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# *The transition to complex-saddle in a Froeschlé-type map*

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# Motivation: A paradigmatic Froeschlé-like map

Consider the map  $T : (\psi_1, \psi_2, J_1, J_2) \mapsto (\bar{\psi}_1, \bar{\psi}_2, \bar{J}_1, \bar{J}_2)$  given by

$$\begin{aligned}\bar{\psi}_1 &= \psi_1 + \delta(\bar{J}_1 + a_2\bar{J}_2), & \bar{\psi}_2 &= \psi_2 + \delta(a_2\bar{J}_1 + a_3\bar{J}_2), \\ \bar{J}_1 &= J_1 + \delta \sin(\psi_1), & \bar{J}_2 &= J_2 + \delta\epsilon \sin(\psi_2).\end{aligned}$$

- $T$  is related to the time- $\delta$  map of the flow associated to the Hamiltonian

$$H(\psi_1, \psi_2, J_1, J_2) = \frac{J_1^2}{2} + \cos \psi_1 + a_2 J_1 J_2 + a_3 \frac{J_2^2}{2} + \epsilon \cos(\psi_2),$$

- **4 fixed points:** For  $\epsilon d > 0$ ,  $d = a_3 - a_2^2$ ,  $|\epsilon| \ll 1$  and  $\delta \lesssim 2$

$$p_1 = (0, 0, 0, 0) \text{ HH}, \quad p_2 = (\pi, 0, 0, 0) \text{ EH}, \quad p_3 = (0, \pi, 0, 0) \text{ HE}, \quad p_4 = (\pi, \pi, 0, 0) \text{ EE}.$$

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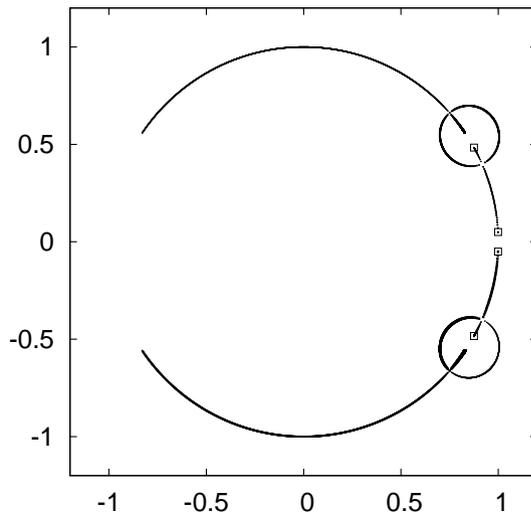
→  $T$  models the dynamics at a **double resonance**, it was derived from BNF around an EE point of a symplectic map in V. Gelfreich, C. Simó & AV, *Dynamics of 4D symplectic maps near a double resonance*, Phys D 243(1), 2013.

# Motivation: Transition to complex unstable

- If  $d > 0$  (definite case) the EE point remains EE for all  $\epsilon$  and  $\delta$ .
- If  $d < 0$  (non-definite case) the point  $p_4$  suffers a **Krein collision** at

$$\epsilon = \left( -(2a_3 - 4d) \pm \sqrt{(2a_3 - 4d)^2 - 4a_3^2} \right) / (2a_3^2),$$

and becomes a **complex-unstable** point (**Hamiltonian-Hopf bifurcation**).



Eigenvalues of  $DT(p_4)$  for

$$\delta = 0.5, a_2 = 0.5, a_3 = -0.75 \text{ (hence } d = -1)$$

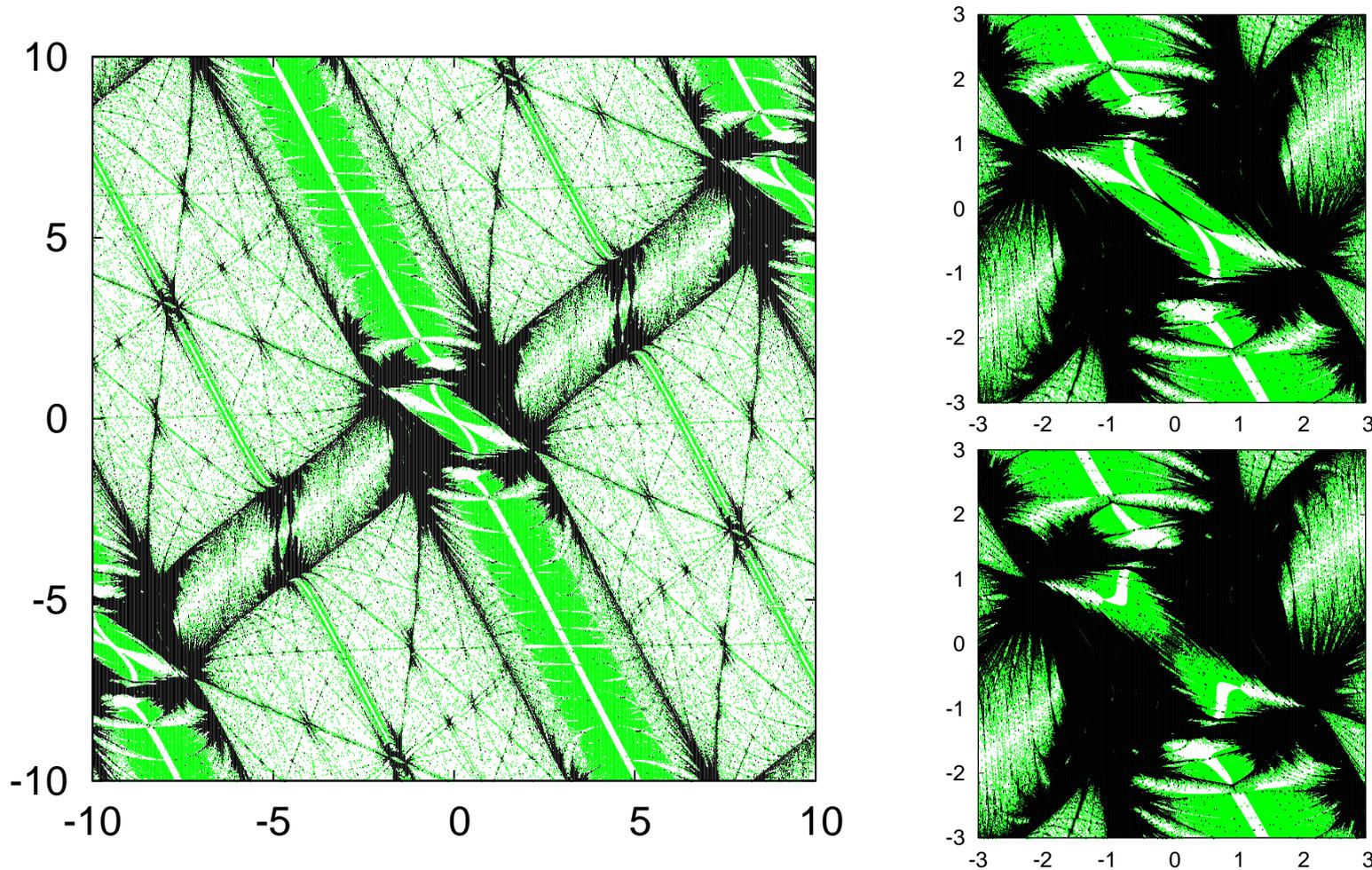
and  $\epsilon$  from  $-0.01$  (squares) to  $-20$ . The (first) Krein collision takes place at  $\epsilon^c = -4/9$  at a collision angle

$$\hat{\theta}_K = \arctan(\sqrt{23}/11).$$

- The CS point has 2D stable/unstable invariant manifolds. → **Next plots show their role!**
- The previous considerations also hold for  $H$ : the eigenvalues collide at the imaginary axis and the 2-dof analogous Hamiltonian-Hopf bifurcation takes place. **Later:** differences discrete/continuous cases in the splitting of the 2D inv. manifolds.

