assumption on  $M$ .

**Hypothesis 2.22.** i)  $\text{Gal}(K/M) \simeq D_4$  or  $D_6$ .  
ii)  $M \neq \mathbb{Q}(i), \mathbb{Q}(\sqrt{-3})$ .

Hypothesis i) implies that  $N/M$  is a biquadratic extension. By part i) of Proposition 2.10, there exists a Ribet  $M$ -curve  $E^*$  with CM by  $M$  completely defined over the Hilbert class field  $H$  of  $M$ . Using [FG18, Theorem 2.14], it is immediate to see that  $H \subseteq N$ , so that Hypothesis 2.22 implies Hypothesis 2.19.

The next two propositions describe the structure of the group  $\text{Gal}(L/M)$ .

**Proposition 2.23.** *If  $\text{Gal}(K/M) \simeq D_4$ , then  $\text{Gal}(L/M)$  is isomorphic to either the dihedral group  $D_8$ ; the generalized dihedral group  $\text{QD}_8$  of order 16; or the generalized quaternion group  $\text{Q}_{16}^2$ .*

*Proof.* If  $\text{Gal}(K/M) \simeq D_4$ , then by Remark 2.21 we have that any element of  $\text{Gal}(L/M)$  projecting onto an element of  $\text{Gal}(K/M)$  of order 4 must have order 8. The groups of order 16 with a quotient isomorphic to  $D_4$  satisfying the previous property are those in the statement of the proposition.  $\square$

**Proposition 2.24.** *If  $\text{Gal}(K/M) \simeq D_6$ , there exists a Ribet  $M$ -curve  $E^*$  completely defined over  $N$  with CM by  $M$  such that  $E \sim E_K^*$  and hence  $L = K$  and  $\text{Gal}(L/M) \simeq D_6$ .*

*Proof.* Recall the cohomology class  $\gamma_E^K \in H^2(\text{Gal}(K/M), M^\times)[2]$  attached to  $E$  and consider the restriction map

$$\text{res} : H^2(\text{Gal}(K/M), M^\times) \rightarrow H^2(\text{Gal}(K/N), M^\times).$$

We will first see that  $\gamma = \text{res}\gamma_E^K$  is trivial. Recall the decomposition (2.7) of the 2-torsion cohomology classes into degree and sign components

$$H^2(\text{Gal}(K/N), M^\times)[2] \simeq H^2(\text{Gal}(K/N), \{\pm 1\}) \times \text{Hom}(\text{Gal}(K/N), P/P^2),$$

and the notation  $\gamma_\pm$  (resp.  $\bar{\gamma}$ ) for the sign component (resp. degree component) of  $\gamma$ . Since  $\text{Gal}(K/N) \simeq C_3$  is the subgroup of  $\text{Gal}(K/M)$  generated by the squares, we have that  $\bar{\gamma}$  is trivial. Since

$$H^2(\text{Gal}(K/N), \{\pm 1\}) \simeq H^2(C_3, \{\pm 1\}) = 0,$$

we see that  $\gamma_\pm$  is also trivial. By Theorem 2.11, there exists an elliptic curve  $E^*$  defined over  $N$  such that  $E_K^* \sim E$ . To see that  $E^*$  is completely defined over  $N$ , on the one hand, note that since  $M \neq \mathbb{Q}(i), \mathbb{Q}(\sqrt{-3})$ , then  $E^*$  and any Galois conjugate  ${}^\sigma E^*$  of it are isogenous over a quadratic extension of  $N$ . On the other hand, since  $E_K^* \sim E$  and  $E$  is completely defined over  $K$ , we have that the smallest field of definition of  $\text{Hom}(E_{\overline{\mathbb{Q}}}^*, {}^\sigma E_{\overline{\mathbb{Q}}}^*)$  is contained in  $K$ . Since  $K/N$  is a cubic extension, we deduce that  $E^*$  and  ${}^\sigma E^*$  are in fact isogenous over  $N$ .  $\square$

**Corollary 2.25.** *If  $\text{Gal}(K/M) \simeq D_r$  for  $r = 4$  or  $6$ , there exists a Ribet  $M$ -curve  $E^*$  with CM by  $M$  completely defined over  $N$  for which  $\text{Gal}(L/M)$  contains*

- i) an element  $s$  of order 8 if  $r = 4$  and of order 6 if  $r = 6$ ;*
- ii) an element  $t$  such that  $tst^{-1} = t^a$  for  $1 \leq a \leq 2r$  such that  $a \equiv -1 \pmod{r}$ .*

*Proof.* This is obvious when  $\text{Gal}(L/M)$  is dihedral. For the other options allowed by Proposition 2.23, recall that

$$\text{QD}_8 \simeq \langle s, t \mid s^8, t^2, tsts^5 \rangle, \quad \text{Q}_{16} \simeq \langle s, t \mid s^8, t^2s^4, tst^{-1}s \rangle.$$

$\square$

*Remark 2.26.* It is clear from the proof of Proposition 2.24 that, in the case that  $N = H$  and  $H$  is not exceptional, we can choose  $E^*$  in the above corollary to be a Gross  $\mathbb{Q}$ -curve.

<sup>2</sup>The gap identification numbers of  $\text{QD}_8$  and  $\text{Q}_{16}$  are  $\langle 16, 8 \rangle$  and  $\langle 16, 9 \rangle$ , respectively.

Until the end of this section, we will assume that  $E^*$  is as in the previous corollary. Let  $s$  and  $t$  be also as in the corollary, and let  $\sigma$  and  $\tau$  be the images of  $s$  and  $t$  under the projection map

$$\text{Gal}(L/M) \rightarrow \text{Gal}(K/M).$$

Recall also the projection map  $\bar{\cdot} : \text{Gal}(K/M) \rightarrow \text{Gal}(N/M)$  and note that  $\bar{\sigma}$  and  $\bar{\tau}$  are non-trivial elements of  $\text{Gal}(N/M)$ .