# Motivation: Dynamical consequences

Lyapunov exp. MEGNO, i.c. on  $\psi_1 = \psi_2 = 0$ : white  $\rightarrow$  regular, green  $\rightarrow$  mild chaos, black  $\rightarrow$  chaos.



Left:  $\epsilon = -0.4$ . Right: top  $\epsilon = -0.44$ , bottom:  $\epsilon = -0.45$ . (Rec:  $\epsilon^c = -4/9$ .)

$\rightarrow$  Lyapunov inv. curves families, local character of the bifurcation, evolution to global connection,...

# Goal of this work

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We want...

1. Analysis of the Hamiltonian-Hopf bifurcation for 4D maps.
  2. Geometry of the 2D invariant manifolds: behaviour of the splitting for the 4D map.
- But, **previously**, we review the 2-d.o.f. analogous Hamiltonian-Hopf case.
1. Sokolskii NF.
  2. Splitting of the invariant manifolds: Reduction to a 2D near-the identity area-preserving map.
- **Important:** How are both cases related?
1. Main idea: **Takens NF + interpolating Hamiltonian**
  2. Differences in the behaviour of the splitting: **energy function**

# 2-dof Hamiltonian Hopf (HH): Sokolskii NF

2-dof HH codim 1: Consider a 1-param. family of 2-dof Hamiltonians  $H_\nu$  undergoing a HH bifurcation (at the origin).

**Concretely:** for  $\nu > 0$  elliptic-elliptic,  $\nu < 0$  complex-saddle.

Analysis of the HH bifurcation  $\rightarrow$  Reduction to **Sokolskii NF**:

1. Taylor expansion at  $\mathbf{0}$ :  $H_\nu = \sum_{k \geq 2} \sum_{j \geq 0} \nu^j H_{k,j}$ , where  $H_{k,j} \in \mathbb{P}_k$  homogeneous of order  $k$ .

2. Williamson NF (double purely imaginary eigenvalues):

$$H_{2,0} = -\omega(x_2 y_1 - x_1 y_2) + \frac{1}{2}(x_1^2 + x_2^2).$$

3. Use Lie series to order-by-order simplify  $H_{2,j}, j > 1$  and  $H_{k,j}, k > 2, j > 0$ .

**But: non-semisimple** linear part!

Then, at each order  $(k, j)$ , one looks for  $G \in \mathbb{P}_k$  s.t.

$$H_{k,j} + \text{ad}_{H_2}(G) \in \text{Ker ad}_{H_2}^\top.$$

## 2-dof HH: Sokolskii NF

4. Introducing the **Sokolskii coordinates** ( $dx_1 \wedge dy_1 + dx_2 \wedge dy_2 = dR \wedge dr + d\Theta \wedge d\theta$ )

$$y_1 = r \cos(\theta), \quad y_2 = r \sin(\theta), \quad R = (x_1 y_1 + x_2 y_2)/r, \quad \Theta = x_2 y_1 - x_1 y_2,$$

one has  $H_2^\top = -\omega\Theta + \frac{1}{2}r^2$  and

$$\text{NF}(H_\nu) = -\omega\Gamma_1 + \Gamma_2 + \sum_{\substack{k,l,j \geq 0 \\ k+l \geq 2}} a_{k,l,j} \Gamma_1^k \Gamma_3^l \nu^j.$$

where

$$\Gamma_1 = x_2 y_1 - x_1 y_2, \quad \Gamma_2 = (x_1^2 + x_2^2)/2 \text{ and } \Gamma_3 = (y_1^2 + y_2^2)/2.$$

5. **Introducing**  $\nu = -\delta_\nu^2$ , and **rescaling**  $x_i = \delta_\nu^2 \tilde{x}_i$ ,  $\omega y_i = \delta_\nu \tilde{y}_i$ ,  $i = 1, 2$ ,

$\omega t = \tilde{t}$ , one has

$$\text{NF}(\tilde{H}_{\delta_\nu}) = -\tilde{\Gamma}_1 + \delta_\nu \left( \tilde{\Gamma}_2 + a\tilde{\Gamma}_3 + \eta\tilde{\Gamma}_3^2 \right) + \mathcal{O}(\delta_\nu^2).$$

The  $\tilde{\Gamma}_i$  written in terms of the Sokolskii coordinates are given by

$$\tilde{\Gamma}_1 = \Theta, \quad \tilde{\Gamma}_2 = \frac{1}{2} \left( R^2 + \frac{\Theta^2}{r^2} \right), \quad \text{and } \tilde{\Gamma}_3 = \frac{r^2}{2}.$$

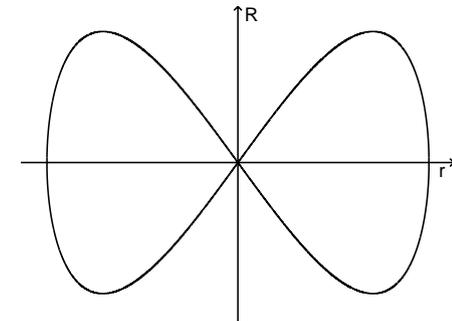
## 2-dof HH: invariant manifolds

For  $\nu < 0$  the origin has **stable/unstable inv. manifolds**  $W^{s/u}(\mathbf{0})$ . Note that

- $W^{s/u}(\mathbf{0})$  are contained in the zero energy level of  $\text{NF}(\tilde{H}_{\delta_\nu})$ .
- $\{\tilde{\Gamma}_1, \tilde{\Gamma}_2\} = \{\tilde{\Gamma}_1, \tilde{\Gamma}_3\} = 0 \Rightarrow \tilde{\Gamma}_1$  is a formal first integral of  $\text{NF}(\tilde{H}_{\delta_\nu})$ .  
Hence  $\tilde{\Gamma}_1 = 0$  on  $W^{s/u}(\mathbf{0})$ .

Then, **ignoring**  $\mathcal{O}(\delta_\nu^2)$  terms,  $W^{s/u}(\mathbf{0})$  are given by  $R^2 + ar^2 + \eta r^4/2 = 0$ , which is the **zero energy level** of a **Duffing Hamiltonian system**.

$\Rightarrow W^{u/s}(\mathbf{0})|_{(R,r)\text{-plane}}$  form a **figure-eight**  
(for  $a < 0, \eta > 0$ ; **unbounded** otherwise!  
but only  $r > 0$  has sense!).



The **2D**  $W^{s/u}(\mathbf{0})$  are rotated around the origin (on  $W^{s/u}(\mathbf{0})$  one has  $\Theta = 0, \dot{\theta} = 1$ ).

For the truncated NF (i.e. ignoring  $\mathcal{O}(\delta_\nu^p)$ -terms,  $p > 1$ ) the 2D stable/unstable inv. manifolds **coincide**. **But:** For the complete 2-dof Hamiltonian **they split!**

# 2-dof HH: splitting of inv. manifolds

The **asymptotic expansion** of this splitting has been obtained in

J.P.Gaivao, V.Gelfreich, *Splitting of separatrices for the Hamiltonian-Hopf bifurcation with the Swift-Hohenberg equation as an example*, Nonlinearity 24(3), 2011.

$$\alpha \sim A\delta_\nu^B \exp\left(\frac{-\pi}{\sqrt{-a}\delta_\nu}\right) \sim A|\operatorname{Re} \lambda|^B \exp\left(\frac{-\pi |\operatorname{Im} \lambda|}{|\operatorname{Re} \lambda|}\right)$$

**Main idea:** The exponential part of this formula can be obtained by reducing to a near Id family of analytic APMs + Fontich-Simó thm. (upper bounds are generic!).

Consider  $\Sigma = \{\theta = 0\}$  (but in Cartesian coord. to avoid singularities) and

$T_{\delta_\nu} : \Sigma \rightarrow \Sigma$  (Poincaré map of the full 2-dof Hamiltonian)  $\rightsquigarrow$  **separatrices split**,

$T_{\delta_\nu}^0 : \Sigma \rightarrow \Sigma$  (Poincaré map of the truncated 2-dof Hamiltonian, ignoring  $\mathcal{O}(\delta_\nu^2)$ )  $\rightsquigarrow$  **Homoclinic loop**.

Then,  $T_{\delta_\nu}^0(R, r, \Theta, \theta) = (\phi_{2\pi}^X, \Theta, \theta \bmod 2\pi)$ , being  $X$  the vector field

$$\dot{R} = \delta_\nu (ar + \eta r^3), \quad \dot{r} = -\delta_\nu R, \quad \leftarrow \text{Duffing!}$$

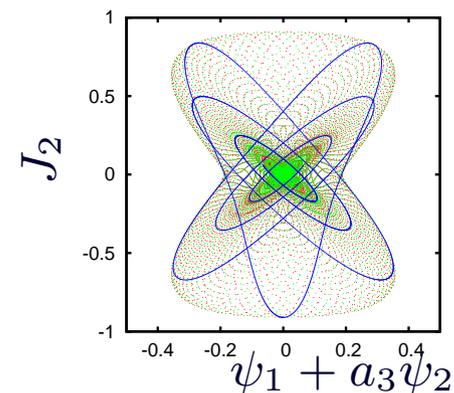
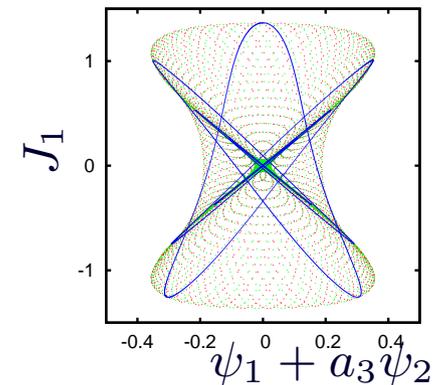
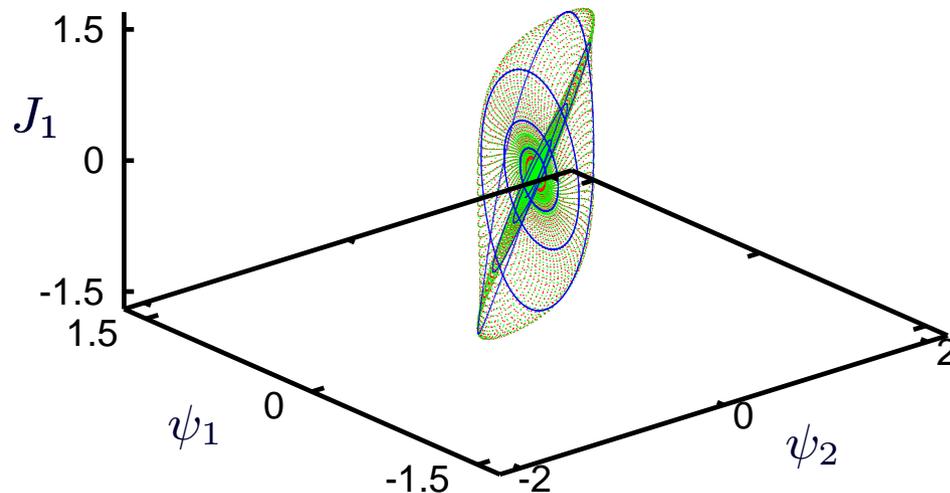
which has a homoclinic solution  $\gamma(t)$  with nearest singularity to the real axis  $\tau = i\pi/2\sqrt{-a}\delta_\nu$  and dominant eigenvalue  $\mu = 2\pi\sqrt{-a}\delta_\nu$  (then rescale time by  $\sqrt{-a}\delta_\nu$ ). But  $T_0^{\delta_\nu} = (\hat{T}_0^{\delta_\nu})^2$ , being  $\hat{T}_0^{\delta_\nu}$  close to -Id  $\Rightarrow$  use  $\mu/2$  instead of  $\mu$  in the exponential part of the upper bound  $C \exp(-2\pi(\operatorname{Im} \tau - \eta)/\mu)$ .

# 2-dof HH: the example

$$H(\psi_1, \psi_2, J_1, J_2) = \frac{J_1^2}{2} + a_2 J_1 J_2 + a_3 \frac{J_2^2}{2} - \cos(\psi_1) - \epsilon \cos(\psi_2)$$

**Reversibility:**  $(\psi_1, \psi_2, J_1, J_2) \in W^u(\mathbf{0})$  then  $(-\psi_1, -\psi_2, J_1, J_2) \in W^s(\mathbf{0})$ .