**Theorem 2.27.** *Under Hypothesis 2.22, we have  $c_{E^*}^N(\bar{\tau}, \bar{\tau}) = \pm 1$ .*

*Proof.* By Lemma 2.14, we have that  $c_{E^*}^N(g, g') = \pm c_{E^*}^N(g', g)$  for every  $g, g' \in \text{Gal}(N/M)$ . Moreover, the 2-cocycle condition (2.4) asserts that

$$c_{E^*}^N(\bar{\tau}, \bar{\tau}) = c_{E^*}^N(\bar{\tau}, \bar{\tau})c_{E^*}^N(\bar{\sigma}, 1) = c_{E^*}^N(\bar{\sigma}\bar{\tau}, \bar{\tau})c_{E^*}^N(\bar{\sigma}, \bar{\tau}).$$

Then, we have

$$\begin{aligned} \varrho_V(t)\varrho_V(s)\varrho_V(t)^{-1} &= \varrho_V(t)\varrho_V(s)\varrho_V(t^{-1})c_{E^*}^N(\bar{\tau}, \bar{\tau}) = \\ (2.18) \quad &= \varrho_V(ts)\varrho_V(t^{-1})c_{E^*}^N(\bar{\tau}, \bar{\sigma})c_{E^*}^N(\bar{\tau}, \bar{\tau}) = \\ &= \varrho_V(tst^{-1})c_{E^*}^N(\bar{\tau}\bar{\sigma}, \bar{\tau})c_{E^*}^N(\bar{\tau}, \bar{\sigma})c_{E^*}^N(\bar{\tau}, \bar{\tau}) = \\ &= \pm \varrho_V(s^a)c_{E^*}^N(\bar{\tau}, \bar{\tau})^2. \end{aligned}$$

It is easy to observe that

$$(2.19) \quad \varrho_V(s)^a = \varrho_V(s^a)c_{E^*}^N(\bar{\sigma}, \bar{\sigma})^{(a-1)/2}.$$

Letting  $\alpha$  and  $\beta$  be the eigenvalues of  $\varrho_V(s)$ , taking traces of (2.18), and applying (2.19), we obtain

$$(\alpha + \beta) = \pm (\alpha^a + \beta^a) c_{E^*}^N(\bar{\sigma}, \bar{\sigma})^{-(a-1)/2} c_{E^*}^N(\bar{\tau}, \bar{\tau})^2$$

But as in the proof of Theorem 2.20, we have  $\beta = \zeta_r \alpha$  and  $c_{E^*}^N(\bar{\sigma}, \bar{\sigma}) = \omega_r \alpha^2$ , where  $\zeta_r$  and  $\omega_r$  are  $r$ -th roots of unity and  $\zeta_r$  is primitive. This, together with the fact that  $a \equiv -1 \pmod{r}$ , permits to write the above equation as

$$\pm \frac{1 + \zeta_r}{\omega_r^{-(a-1)/2}(1 + \bar{\zeta}_r)} = c_{E^*}^N(\bar{\tau}, \bar{\tau})^2 \in (M^\times)^2.$$

One easily verifies that  $(1 + \zeta_r)/(1 + \bar{\zeta}_r)$  is an  $r$ -th root of unity. Therefore, the left-hand side of the above equation is a root of unity in  $M^\times$ , and hence it must be  $\pm 1$ .  $\square$

### 3. RESTRICTION OF SCALARS OF GROSS $\mathbb{Q}$ -CURVES

For the convenience of the reader, in this section we review some results of Nakamura [Nak04] on Gross  $\mathbb{Q}$ -curves, to which we refer for more details and proofs.

Let  $M$  be an imaginary quadratic field. Throughout this section, we make the following hypothesis.

- Hypothesis 3.1.** *i)  $M$  is non-exceptional.  
ii)  $M$  has class group isomorphic to  $C_2 \times C_2$ .*

*Remark 3.2.* If  $M$  has class group isomorphic to  $C_2 \times C_2$ , then the discriminant  $D$  of  $M$  belongs to the set

$$\{-84, -120, -132, -168, -195, -228, -280, -312, -340, -372, -408, -435, -483, \\ -520, -532, -555, -595, -627, -708, -715, -760, -795, -1012, -1435\}.$$

This list can be easily obtained from [Wat04], for example. Among them, only  $-340$  is exceptional.

Then, by Proposition 2.10, there exists a Gross  $\mathbb{Q}$ -curve  $E$  with CM by  $M$ , which is thus completely defined over the Hilbert class field  $H$  of  $M$ . The aim of the present section is to describe Nakamura's method for computing the endomorphism algebra of the restriction of scalars of a Gross  $\mathbb{Q}$ -curve, which we will then apply to all Gross  $\mathbb{Q}$ -curves attached to  $M$  satisfying Hypothesis 3.1. Our account of Nakamura's method will be only in the particular case where  $M$  has class group  $C_2 \times C_2$ , which is the case of interest to us.

As seen in Section 2.2, one can associate to  $E$  a cohomology class  $\gamma_E := \gamma_E^H$  in the group  $H^2(\text{Gal}(H/\mathbb{Q}), M^\times)$ . The set of cohomology classes arising from Gross  $\mathbb{Q}$ -curves over  $H$  has cardinality 8 (cf. [Nak04, Proposition 4]), and we regard the set of Gross  $\mathbb{Q}$ -curves over  $H$  as partitioned into 8 equivalence classes according to their cohomology class.

Let  $\text{Res}_{H/M}(E)$  denote Weil's restriction of scalars of  $E$ . This variety is a priori defined over  $M$ , but it can be defined over  $\mathbb{Q}$ , in the sense that  $\text{Res}_{H/M}(E) \simeq (B_E)_M$  for some variety  $B_E/\mathbb{Q}$ . It turns out that the endomorphism algebra  $\mathcal{D}_E = \text{End}(B_E)$  only depends on the cohomology class  $\gamma_E$  [Nak04, Proposition 6]. Nakamura devised a method for computing  $\mathcal{D}_E$  in terms of the Hecke character attached to  $E$ , which he applied to compute all the endomorphism algebras arising in this way from Gross  $\mathbb{Q}$ -curves in the cases where  $D = -84$  and  $D = -195$ . We extend his computation to the remaining 21 non-exceptional discriminants of Remark 3.2.