This suggests to consider  $\Sigma = \{\psi_1 = 0, \psi_2 = 0\}$  and to look for homoclinic points in  $\Sigma$ .



$$a_2=0.5, \quad a_3=-0.75, \quad \epsilon=-0.5 \quad (\epsilon^c=-4/9)$$

# Methodology to get a homoclinic point in $\Sigma$ (I)

One can locally represent  $W^u$  as a series

$$\mathcal{G}(s_1, s_2) = \sum_{i+j \geq 0} a_{i,j} s_1^i s_2^j, \quad a_{i,j} \in \mathbb{R}$$

where  $s_1, s_2 \in \mathbb{R}$  are (real) local parameters in a fundamental domain (an annulus)  $\rightsquigarrow$  **parameterisation method**.

Then, one can propagate the local representation and get the invariant manifolds (e.g. using Taylor integrator).

## Main steps:

1. Compute the **local parameterisation** of  $W^u$  (order by order).
2. Truncate it to order  $N$  and look for  $r_*$  (radius in  $(s_1, s_2)$ ) such that the invariance equation is verified up to a given tolerance  $\text{tol}$ . The **points on the circle of radius  $r_*$**  can be parameterised by an **angle  $\theta$** .

# Methodology to get a homoclinic point in $\Sigma$ (II)

3. To compute  $\theta$  s.t. parameterises a point on  $\Sigma$  we proceed as follows:

(a) **Discretize**  $\theta$ :  $\{\theta_i\}_{i=1,\dots,1000}$ .

Each  $\theta_i$  gives an initial condition  $\rightarrow$  integrate (Taylor method).

(b) **Integrate each i.c.** up to  $\{\psi_2 = 0\}$ .

**Problem:**  $\{\psi_2 = 0\}$  is crossed many times before we arrive to  $\Sigma$ !!

We proceed as follows:

i. We fix a number  $m$  and we integrate up to the  $m$  crossing with  $\psi_2 = 0$ .

Hence, for each  $i$  we obtain a point on  $\psi_2 = 0$ . Denote by  $\psi_{1,i}$  the corresponding coordinate of this point.

ii. If for a concrete  $i$  one has  $\psi_{1,i}\psi_{1,i-1} < 0$  then we look for  $\theta \in (\theta_i - 1, \theta_i)$  such that  $\psi_1 = 0$  in  $\Sigma$  (e.g. secant method).

Otherwise, if there is not  $i$  verifying this last condition, we increase  $m$ .

$\implies$  We get a homoclinic point on  $\Sigma$  (first intersection!).

## 2-dof HH: Computing the splitting

Using the last methodology one obtains  $(s_1^h, s_2^h)$  corresponding a **homoclinic point**  $p_h$  on  $\Sigma$  (at the first intersection!).  $\rightsquigarrow$  The homoclinic orbit was shown in the last plot!

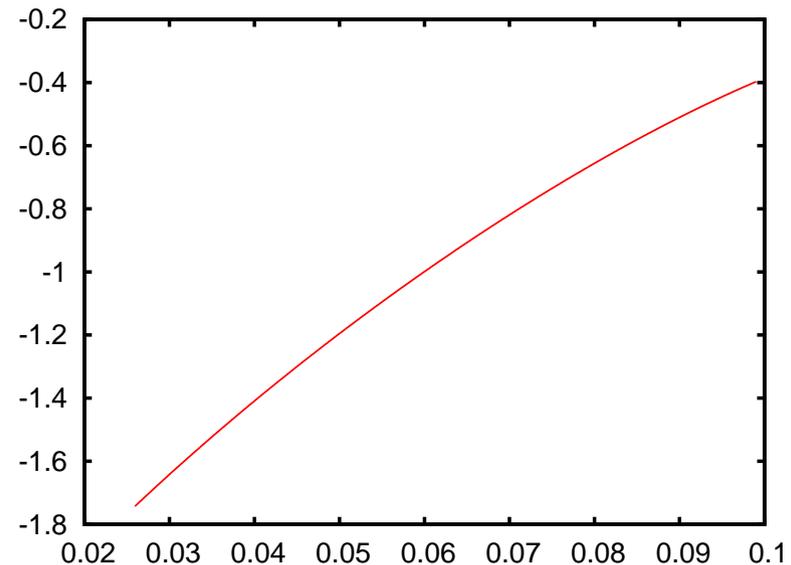
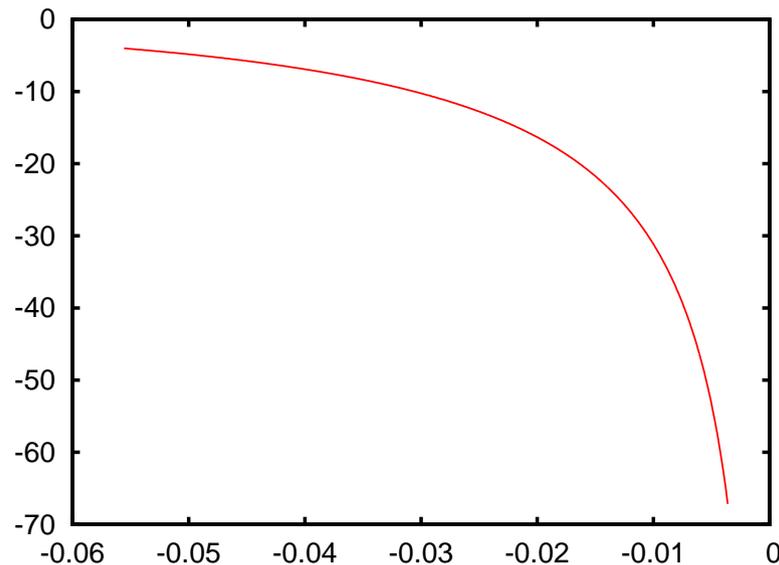
To measure the **splitting angle**  $\alpha$  at  $p_h$ :

1. **Compute a basis of**  $T_{X_h^0}(W_{loc}^u(\mathbf{0})) \rightsquigarrow v_t^0 = \frac{\partial \mathcal{G}}{\partial s_1}(s_1^h, s_2^h), v_{vf}^0$
2. **Transport the vectors to**  $\Sigma \rightsquigarrow v_t^\Sigma, v_{vf}^\Sigma$  (integrating variational eqs.)  
These vectors form a basis of  $T_{p_h}(W^u(\mathbf{0}))$ .
3. **Compute an orthogonal basis of**  $T_{p_h}(W^u(\mathbf{0})) \rightsquigarrow w_1, v_{vf}^\Sigma$
4. **Compute the splitting angle.** By reversibility, from  $w_1 \in T_{p_h}(W^u(\mathbf{0}))$  we obtain a vector  $w_2 \in T_{p_h}(W^s(\mathbf{0}))$ . Then,

$$\alpha = \text{angle}(w_1, w_2)$$

# 2-dof HH: Checking the behaviour of $\alpha$

$$H(\psi_1, \psi_2, J_1, J_2) = \frac{J_1^2}{2} + a_2 J_1 J_2 + a_3 \frac{J_2^2}{2} - \cos(\psi_1) - \epsilon \cos(\psi_2)$$



Left:  $\log(\alpha)$  vs.  $\epsilon - \epsilon^c$ . Right:  $\text{Re}(\lambda) \log(\alpha)$  vs.  $\text{Re}(\lambda)$ .

**Recall:**  $\alpha \sim \tilde{A}(|\text{Re } \lambda|)^B \exp\left(\frac{C}{|\text{Re } \lambda|}\right)$ , where  $C = -\pi |\text{Im } \lambda|$ .

For  $a_2 = 0.5$ ,  $a_3 = -0.75$  one gets  $C = \sqrt{2}\pi/3 + \mathcal{O}(\nu)$  (Sokol'skii NF).

Fitting function (right plot):  $f(x) = Ax + Bx \log(x) + C$ .

$\rightsquigarrow$  It **perfectly fits** the behaviour!

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Up to this point: **2-dof Hamiltonian-Hopf** bifurcation.

1. Everything was “more or less” well-known: Sokolskii NF, geometry of the invariant manifolds, the splitting  $\alpha, \dots$
2.  $\alpha$  behaves as expected for a near-the-identity family of 2D APM.

$$\text{Guiding example: } H = \frac{J_1^2}{2} + a_2 J_1 J_2 + a_3 \frac{J_2^2}{2} - \cos(\psi_1) - \epsilon \cos(\psi_2).$$

**Now: 4D discrete Hamiltonian-Hopf!**

Guiding example: the 4D symplectic map  $T$  given by

$$\begin{aligned}\bar{\psi}_1 &= \psi_1 + \delta(\bar{J}_1 + a_2 \bar{J}_2), & \bar{\psi}_2 &= \psi_2 + \delta(a_2 \bar{J}_1 + a_3 \bar{J}_2), \\ \bar{J}_1 &= J_1 + \delta \sin(\psi_1), & \bar{J}_2 &= J_2 + \delta \epsilon \sin(\psi_2).\end{aligned}$$

The origin undergoes a HH bif. and 2D stable/unstable manifolds are born.

**Question:** Behaviour of the [splitting of the 2D inv. manifolds?](#)

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## Planning:

**First:** Numerical exploration of  $T$ .

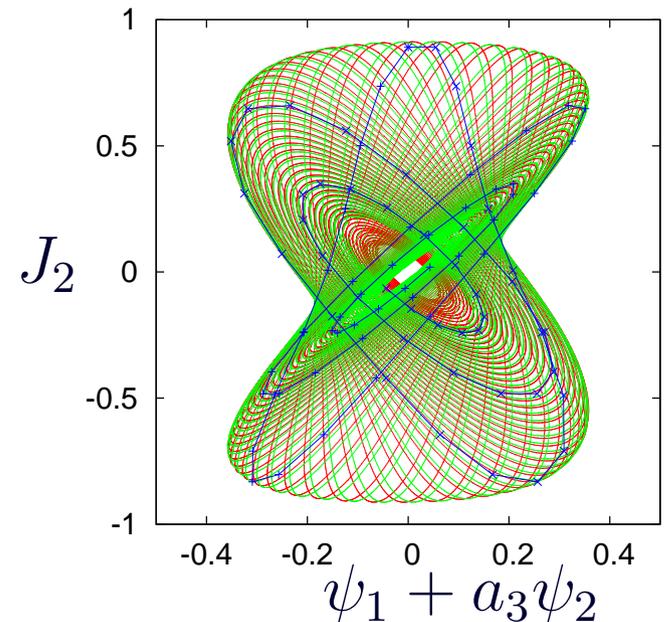
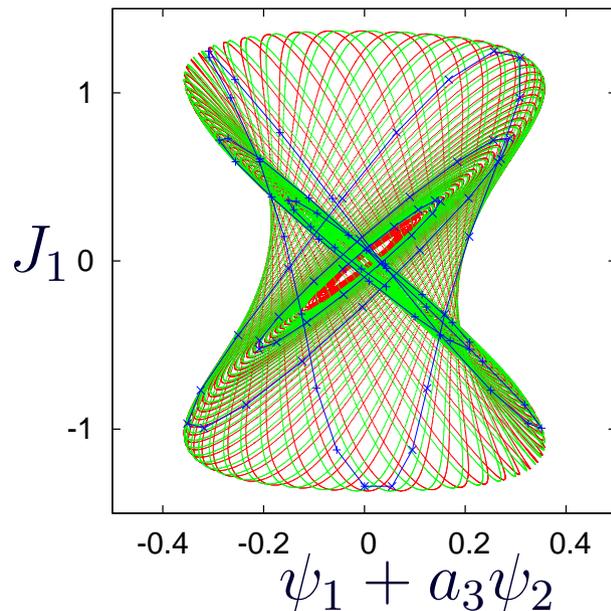
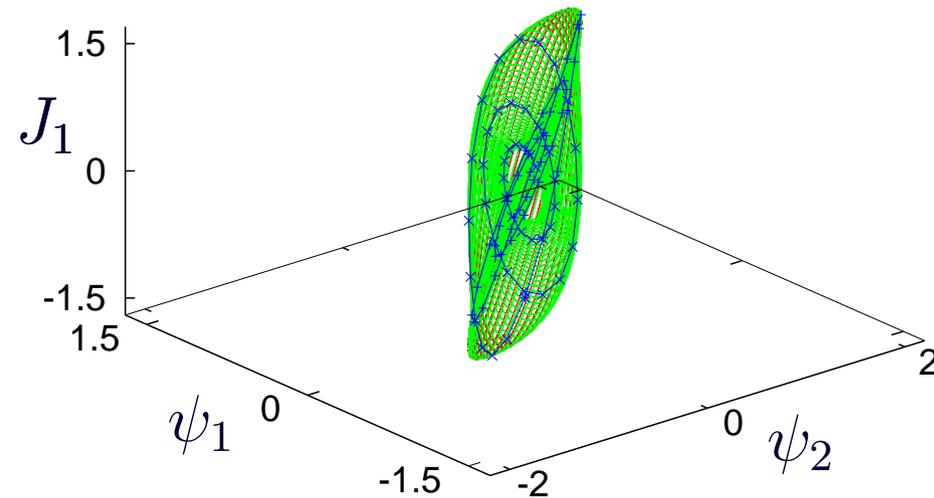
- Computation of the invariant manifolds.
- Behaviour of the splitting.
- A naive justification of the behaviour observed.

**After:** General theoretical results on splitting of inv. manifolds for the 4D HH.

- Upper bounds from a suitable energy function.

# $T$ : Invariant manifolds

One can compute  $W^{u/s}(\mathbf{0})$  and  $p_h \in \Sigma = \{\psi_1 = \psi_2 = 0\}$  (similarly to the 2-dof case).



# $T$ : Splitting volume $V$

We compute the volume of a  $4D$  parallelotope defined by two pairs of vectors tangent to  $W^u$  and  $W^s$  at  $p_h \in \Sigma$ :

$G(s_1, s_2)$  - local parameterisation

$(s_1^h, s_2^h)$  - local parameters s.t.  $T^N(s_1^h, s_2^h) = p_h$ ,  $N > 0$ .