**3.1. Hecke characters of Gross  $\mathbb{Q}$ -curves.** The first step is to compute a set of Hecke characters whose associated elliptic curves represent all the equivalence classes of Gross  $\mathbb{Q}$ -curves.

*Local characters.* We begin by defining certain local characters that will be used to describe the Hecke characters. Let  $\mathbb{I}_M$  be the group of ideles of  $M$ . If  $\mathfrak{p}$  is a prime of  $M$ , we denote by  $U_{\mathfrak{p}} = \mathcal{O}_{M,\mathfrak{p}}^\times$  the group of local units. Also, for a rational prime  $p$  put  $U_p = \prod_{\mathfrak{p}|p} U_{\mathfrak{p}}$ .

Suppose that  $p$  is odd and inert in  $M$ . Then define  $\eta_p$  as the unique character  $\eta_p: U_p \rightarrow \{\pm 1\}$  such that  $\eta_p(-1) = (-1)^{\frac{p-1}{2}}$ .

Suppose now that 2 is ramified in  $M$  and write  $D = 4m$ . If  $m$  is odd, then

$$U_2/U_2^2 \simeq (\mathbb{Z}/2\mathbb{Z})^3 \simeq \langle \sqrt{m}, 3 - 2\sqrt{m}, 5 \rangle.$$

Define  $\eta_{-4}: U_2 \rightarrow \{\pm 1\}$  to be the character with kernel  $\langle 3 - 2\sqrt{m}, 5 \rangle$ . If  $m$  is even then

$$U_2/U_2^2 \simeq (\mathbb{Z}/2\mathbb{Z})^3 \simeq \langle 1 + \sqrt{m}, -1, 5 \rangle.$$

Define  $\eta_8$  to be the character with kernel  $\langle 1 + \sqrt{m}, -1 \rangle$  and  $\eta_{-8}$  the character with kernel  $\langle 1 + \sqrt{m}, -5 \rangle$ .

*Hecke characters.* Let  $U_M = \prod_{\mathfrak{p}} U_{\mathfrak{p}}$  be the maximal compact subgroup of  $\mathbb{I}_M$ . Let  $S$  be a finite set of primes of  $M$  and put  $U_S = \prod_{\mathfrak{p} \in S} U_{\mathfrak{p}}$ . Suppose that  $\eta: U_S \rightarrow \{\pm 1\}$  is a continuous homomorphism such that  $\eta(-1) = -1$ . Next, we explain how to construct from  $\eta$  a Hecke character  $\phi: \mathbb{I}_M \rightarrow \mathbb{C}^\times$  (not uniquely determined) that gives rise, in certain cases, to a Gross  $\mathbb{Q}$ -curve.

First of all, extend  $\eta$  to a character that we denote by the same name  $\eta: U_M \rightarrow \{\pm 1\}$  by composing with the projection  $U_M \rightarrow U_S$ . Now this character  $\eta$  can be extended to a character  $\tilde{\eta}: U_M M^\times M_\infty^\times \rightarrow \mathbb{C}^\times$  by imposing that

$$(3.1) \quad \tilde{\eta}(M^\times) = 1, \quad \tilde{\eta}(z) = z^{-1} \text{ for } z \in M_\infty^\times.$$

Let  $\phi: \mathbb{I}_M \rightarrow \mathbb{C}^\times$  be a Hecke character that extends  $\tilde{\eta}$  (there are  $[H : M] = 4$  such extensions, cf. [Shi71, p. 523]). For future reference, it will be useful to have the following formula for  $\phi$  evaluated at certain principal ideals.

**Lemma 3.3.** *Suppose that  $(\alpha)$  is a principal ideal of  $M$  such that  $v_{\mathfrak{p}}(\alpha) = 0$  for all  $\mathfrak{p} \in S$ , and denote by  $\alpha_S \in U_S$  the natural image of  $\alpha$  in  $U_S$ . Then*

$$(3.2) \quad \phi((\alpha)) = \eta(\alpha_S) \alpha_\infty,$$

where  $\alpha_\infty$  denotes the image of  $\alpha$  in  $M_\infty = \mathbb{C}$ .

*Proof.* If we write  $(\alpha) = \prod_{\mathfrak{q} \in T} \mathfrak{q}^{v_{\mathfrak{q}}(\alpha)}$ , where  $T$  denotes the support of  $(\alpha)$ , then

$$\phi((\alpha)) = \prod_{\mathfrak{q} \in T} \phi_{\mathfrak{q}}(\alpha_{\mathfrak{q}}),$$

where  $\phi_{\mathfrak{q}}$  denotes the restriction of  $\phi$  to  $M_{\mathfrak{q}}$  and  $\alpha_{\mathfrak{q}}$  the image of  $\alpha$  in  $M_{\mathfrak{q}}$ . Observe that by hypothesis  $S \cap T = \emptyset$ , and that if  $\mathfrak{q} \notin S \cup T$ , then  $\phi_{\mathfrak{q}}(\alpha_{\mathfrak{q}}) = 1$ , since  $\alpha_{\mathfrak{q}}$  belongs to  $U_{\mathfrak{q}}$  and  $\phi|_{U_{\mathfrak{q}}} = \tilde{\eta}|_{U_{\mathfrak{q}}} = 1$ . Therefore, we can write

$$\phi((\alpha)) = \prod_{\mathfrak{q} \in T} \phi_{\mathfrak{q}}(\alpha_{\mathfrak{q}}) \prod_{\mathfrak{q} \notin T} \phi_{\mathfrak{q}}(\alpha_{\mathfrak{q}}) \prod_{\mathfrak{q} \in S} \phi_{\mathfrak{q}}^{-1}(\alpha_{\mathfrak{q}}) = \left( \prod_{\mathfrak{q}} \phi_{\mathfrak{q}}(\alpha_{\mathfrak{q}}) \right) \eta(\alpha_S),$$

where we have used that  $\eta$  has order 2. Then, by (3.1) we have that

$$\phi((\alpha)) = \left( \phi_\infty(\alpha_\infty) \prod_{\mathfrak{q}} \phi_{\mathfrak{q}}(\alpha_{\mathfrak{q}}) \right) \phi_\infty(\alpha_\infty)^{-1} \eta(\alpha_S) = \phi(\alpha) \alpha_\infty \eta(\alpha_S) = \alpha_\infty \eta(\alpha_S).$$

□

Define now a Hecke character of  $H$  by means of  $\psi = \phi \circ N_{H/M}$ , where

$$N_{H/M}: \mathbb{I}_H \rightarrow \mathbb{I}_M$$

denotes the norm on ideles. By a result of Shimura [Shi71, Proposition 9], the Hecke character  $\psi$  is attached to a Gross  $\mathbb{Q}$ -curve if and only if  $\bar{\psi} = \psi$ , where the bar denotes the action of complex conjugation.

For example, if  $D$  has some prime factor  $q \equiv 3 \pmod{4}$ , put  $\eta_0 = \eta_q$ . If all the odd primes dividing  $D$  are congruent to 1 modulo 4, then  $D = 8m$  for some odd  $m$  and we define  $\eta_0$  to be  $\eta_{-8}$ . If we denote by  $\phi_0: \mathbb{I}_M \rightarrow \mathbb{C}^\times$  a Hecke character attached to  $\eta_0$  by the above construction, then the Hecke character  $\psi_0 = \phi_0 \circ N_{H/M}$  is the Hecke character attached to a Gross  $\mathbb{Q}$ -curve over  $H$ .

Let  $W$  be the set of characters  $\theta: U_M \rightarrow \{\pm 1\}$  such that  $\theta(-1) = 1$  and  $\bar{\theta} = \theta$ . Denote also by  $W_0$  the set of  $\theta \in W$  such that  $\theta = \kappa \circ N_{M/\mathbb{Q}}$  for some Dirichlet character  $\kappa$ . By [Nak04, Proposition 3], the group  $W/W_0$  is generated by two characters that can be described explicitly in terms of the characters  $\eta_p, \eta_{-4}, \eta_{-8}$ , and  $\eta_8$ . More precisely:

- (1) If  $D = -pqr$  with  $p, q$ , and  $r$  primes congruent to 3 modulo 4, then  $W/W_0 = \langle \eta_p \eta_q, \eta_p \eta_r \rangle$ .

- (2) If  $D = -pqr$  with  $p$  and  $q$  primes congruent to 1 modulo 4, and  $r \equiv 3 \pmod{4}$ , then  $W/W_0 = \langle \eta_p, \eta_q \rangle$ .
- (3) If  $D = -4pq$  with  $p$  and  $q$  congruent to 3 modulo 4, then  $W/W_0 = \langle \eta_{-4}, \eta_p \eta_q \rangle$ .
- (4) If  $D = -8pq$  with  $p$  and  $q$  congruent to 3 modulo 4 then  $W/W_0 = \langle \eta_{-8} \eta_p, \eta_{-8} \eta_q \rangle$ .
- (5) If  $D = -8pq$  with  $p \equiv 1 \pmod{4}$  and  $q \equiv 3 \pmod{4}$  then  $W/W_0 = \langle \eta_8, \eta_p \rangle$ .
- (6) If  $D = -8pq$  with  $p$  and  $q$  congruent to 1 modulo 4, then  $W/W_0 = \langle \eta_p, \eta_q \rangle$ .

Denote by  $\tilde{\omega}_1, \tilde{\omega}_2$  the generators of  $W/W_0$ , and define  $\omega_i = \tilde{\omega}_i \circ N_{H/M}$ .

Now let  $k/H$  be a quadratic extension such that  $k/\mathbb{Q}$  is Galois and  $k/M$  is non-abelian. Such quadratic extensions exist by [Nak04, Theorem 1]. Denote by  $\chi: \mathbb{I}_H \rightarrow \{\pm 1\}$  the Hecke character attached to  $k/H$ .

By [Nak04, Theorem 2], the eight equivalence classes of  $\mathbb{Q}$ -curves over  $H$  are represented by the Hecke characters  $\psi_0 \cdot \omega$  with  $\omega \in \langle \omega_1, \omega_2, \chi \rangle$ . Observe that, in particular, this set of Hecke characters does not depend on the choice of  $k$  (any  $k$  which is Galois over  $\mathbb{Q}$  and non-abelian over  $M$  will produce the same set of Hecke characters).

**3.2. Method for computing the endomorphism algebra.** Let  $\mathfrak{p}_1$  and  $\mathfrak{p}_2$  be prime ideals of  $M$  that generate the class group and that are coprime to the conductors of  $\psi_0, \omega_1, \omega_2$ , and  $\chi$ . Let  $L_i$  be the decomposition field of  $\mathfrak{p}_i$  in  $H$ , and  $F_i$  the maximal totally real subfield of  $L_i$ .

Suppose that  $E$  is a Gross  $\mathbb{Q}$ -curve over  $H$  with Hecke character of the form  $\psi = \psi_0 \omega_1^a \omega_2^b$  for some  $a, b \in \{0, 1\}$ . We can write  $\psi = \phi \circ N_{H/M}$ , where  $\phi = \phi_0 \tilde{\omega}_1^a \tilde{\omega}_2^b$ . Then  $\phi(\mathfrak{p}_i) + \phi(\bar{\mathfrak{p}}_i)$  generates a quadratic number field  $\mathbb{Q}(\sqrt{n_i})$ , and the endomorphism algebra  $\mathcal{D}_E = \text{End}(B_E)$  is isomorphic to the biquadratic field  $\mathbb{Q}(\sqrt{n_1}, \sqrt{n_2})$  (cf. [Nak04, Proposition 7, Theorem 3]).