1. Consider the vectors:

$$\tilde{v}_1 = (\partial G / \partial s_1)(s_1^h, s_2^h), \quad \tilde{v}_2 = (\partial G / \partial s_2)(s_1^h, s_2^h) \quad \leftarrow \text{tangent to } W^u(\mathbf{0})$$

2. Transport these vectors under  $T$  to  $p_h$  and consider, by the reversibility,

$$\tilde{v}_3 = R(\tilde{v}_1^{p_h}), \quad \tilde{v}_4 = R(\tilde{v}_2^{p_h}) \quad \leftarrow \text{tangent to } W^s(\mathbf{0})$$

3. Finally, normalize them  $v_j = \tilde{v}_j^{p_h} / \|\tilde{v}_j^{p_h}\|$ ,  $j = 1, \dots, 4$  and define

$$V = \det(v_1, v_2, v_3, v_4)$$

**Question:** How does  $V$  behave as  $\epsilon \rightarrow \epsilon^c$ ?

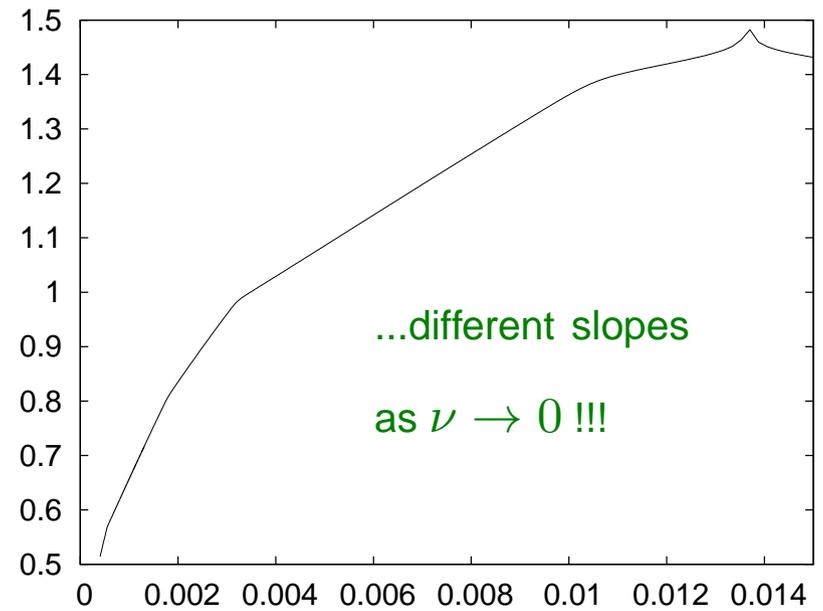
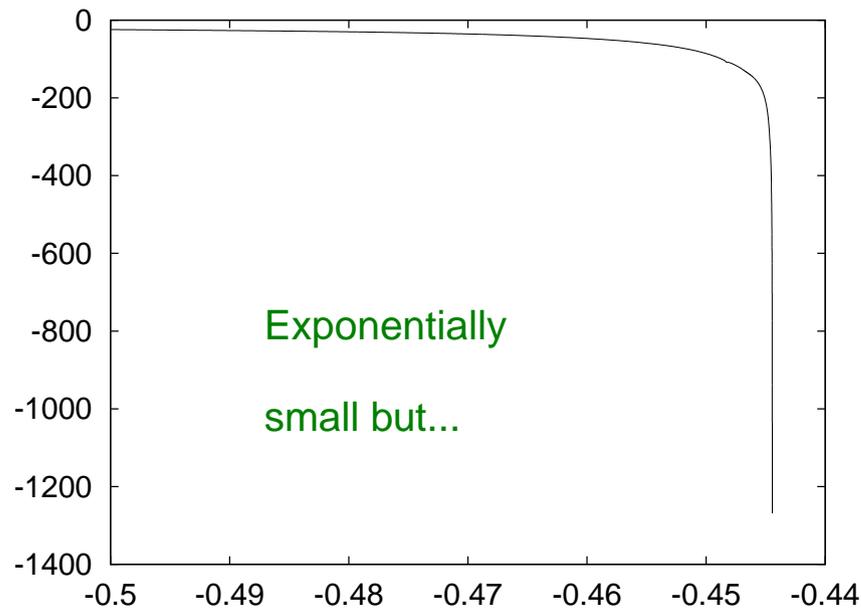
# $T$ : Behaviour of $V$

$$T : \quad \bar{\psi}_1 = \psi_1 + \delta(\bar{J}_1 + a_2\bar{J}_2), \quad \bar{\psi}_2 = \psi_2 + \delta(a_2\bar{J}_1 + a_3\bar{J}_2),$$

$$\bar{J}_1 = J_1 + \delta \sin(\psi_1), \quad \bar{J}_2 = J_2 + \delta \epsilon \sin(\psi_2).$$

Fixed  $a_2, a_3$  one has  $\epsilon^c = \epsilon^c(a_2, a_3)$ . The (Krein) collision angle  $\hat{\theta}_K$  depends on  $\delta$ .

$a_2 = 0.5, a_3 = -0.75 \rightsquigarrow \epsilon^c = -4/9$ .  $\delta = 0.5 \rightsquigarrow \theta_K = \arctan(\sqrt{23}/11)/2\pi$  (“ $\in \mathbb{R} \setminus \mathbb{Q}$ ”).



Left:  $\log V$  vs.  $\epsilon$ . Right:  $h |\log(V)|$  vs.  $h$  ( $h = \log(\lambda)$ ).

# Naive explanation of the behaviour of $V$

Consider a (generic) symplectic map  $F$  in  $\mathbb{R}^4$  undergoing a HH bif.

Discrete HH bif.  $\rightsquigarrow$  **codim 2** bif  $\rightsquigarrow$  Let  $\delta_t, \epsilon_t$  be the unfolding parameters.

$\delta_t$ : Collision angle  $\hat{\theta}_K = 2\pi(q/m + \delta_t)$ .

$\epsilon_t$ : Measures the relative distance to the critical parameter.

## Different (naive) important aspects:

1. “Two” **exp. small effects**: one within the Hamiltonian itself (already studied!), the other measures the “map-Hamiltonian distance”.
2. “Two” **frequencies**: “Duffing” and its  $2\pi\theta_K$ -perturb. + “**time**” **frequency**.
3. The Hamiltonian part is known  $\Rightarrow$  only necessary to measure the second effect. **But**: We have a “privileged direction” (the time!)  $\Rightarrow$  we will use an **energy function** to measure the splitting in that direction (instead of using the splitting potential or the Melnikov vector which measures both effects together).