*Remark 3.4.* Observe that  $\phi(\mathfrak{p}_i) + \phi(\bar{\mathfrak{p}}_i)$  can be computed if one knows the two quantities  $\phi(\mathfrak{p}_i^2)$  and  $\phi(\mathfrak{p}_i \bar{\mathfrak{p}}_i)$ . Since  $\mathfrak{p}_i^2$  and  $\mathfrak{p}_i \bar{\mathfrak{p}}_i$  are principal, one can compute  $\phi(\mathfrak{p}_i^2)$  and  $\phi(\mathfrak{p}_i \bar{\mathfrak{p}}_i)$  by means of (3.2).

Suppose now that the Hecke character of  $E$  is of the form  $\psi = \psi_0 \chi \omega_1^a \omega_2^b$ . Then  $\mathcal{D}_E$  is a quaternion algebra over  $\mathbb{Q}$ , say  $\mathcal{D}_E \simeq \left( \frac{t_1, t_2}{\mathbb{Q}} \right)$ . The  $t_i$  can be computed as follows (see [Nak04, Proposition 7]). First of all, let  $n_1$  and  $n_2$  be the rational numbers defined as in the previous paragraph for the character  $\psi/\chi = \psi_0 \omega_1^a \omega_2^b$ .

- (1) Suppose that  $\text{Gal}(k/L_i) \simeq C_2 \times C_2$ . Then:
  - (a) If  $k/F_i$  is abelian then  $t_i = n_i$ .
  - (b) If  $k/F_i$  is non-abelian, then  $t_i = D/n_i$ .
- (2) Suppose that  $\text{Gal}(k/L_i) \simeq C_4$ . Then:
  - (a) If  $k/F_i$  is abelian, then  $t_i = -n_i$ .
  - (b) If  $k/F_i$  is non-abelian, then  $t_i = -D/n_i$ .

**3.3. Computations and tables.** For each of the 23 non-exceptional imaginary quadratic fields of class group  $C_2 \times C_2$ , we have computed the 8 endomorphism algebras arising from restriction of scalars of Gross  $\mathbb{Q}$ -curves. The results are displayed in Table 1. The notation is as follows: for the biquadratic fields, the notation  $(a, b)$  indicates the field  $\mathbb{Q}(\sqrt{a}, \sqrt{b})$ ; for the quaternion algebras, we write the discriminant of the algebra.



For a Gross  $\mathbb{Q}$ -curve  $E$ , recall that we denote by  $B_E$  the abelian variety over  $\mathbb{Q}$  such that  $\text{Res}_{H/M} E \sim (B_E)_M$ . Since  $B_E$  is isogenous to its quadratic twist over  $M$ , this implies that

$$\text{Res}_{H/\mathbb{Q}} E \sim (B_E)^2.$$

We observe in Table 1 that for all discriminants except  $-195$ ,  $-312$ ,  $-555$ ,  $-715$ , and  $-760$ , at least one of the quaternion algebras is the split algebra  $M_2(\mathbb{Q})$  of discriminant 1. This implies that for the corresponding Gross  $\mathbb{Q}$ -curve  $E$  the variety  $B_E$  decomposes as

$$B_E \sim A^2,$$

with  $A/\mathbb{Q}$  an abelian surface. Therefore,  $\text{Res}_{H/\mathbb{Q}} E$  decomposes as the fourth power of an abelian surface.

On the other hand, for the discriminants  $-195$ ,  $-312$ ,  $-555$ ,  $-715$ , and  $-760$  we see that  $B_E$  is always simple: its endomorphism algebra is either a biquadratic field or a quaternion division algebra over  $\mathbb{Q}$ . Therefore,  $\text{Res}_{H/\mathbb{Q}} E \sim W^2$  for some simple variety  $W$  of dimension 4. We record these findings in the following statement.

**Theorem 3.5.** *Let  $M$  be an imaginary quadratic field of discriminant  $D$  and Hilbert class field  $H$ . Suppose that  $D$  is non-exceptional and that  $\text{Gal}(H/M) \simeq C_2 \times C_2$ . If  $D \neq -195, -312, -555, -715, -760$ , there exists a Gross  $\mathbb{Q}$ -curve  $E/H$  such that*

$$\text{Res}_{H/\mathbb{Q}} E \sim A^4, \text{ for some simple abelian surface } A/\mathbb{Q}.$$

*If  $D = -195, -312, -555, -715, -760$ , then for every Gross  $\mathbb{Q}$ -curve  $E/H$  we have that*

$$\text{Res}_{H/\mathbb{Q}} E \sim W^2, \text{ for some simple abelian variety } W/\mathbb{Q} \text{ of dimension 4.}$$

*Remark 3.6.* As mentioned above, the cases of  $D = -84$  and  $D = -195$  were already computed by Nakamura ([Nak04, §6]). We note what appears to be a typo in Nakamura's table in page 647: the last biquadratic field should be  $\mathbb{Q}(\sqrt{-14}, \sqrt{42})$ , instead of  $\mathbb{Q}(\sqrt{-14}, \sqrt{-42})$ .

We have used the software Sage [S<sup>+</sup>14] and Magma [BCP97] to perform the computations of Table 1. The interested reader can find the code we used in [https://github.com/xguitart/restriction\\_of\\_scalars\\_of\\_Q\\_curves](https://github.com/xguitart/restriction_of_scalars_of_Q_curves).

| $D$   | Biquadratic fields                               | Quaternion Algebras |
|-------|--|---------------------|
| -84   | $(-14, -2), (-6, 2), (-6, -42), (-14, 42)$       | 2, 1, 2, 1          |
| -120  | $(-5, 10), (5, -10), (-5, -10), (5, 10)$         | 1, 6, 3, 1          |
| -132  | $(22, -2), (-6, -2), (6, -66), (-22, -66)$       | 1, 2, 1, 2          |
| -168  | $(-14, -2), (3, -21), (14, 21), (-3, 2)$         | 2, 1, 1, 1          |
| -195  | $(13, -5), (-13, -5), (-13, 5), (13, 5)$         | 13, 39, 26, 39      |
| -228  | $(-38, -2), (6, -2), (-6, -114), (38, -114)$     | 2, 1, 2, 1          |
| -280  | $(-10, -5), (-10, 5), (10, -5), (10, 5)$         | 2, 1, 14, 14        |
| -312  | $(13, -26), (-13, 26), (-13, -26), (13, 26)$     | 13, 39, 26, 39      |
| -372  | $(-62, 31), (-6, -3), (-6, 31), (-62, -3)$       | 2, 1, 2, 1          |
| -408  | $(-17, 34), (-17, -34), (17, -34), (17, 34)$     | 2, 1, 1, 1          |
| -435  | $(-29, -5), (-29, 5), (29, -5), (29, 5)$         | 2, 1, 1, 1          |
| -483  | $(-23, 7), (23, -69), (-21, -7), (21, 69)$       | 2, 1, 1, 1          |
| -520  | $(-13, -5), (13, -5), (-13, 5), (13, 5)$         | 1, 1, 1, 2          |
| -532  | $(-38, -19), (-14, 7), (-14, -19), (-38, 7)$     | 1, 2, 1, 2          |
| -555  | $(37, -5), (-37, -5), (-37, 5), (37, 5)$         | 37, 111, 74, 111    |
| -595  | $(-17, 85), (17, -85), (-17, -85), (17, 85)$     | 7, 1, 1, 14         |
| -627  | $(19, -11), (-19, -57), (-33, 11), (33, 57)$     | 1, 2, 1, 1          |
| -708  | $(118, -59), (-6, 3), (6, -59), (-118, 3)$       | 1, 2, 1, 2          |
| -715  | $(-13, -65), (13, -65), (-13, 65), (13, 65)$     | 5, 10, 55, 55       |
| -760  | $(-10, 5), (10, -5), (-10, -5), (10, 5)$         | 5, 95, 10, 95       |
| -795  | $(-53, -5), (53, -5), (-53, 5), (53, 5)$         | 6, 1, 1, 3          |
| -1012 | $(-46, 23), (-22, -11), (-22, 23), (-46, -11)$   | 2, 1, 2, 1          |
| -1435 | $(-41, 205), (-41, -205), (41, -205), (41, 205)$ | 2, 1, 1, 1          |

TABLE 1. Endomorphism algebras of the restriction of scalars of Gross  $\mathbb{Q}$ -curves. For the biquadratic fields, the notation  $(a, b)$  indicates the field  $\mathbb{Q}(\sqrt{a}, \sqrt{b})$ ; for the quaternion algebras, we write the discriminant of the algebra

## 4. PROOF OF THE MAIN THEOREMS

We begin with a Lemma that will be used in the proof of Theorem 1.2.

**Lemma 4.1.** *Let  $E$  be a Gross  $\mathbb{Q}$ -curve with CM by a field  $M$  of discriminant  $D$ , and suppose that  $\text{Gal}(H/M)$  is isomorphic to  $C_2 \times C_2$ . Denote by  $\gamma_E^H$  the class in  $H^2(\text{Gal}(H/M), M^\times)$  attached to  $E$ , and by  $c_E$  a cocycle representing  $\gamma_E^H$ . If  $\sigma \in \text{Gal}(H/M)$  is non-trivial, then  $\pm d \cdot c_E(\sigma, \sigma) \in (M^\times)^2$  for some divisor  $d$  of  $D$  such that  $d$  is not a square in  $M^\times$ .*

*Proof.* Let  $\mathcal{O}_M$  denote the ring of integers of  $M$ . Denote by  $p_1, p_2, p_3$  the primes dividing  $D$ . Observe that  $p_i \mathcal{O}_M = \mathfrak{p}_i^2$ , with  $\mathfrak{p}_i$  a non-principal prime ideal of  $\mathcal{O}_M$ . It is clear that we can always find  $p_i, p_j$  such that  $\pm p_i p_j$  is not a square in  $M^\times$ , and therefore  $\mathfrak{p}_i \mathfrak{p}_j$  is not principal. Thus  $\mathfrak{p}_i, \mathfrak{p}_j$  generate the class group. Therefore, we can assume that any non-trivial element of  $\text{Gal}(H/K)$  is of the form  $\sigma_{\mathfrak{q}}$  for some unramified prime  $\mathfrak{q}$  which is equivalent to either  $\mathfrak{p}_i, \mathfrak{p}_j$  or  $\mathfrak{p}_i \cdot \mathfrak{p}_j$ . Here  $\sigma_{\mathfrak{q}}$  stands for the Frobenius automorphism of  $H/K$  at  $\mathfrak{q}$ .

Now we argue (and use the same notation) as in [Nak04, Proof of Theorem 3]. Namely, denote by  $u(\mathfrak{q})$  the  $\mathfrak{q}$ -multiplication isogenies

$$u(\mathfrak{q}) : \sigma_{\mathfrak{q}} E \longrightarrow E,$$

and denote by  $c$  the 2-cocycle associated to  $E$  using the system of isogenies  $u(\mathfrak{q})$  (together with the identity isogeny for  $1 \in \text{Gal}(H/M)$ ). Note that  $c_E$  is any cocycle representing  $\gamma_E^H$ , and it may be different from  $c$ . But in any case they are cohomologous, which in particular implies that

$$(4.1) \quad c(\sigma_{\mathfrak{q}}, \sigma_{\mathfrak{q}}) = b_{\mathfrak{q}}^2 \cdot c_E(\sigma_{\mathfrak{q}}, \sigma_{\mathfrak{q}}) \text{ for some } b_{\mathfrak{q}} \in M^\times.$$

From display (6) and the display after that of loc. cit., since the order  $n$  of  $\sigma_{\mathfrak{q}}$  is 2 in our case, we see that

$$c(\sigma_{\mathfrak{q}}, \sigma_{\mathfrak{q}}) \mathcal{O}_M = \mathfrak{q}^2.$$

The proof is finished by observing that  $\mathfrak{q}^2 = \alpha \mathcal{O}_M$ , where  $\alpha \in M^\times$  is, up to an element of  $(M^\times)^2$ , equal to  $\pm p_i, \pm p_j$ , or  $\pm p_i \cdot p_j$ .  $\square$

*Proof of Theorem 1.2.* For all the quadratic imaginary fields not listed in (1.2), we have constructed in the first part of Theorem 3.5 abelian surfaces defined over  $\mathbb{Q}$  satisfying the hypothesis of the theorem. To rule out the remaining 6 fields, we proceed in the following way.