# Towards a sharp upper bound of the splitting (I)

**Idea:** It is enough to measure the “Hamiltonian-map distance”.

Let  $F_{\epsilon_t}$  be a family of symplectic maps s.t. at  $\epsilon_t = 0$  undergoes a HH bifurcation. The inv. manifolds  $W^{u/s}(\mathbf{0})$  are given by  $u(\alpha, t)$  and  $v(\alpha, t)$  resp., where  $(\alpha, t) \in [t_0, t_0 + h) \times S^1$ . This defines FD's  $\mathcal{D}^{u/s}$ .

**Main result:** Assume that

(H1) There exists an energy function  $E$ , i.e. such that  $E \circ F_{\epsilon_t} = E$ , defined in a neighbourhood of the fundamental domain  $\mathcal{D}^s$  such that  $E(v(\alpha, t)) = 0$ . Moreover we assume that  $E$  and  $v(\alpha, t)$  can be analytically extended to a neighbourhood of  $W^u(\mathbf{0})$  within  $\mathcal{D}^u$  (by iteration of  $F_{\epsilon_t}^{-1}$ ).

We define the *splitting function*:

$$\psi(\alpha, t) = E(u(\alpha, t))$$

# Towards a sharp upper bound (II)

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(H2) There is a (limit) vector field

$$\dot{x} = f(x), \quad x \in \mathbb{R}^2,$$

such that  $f$  is analytic, it possesses a hyperbolic saddle fixed point and a homoclinic orbit  $\sigma(t)$  associated to it, and satisfies that compact pieces of the real invariant manifolds of  $F_{\epsilon_t}$  are  $\epsilon_t$ -close to an embedding of  $\mathbb{S}^1 \times \{\sigma(t), t \in \mathbb{R}\}$  for  $\epsilon_t > 0$  small enough.

(H3)  $F_{\epsilon_t}$  can be extended analytically to a neighbourhood of

$$\{\alpha \in \mathbb{C}/2\pi\mathbb{Z}, |\operatorname{Im} \alpha| < \rho\} \times \{\sigma(t), |\operatorname{Im} t| < \tau\}$$

for some  $0 < \tau < \tau_0$  and  $0 < \rho < \rho_0$ .

# Towards a sharp upper bound (Result)

Under (H1), (H2) and (H3)...

(i) **Rational Krein collision.** Let  $\theta_K = p/q$ , with  $(p, q) = 1$ . Then, there exists  $\epsilon_t^0 > 0$  s.t. for  $\epsilon_t < \epsilon_t^0$

$$|\psi(\alpha, t)| \leq K \exp(-C/h), \quad C, K > 0.$$

(ii) **Irrational Krein collision.** Let  $\theta_K \in \mathbb{R} \setminus \mathbb{Q}$ . Then,  $\psi$  is bounded by a function that is exponentially small in a parameter  $\gamma$ , s.t.  $\gamma \searrow 0$  when  $h \searrow 0$ . Moreover, the dominant harmonic  $k(h)$  of  $\psi$  **changes infinitely many times** as  $h \rightarrow 0$ .

**Idea:** Bounding the Fourier coefficients of  $\psi$ , one gets

$$|\psi(\alpha, t)| < K \sum_{(k, n) \in \mathbb{Z}_*^2} \exp(\underbrace{-2\pi|n - \theta_0 k| \tau/h - |k| \rho}_{\beta_k}).$$

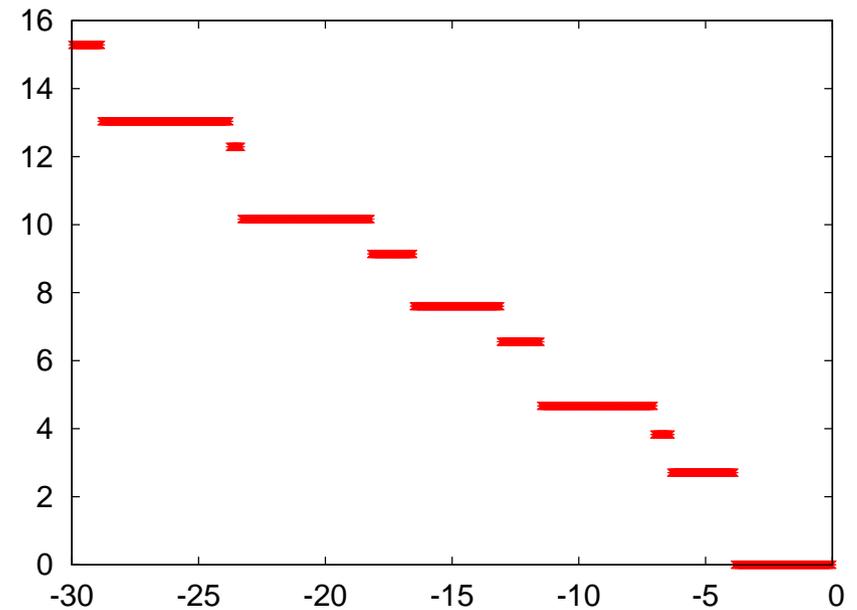
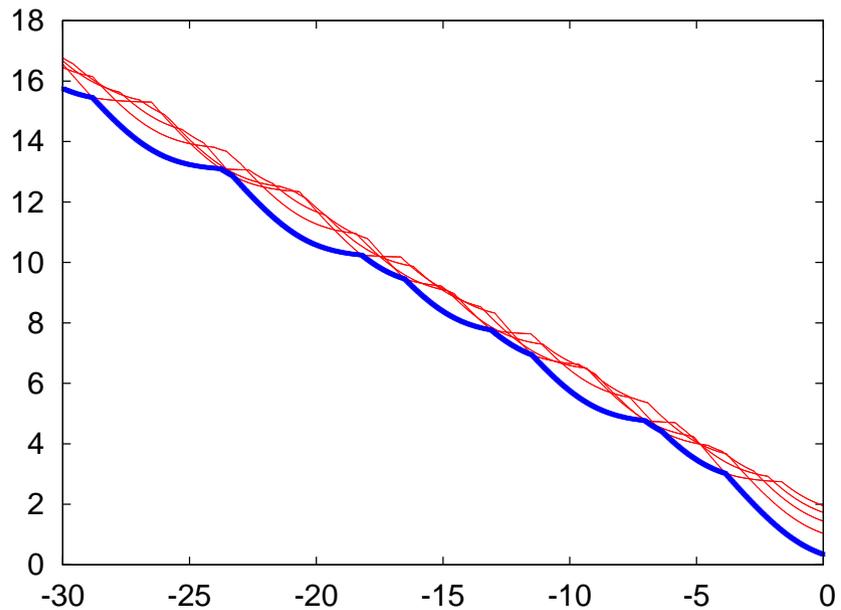
Then we look for  $k = k_*(h) > 0$  s.t. the dominant coefficient  $\beta_{k_*}$  in the exponential bound is minimum (different cases according to the properties of  $\theta_K$ ).

# Map $T$ : fit of the volume $V$ (I)

$$T : \quad \bar{\psi}_1 = \psi_1 + \delta(\bar{J}_1 + a_2\bar{J}_2), \quad \bar{\psi}_2 = \psi_2 + \delta(a_2\bar{J}_1 + a_3\bar{J}_2), \\ \bar{J}_1 = J_1 + \delta \sin(\psi_1), \quad \bar{J}_2 = J_2 + \delta \epsilon \sin(\psi_2).$$

$$a_2 = 0.5, a_3 = -0.75 \rightsquigarrow \epsilon^c = -4/9.$$

We look for the dominant coefficients  $\beta_{k(h)}$ . They depend on  $\theta_K$  and  $h = \log(\lambda) = \mathcal{O}(\sqrt{|\epsilon - \epsilon^c|})$ . We fix  $\theta_K = \arctan(\sqrt{23}/11)/2\pi$ .



Left: first five dominant exponents  $\beta_k$  as a function of  $h$ . Right: values of  $k_*$  corresponding to the minimum exponent  $\beta_k$ . Both in log – log scale.

# Map $T$ : fit of the volume $V$ (II)

- We have  $k_* = 1, 15, 46, 107, 703, 2002, 9307, 25919, \dots$  as  $h \rightarrow 0$ .
- The values of  $k_*$  are related to the **approximants** of  $\theta_K \approx 0.06543462308$ :  
 $1/15, 3/46, 4/61, 7/107, 39/596, 46/703, 85/1299, 131/2002, \dots$
- Not all the approximants produce a change of  $k_*(h)$  as  $h \rightarrow 0$ , only those that are smaller than  $\theta_K$  play a role (except the first one  $1/15 > \theta_0$ ).
- The **length of the interval in  $h$**  where  $k_*(h)$  dominates depends on the  $\text{CFE}(\theta_K) = [15, 3, 1, 1, 5, 1, 1, 3, 1, 2, \dots]$ , but **also on the constants** in front of the exponential terms of  $V$  (terms with larger  $\beta_k$  can dominate for finite  $h > 0$ !!!)

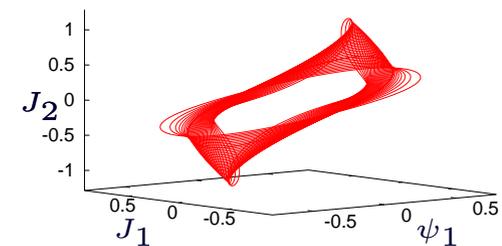
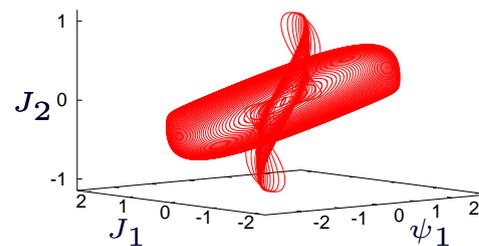
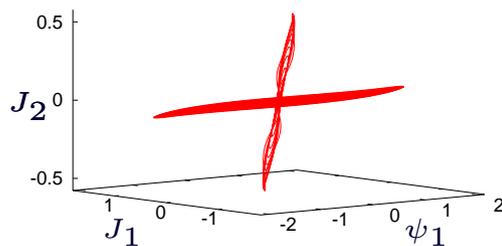
**Conclusion:** The numerical fit data show that the different slopes observed are related to the different values  $k_*(h)$  obtained  $\rightsquigarrow$  **OK!!!**

# Final comments I

1. **Other aspects** related to the HH bifurcation for 4D maps have been also investigated (preprint).

For example:

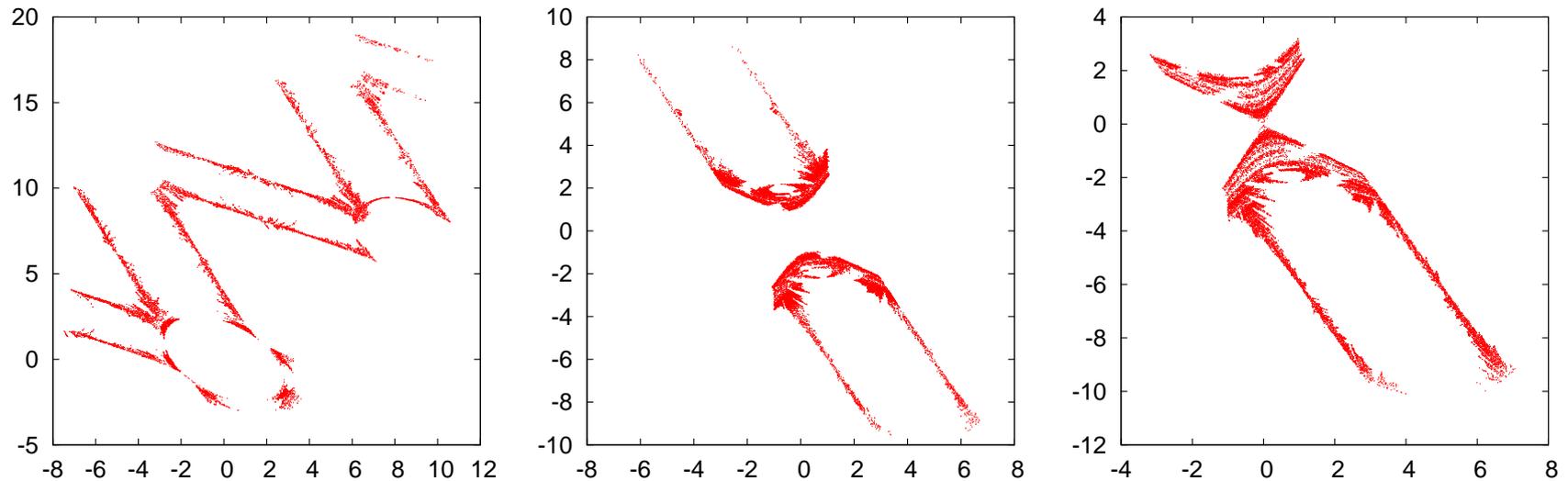
(a) Structure of the **Lyapunov families of invariant curves** (analytic results on: the detachment of the Lyapunov families, analysis of the rational and irrational collision angle  $\theta_K$  cases, stability of the inv. curves, ...).



Detachment of the Lyapunov families of invariant curves for  $T$ :  
 $\epsilon = -0.1, -0.4$  and  $-0.5$  ( $\epsilon^c = -4/9$ ).

# Final comments II

(b) Possible diffusive patterns **through and around** the double resonance.



Left: Positive definite case ( $\delta = \epsilon = a_2 = 0.5$  and  $a_3 = 1.25$ ).

Centre/Right: Non-definite case ( $\delta = \epsilon = a_2 = 0.5$  and  $a_3 = -0.75$ ).

2. **Many open questions:** Theorem of splitting for a family of 4D maps?

Separatrix return map? Diffusive properties (quantitative data)?

...but this is left for future works...



**Thanks for your attention!!**