Let  $M$  be one of the fields in the list (1.2) and suppose that an abelian surface  $A$  satisfying the hypothesis of the theorem exists for  $M$ . Resume the notations from Section 2.4. As  $\text{Gal}(H/M) \simeq C_2 \times C_2$  and  $H \subseteq K$  (by [FG18, Theorem 2.14]), the only possibilities for  $\text{Gal}(K/M)$  are  $C_2 \times C_2, D_4$ , and  $D_6$ .

Suppose that  $\text{Gal}(K/M)$  is  $C_2 \times C_2$ . Then  $K = H$  and thus  $E$  is a Gross  $\mathbb{Q}$ -curve. By Proposition 2.10, we have that  $M$  is not exceptional and thus we cannot have  $M = \mathbb{Q}(\sqrt{-340})$ . For the other possibilities for  $M$ , we have seen in the second part of Theorem 3.5 that  $\text{Res}_{H/\mathbb{Q}} E$  does not have any simple factor of dimension 2, but this is a contradiction with the fact that  $A$  should be a factor of  $\text{Res}_{H/\mathbb{Q}} E$  (indeed, the universal property of Weil's restriction of scalars implies that  $\text{Hom}(A, \text{Res}_{H/\mathbb{Q}} E) = \text{Hom}(A_H, E) \simeq M^2$ , and thus  $\text{Hom}(A, \text{Res}_{H/\mathbb{Q}} E) \neq 0$ ).

Suppose that  $\text{Gal}(K/M)$  is  $D_4$  or  $D_6$ . Resume the notations of Section 2.5. Let  $E^*$  be a Ribet  $M$ -curve completely defined over  $H$  with CM by  $M$  which we

chose as in Corollary 2.25 (and which exists because of Proposition 2.10). Note that Hypothesis 2.22 is satisfied. Then, by Theorem 2.27, there is a non-trivial element  $\bar{\tau} \in \text{Gal}(N/M) = \text{Gal}(H/N)$  such that

$$(4.2) \quad c_{E^*}^H(\bar{\tau}, \bar{\tau}) = \pm 1.$$

If  $M$  is non-exceptional, as noted in Remark 2.26, we can suppose that  $E^*$  is in fact a Gross  $\mathbb{Q}$ -curve. Then (4.2) is a contradiction with Lemma 4.1.

It remains to show that (4.2) also brings a contradiction if  $M = \mathbb{Q}(\sqrt{-340})$  is the exceptional field. Put  $T = H^{\langle \bar{\tau} \rangle}$ , the fixed field by  $\bar{\tau}$ . Observe that  $M \subsetneq T \subsetneq H$ . If  $c_{E^*}^H(\bar{\tau}, \bar{\tau}) = 1$  then by Theorem 2.11 the curve  $E^*$  is isogenous to a curve defined over  $T$ , and this is a contradiction with the fact that  $M(j_{E^*}) = H$ .

Suppose now that  $c_{E^*}^H(\bar{\tau}, \bar{\tau}) = -1$ . We will see that we can apply the above argument to an appropriate quadratic twist of  $E^*$ .

**Claim 4.2.** *There exists a quadratic extension  $S/H$  such that  $S/M$  is Galois with  $\text{Gal}(S/M) \simeq D_4$  and such that  $\bar{\tau}$  lifts to an element of order 4 of  $\text{Gal}(S/M)$ .*

We now show how this claim allows us to produce the appropriate twisted curve (and we will prove the claim later on). Define  $C$  to be the  $S/H$  quadratic twist of  $E^*$ . By [FG18, Lemma 3.13], the curve  $C$  is an  $M$ -curve completely defined over  $H$  and the cohomology classes of  $E^*$  and  $C$  are related by

$$\gamma_C^H = \gamma_{E^*}^H \cdot \gamma_S,$$

where  $\gamma_S \in H^2(\text{Gal}(H/M), \{\pm 1\})$  is the cohomology class attached to the exact sequence

$$(4.3) \quad 1 \longrightarrow \text{Gal}(S/H) \simeq \{\pm 1\} \longrightarrow \text{Gal}(S/M) \simeq D_4 \longrightarrow \text{Gal}(H/M) \longrightarrow 1.$$

If we identify  $\text{Gal}(S/M) \simeq \langle s, t | s^4, t^2, stst \rangle$ , then  $\text{Gal}(S/H)$  can be identified with the subgroup generated by  $s^2$  and we can assume that  $\bar{\tau}$  lifts to  $s$ . Let  $c_S$  be a cocycle representing  $\gamma_S$ . The usual construction that associates a cohomology class to (4.3) gives that  $c_S(\bar{\tau}, \bar{\tau}) = s \cdot s$ . Since  $s^2$  is the non-trivial element of  $\text{Gal}(S/H)$ , it corresponds to  $-1$  under the isomorphism  $\text{Gal}(S/H) \simeq \{\pm 1\}$ , so that  $c_S(\bar{\tau}, \bar{\tau}) = -1$ .

We conclude that  $c_C^H(\bar{\tau}, \bar{\tau}) = c_{E^*}^H(\bar{\tau}, \bar{\tau})c_S(\bar{\tau}, \bar{\tau}) = 1$ , and as before this implies that  $C$  can be defined over  $T$ , which is a contradiction.

**Proof of Claim 4.2.** The Hilbert class field of  $M$  is  $H = \mathbb{Q}(i, \sqrt{5}, \sqrt{17})$ . If we write  $H = M(\sqrt{a}, \sqrt{b})$  and suppose that  $\bar{\tau}(\sqrt{b}) = \sqrt{b}$ , it is well known (see, e.g. [Led01, §0.4]) that the obstruction to the existence of  $S$  is given by the quaternion algebra  $\left(\frac{a \cdot ab}{M}\right)$  being nonsplit. There are 3 possibilities for  $T$ , namely  $T = M(\sqrt{5})$ ,  $T = M(\sqrt{17})$ , or  $T = M(\sqrt{5 \cdot 17})$ , each one giving a different obstruction. The resulting quaternion algebras giving the obstruction are

$$\left(\frac{17 \cdot 5, 5}{M}\right), \left(\frac{17 \cdot 5, 17}{M}\right), \left(\frac{17, 5}{M}\right).$$

Since they are all the split, the field  $S$  does exist in all three cases.

*Remark 4.3.* As a byproduct of the above proof, we see that there do not exist abelian surfaces over  $\mathbb{Q}$  such that  $\text{End}(A_{\overline{\mathbb{Q}}}) \simeq M_2(M)$  with  $M$  a quadratic imaginary field with class group  $C_2 \times C_2$  and  $\text{Gal}(K/M) \simeq D_4$  or  $D_6$ . As shown by the table of [Car01, p. 112], there do exist abelian surfaces over  $\mathbb{Q}$  such that  $\text{End}(A_{\overline{\mathbb{Q}}}) \simeq M_2(M)$

with  $M$  a quadratic imaginary field with class group  $C_2$  and  $\text{Gal}(K/M) \simeq D_4$  (resp.  $D_6$ ). If  $M$  is not exceptional, Theorem 2.20 and Lemma 4.1 imply that 2 (resp. 3) divide the discriminant of  $M$  is a necessary condition for the existence of such an  $A$ . The examples of the table of [Car01, p. 112] show that this is actually a necessary and sufficient condition.

*Proof of Corollary 1.3.* Suppose that  $A$  is an abelian surface defined over  $\mathbb{Q}$  such that  $A_{\overline{\mathbb{Q}}} \sim E \times E'$ , where  $E$  and  $E'$  are elliptic curves defined over  $\overline{\mathbb{Q}}$ . If  $E$  and  $E'$  are not isogenous, then  $\text{End}(A_{\overline{\mathbb{Q}}})$  is

$$\mathbb{Q} \times \mathbb{Q}, \quad M \times \mathbb{Q} \quad \text{or} \quad M_1 \times M_2,$$

where  $M, M_1 \not\cong M_2$  are quadratic imaginary fields, depending on whether none of  $E$  and  $E'$  has CM, only one of  $E$  and  $E'$  has CM, or both of  $E$  and  $E'$  have CM. In any case, note that by [FKRS12, Proposition 4.5], both  $E$  and  $E'$  can be defined over  $\mathbb{Q}$ , whereby the class number of  $M, M_1$ , and  $M_2$  must be 1. Recalling that there are 9 quadratic imaginary fields of class number 1, this accounts for 46 distinct  $\overline{\mathbb{Q}}$ -endomorphism algebras.

If  $E$  and  $E'$  are isogenous, we have that  $\text{End}(A_{\overline{\mathbb{Q}}})$  is  $M_2(M)$  or  $M_2(\mathbb{Q})$ , where  $M$  is a quadratic imaginary field, depending on whether  $E$  has CM or not. Assume that we are in the former case. By Theorem 1.1, we have that  $M$  has class group 1,  $C_2$ , or  $C_2 \times C_2$ . As explained in [FG18, Remark 2.20], for all fields  $M$  with class group 1 (resp.  $C_2$ ), abelian surfaces  $A$  over  $\mathbb{Q}$  with  $\text{End}(A_{\overline{\mathbb{Q}}}) \simeq M_2(M)$  can be easily found. Indeed, let  $E$  be an elliptic curve with CM by the maximal order of  $M$  and defined over  $\mathbb{Q}$  (resp.  $\mathbb{Q}(j_E)$ ). Then consider the square (resp. the restriction of scalars from  $\mathbb{Q}(j_E)$  down to  $\mathbb{Q}$ ) of  $E$ . If  $M$  has class group  $C_2 \times C_2$ , invoke Theorem 1.2 to obtain 18 possibilities for  $M$ . Taking into account that there are 18 quadratic imaginary fields of class group  $C_2$  (see [Wat04] for example), we obtain 46 possibilities for the endomorphism algebra of a geometrically split abelian surface over  $\mathbb{Q}$  with  $\overline{\mathbb{Q}}$ -isogenous factors.

*An open problem.* We wish to conclude the article with an open question.

**Question 4.4.** *Which is the subset of  $\mathcal{A}$  made of the  $\overline{\mathbb{Q}}$ -endomorphism algebras  $\text{End}(\text{Jac}(C)_{\overline{\mathbb{Q}}})$  of geometrically split Jacobians of genus 2 curves  $C$  defined over  $\mathbb{Q}$ ?*

Again the most intriguing case is to determine how many of the 45 possibilities for  $M_2(M)$ , with  $M$  a quadratic imaginary field, allowed by Theorem 1.2 for geometrically split abelian surfaces defined over  $\mathbb{Q}$  still occur among geometrically split Jacobians of genus 2 curves  $C$  defined over  $\mathbb{Q}$ . Looking at the more restrictive setting that requires  $\text{Jac}(C)$  to be *isomorphic* to the square of an elliptic curve with CM by the *maximal order* of  $M$ , G elin, Howe, and Ritzenthaler [GHR19] have shown that there are 13 possibilities for such an  $M$  (all with class number  $\leq 2$ ).

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